

# Dust Evolution in Protoplanetary Disks: Models, Observations and Laboratory Experiments

New Mexico State University, Astronomy.  
October 23th/2020. Zoom Space



**Paola Pinilla**

**MPIA**

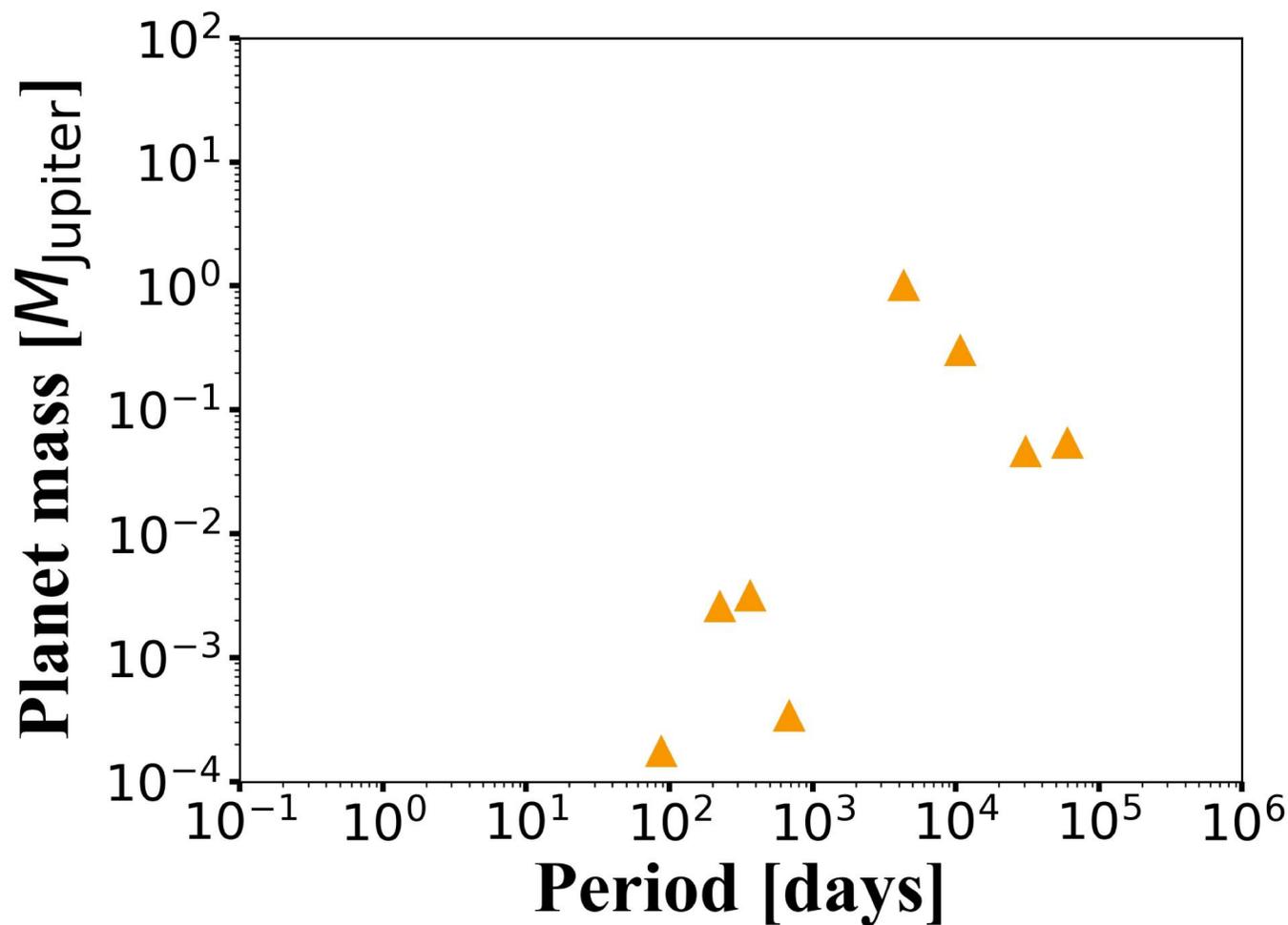
# Discovery and Diversity of Exoplanets

**Two decades ago**  
We only knew the planets in our Solar System

**1995**

First confirmation of an exoplanet orbiting a Sun-like star

(Mayor & Queloz, 1995)  
**Nobel Prize in Physics 2019**



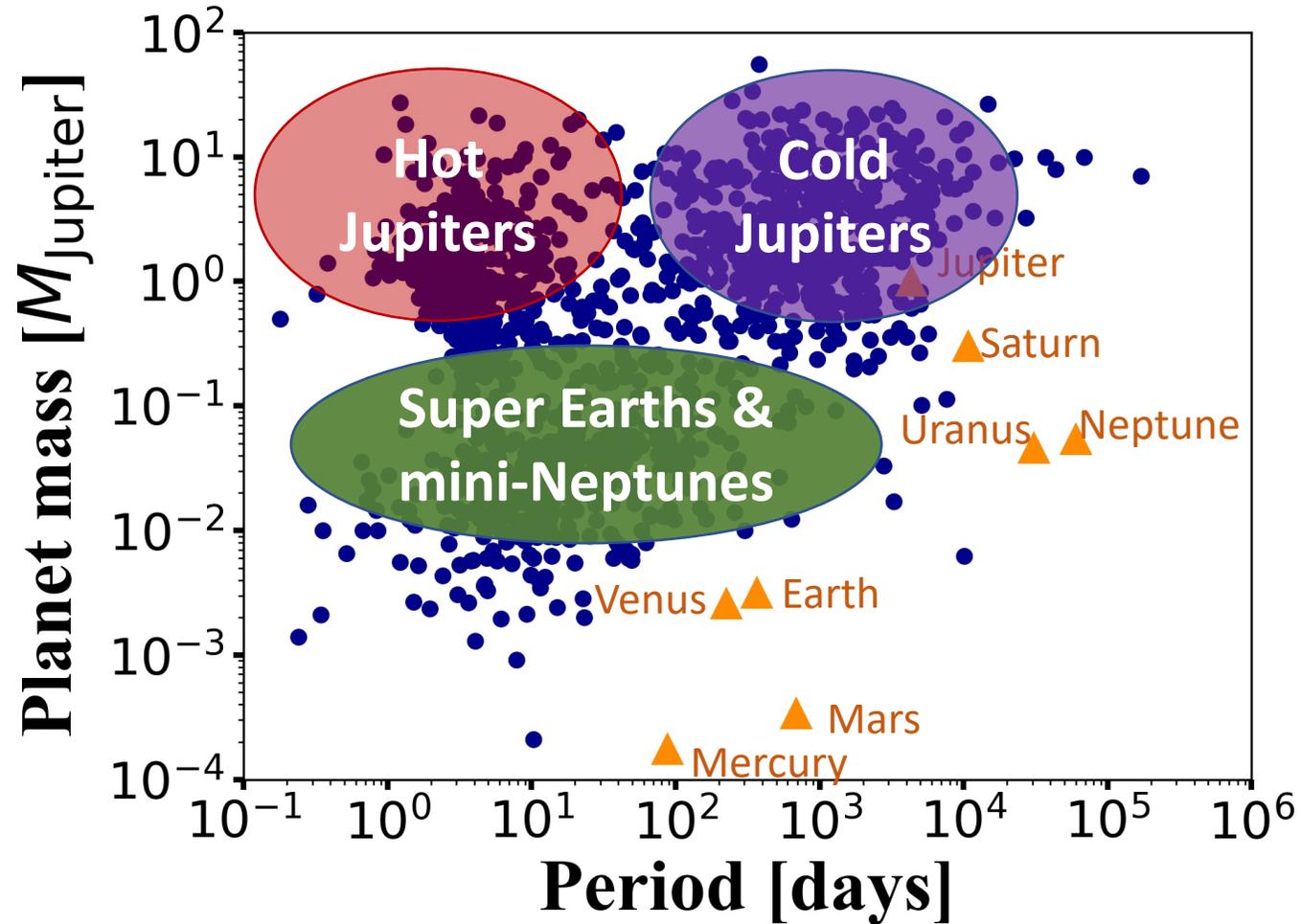
**Today**  
**~ 4300**  
**confirmed**  
**exoplanets**

Data from: <https://exoplanetarchive.ipac.caltech.edu>

# Discovery and Diversity of Exoplanets

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# Open Questions in Planet Formation

*How and when do planets form?*

**What is the origin of the diversity of exoplanets and their architectures?**

- What is influence of the hosting star(s)?
- What is the influence of the initial conditions?
- What is the influence of the environment/interactions?

**Initial conditions and dynamical evolution protoplanetary disks must leave an imprint on the properties and diversity of exoplanets**

*Look back in time ...*

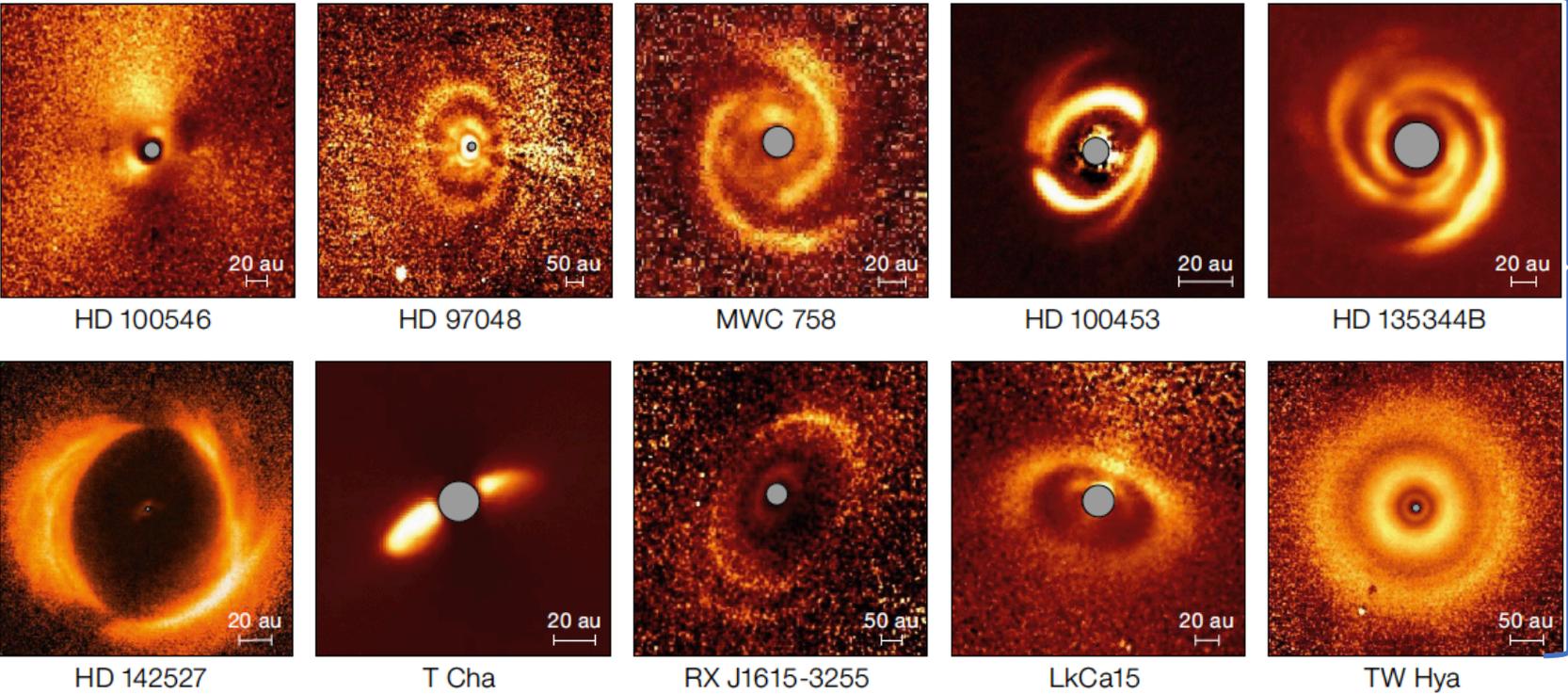
**Most of the information that we have about planets forming in disks comes from the *dust* that dominates the disk opacity**

# Observing the Evolution of Solids in Protoplanetary Disks

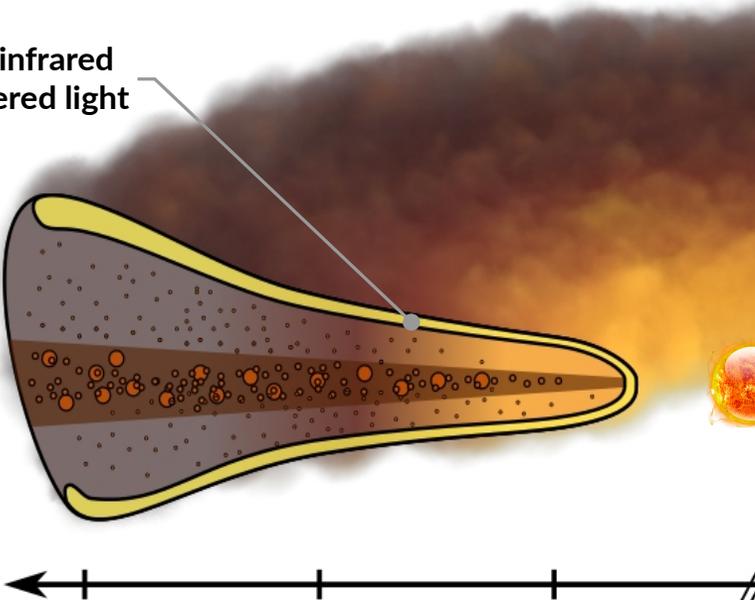
Optical to near infrared  
scattered light



Small particles at the  
disk surface



near-infrared  
scattered light



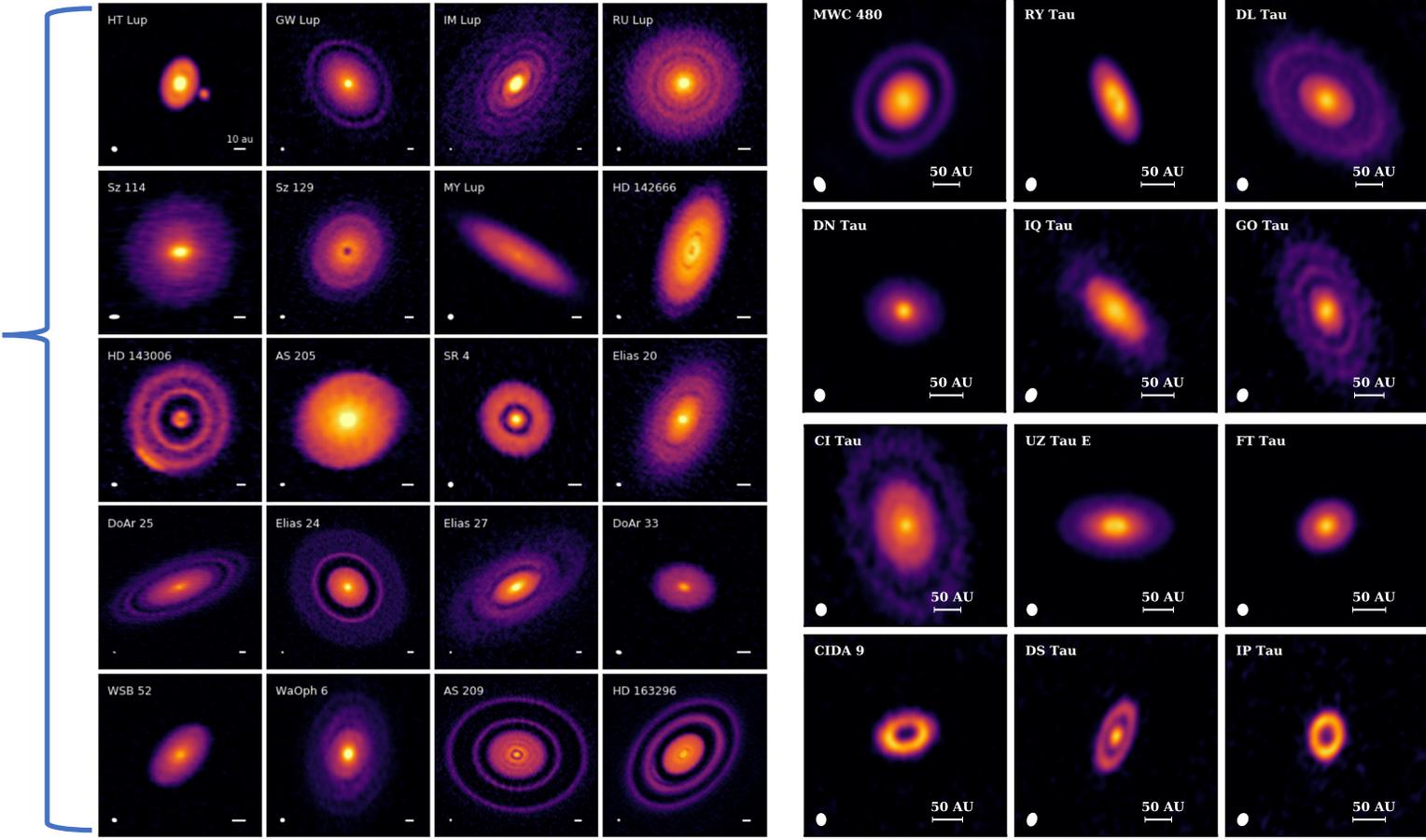
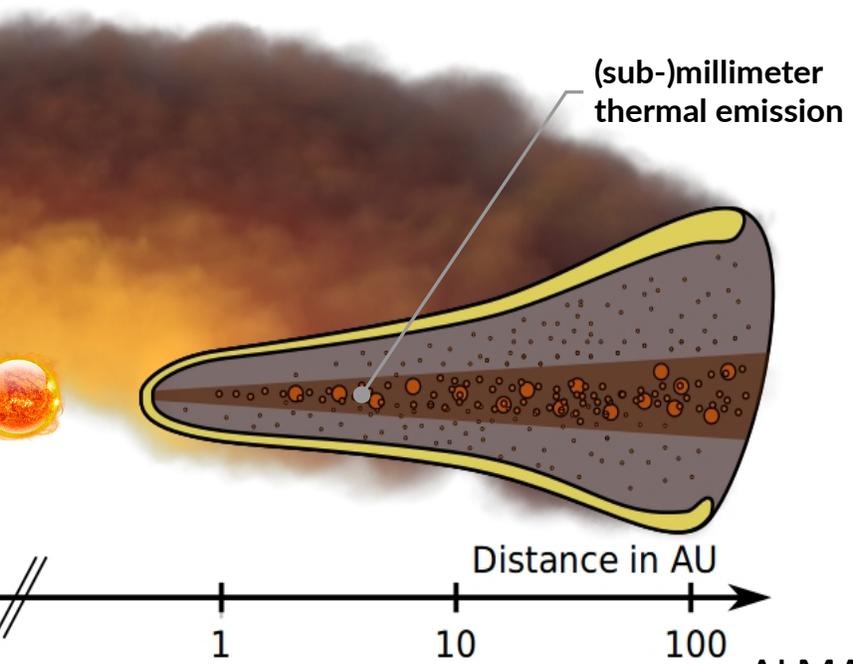
SPHERE (VLT) Observations of protoplanetary disks, Garufi et al. (2017)

# Observing the Evolution of Solids in Protoplanetary Disks

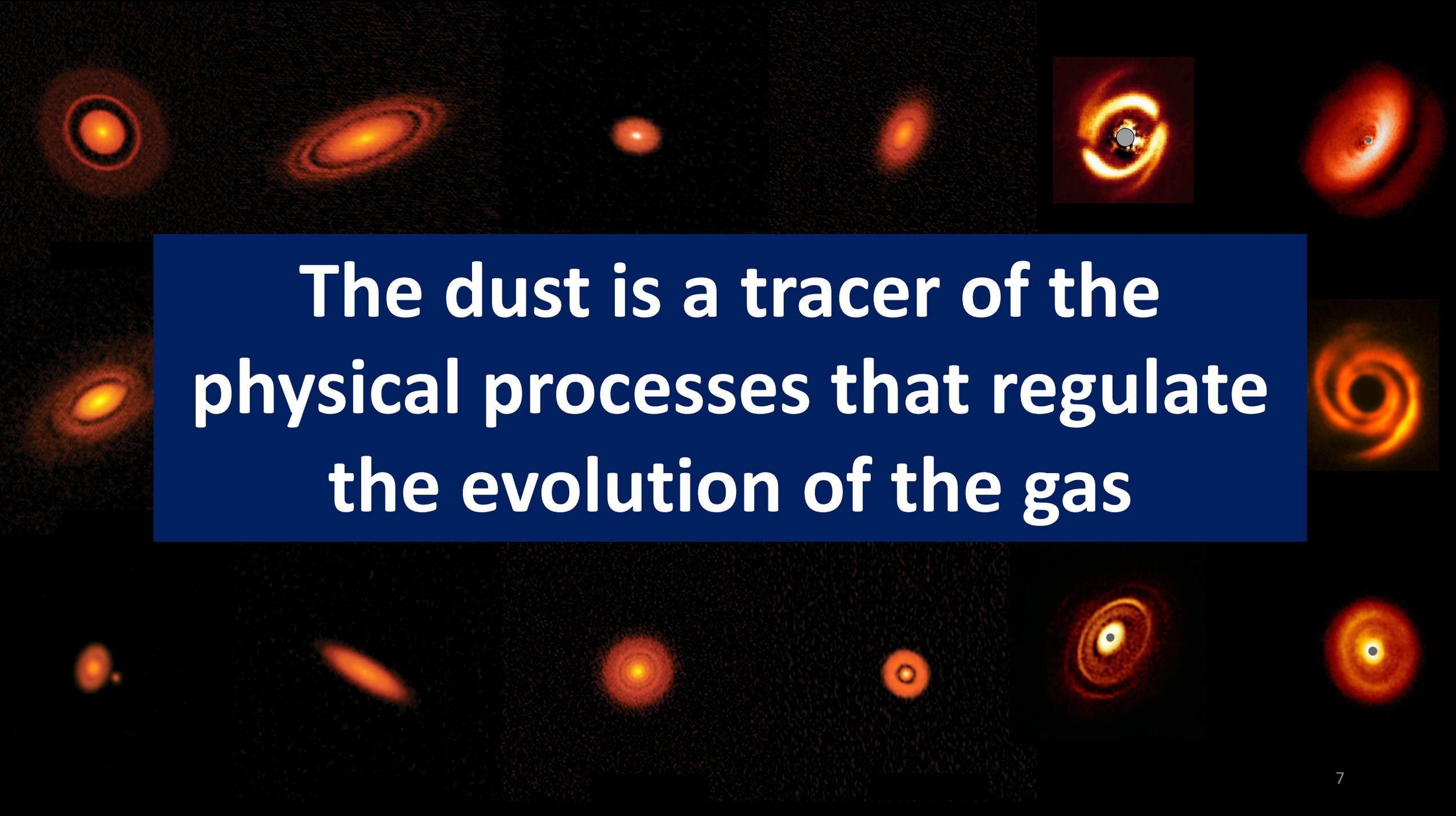
(sub-) millimeter thermal emission



Pebbles in the disk midplane

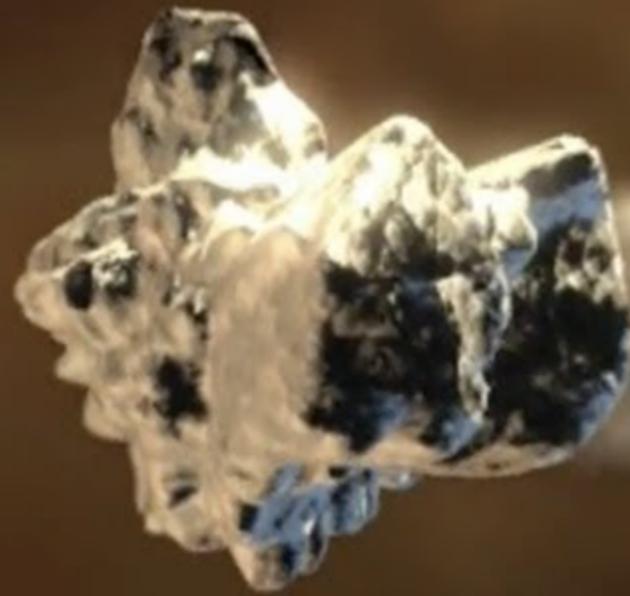


ALMA Observations of protoplanetary disks, Andrews et al. (2018); Long, Pinilla et al. (2018)

The background of the slide is a dark field filled with numerous galaxies of various shapes and sizes, primarily in shades of orange and red. Some are bright and clear, while others are faint and blurry. A prominent blue rectangular box is centered on the slide, containing white text. The text reads: "The dust is a tracer of the physical processes that regulate the evolution of the gas".

**The dust is a tracer of the physical processes that regulate the evolution of the gas**

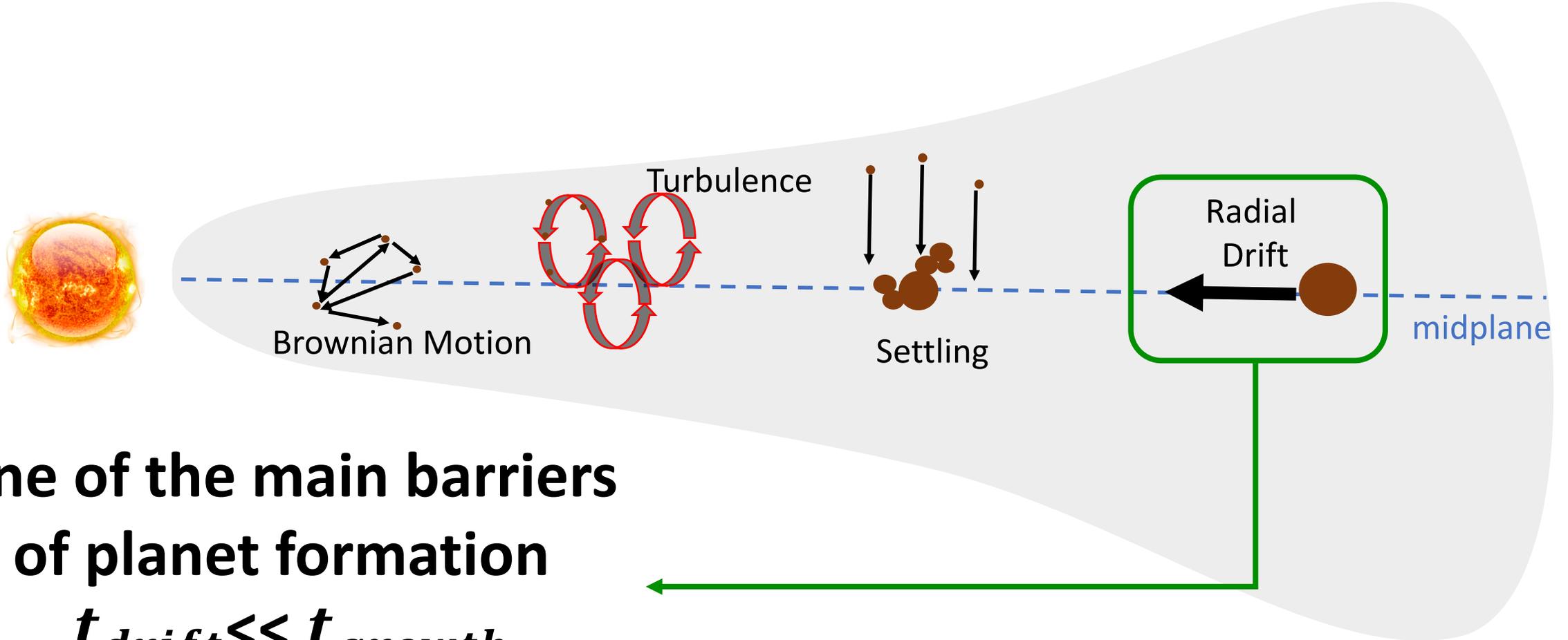
# Dust Evolution in a Nutshell



# Dust Evolution

Transport

Collisions



One of the main barriers  
of planet formation

$$t_{drift} \ll t_{growth}$$

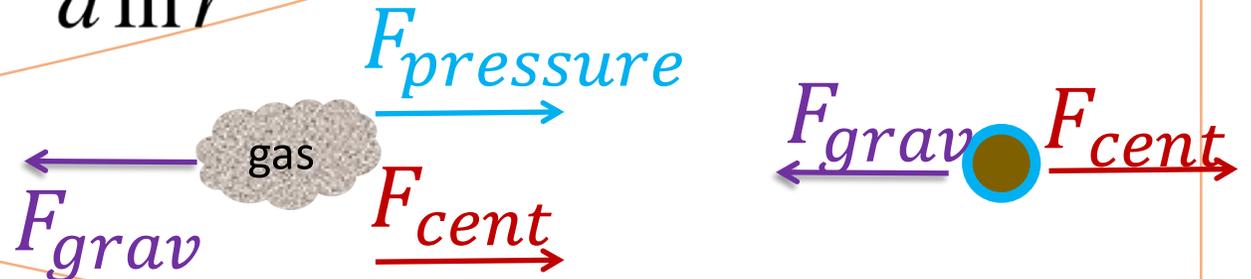
# Radial Drift of Particles

Origin: Dust moves Keplerian and gas moves slightly sub-Keplerian

## GAS

Supported by gas pressure

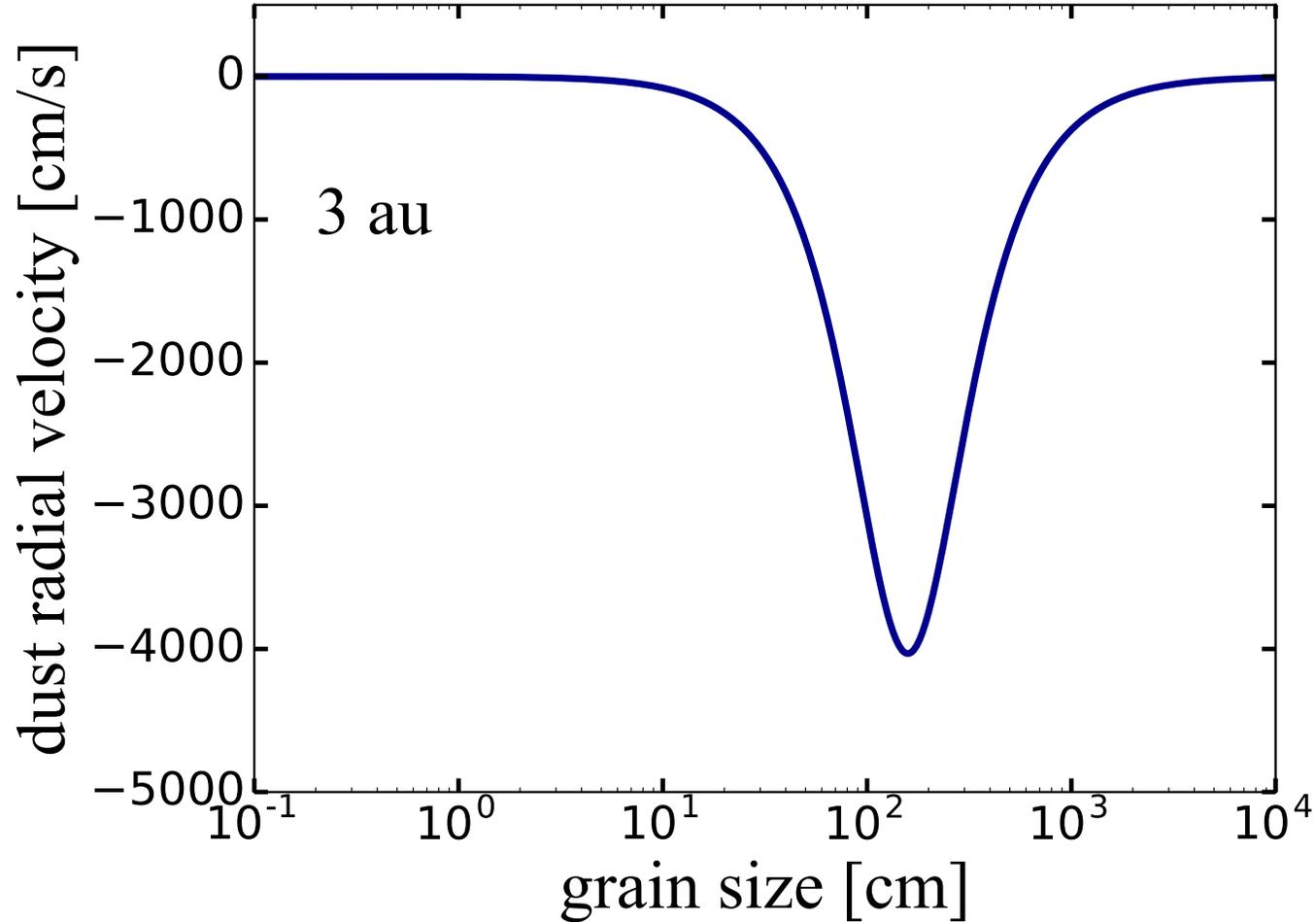
$$v_{\phi}^2 = v_{Kepler}^2 + c_s^2 \frac{d \ln P}{d \ln r}$$



## Dust Particles

Move with Keplerian velocity and feel a constant head-wind

# Radial Drift Velocities



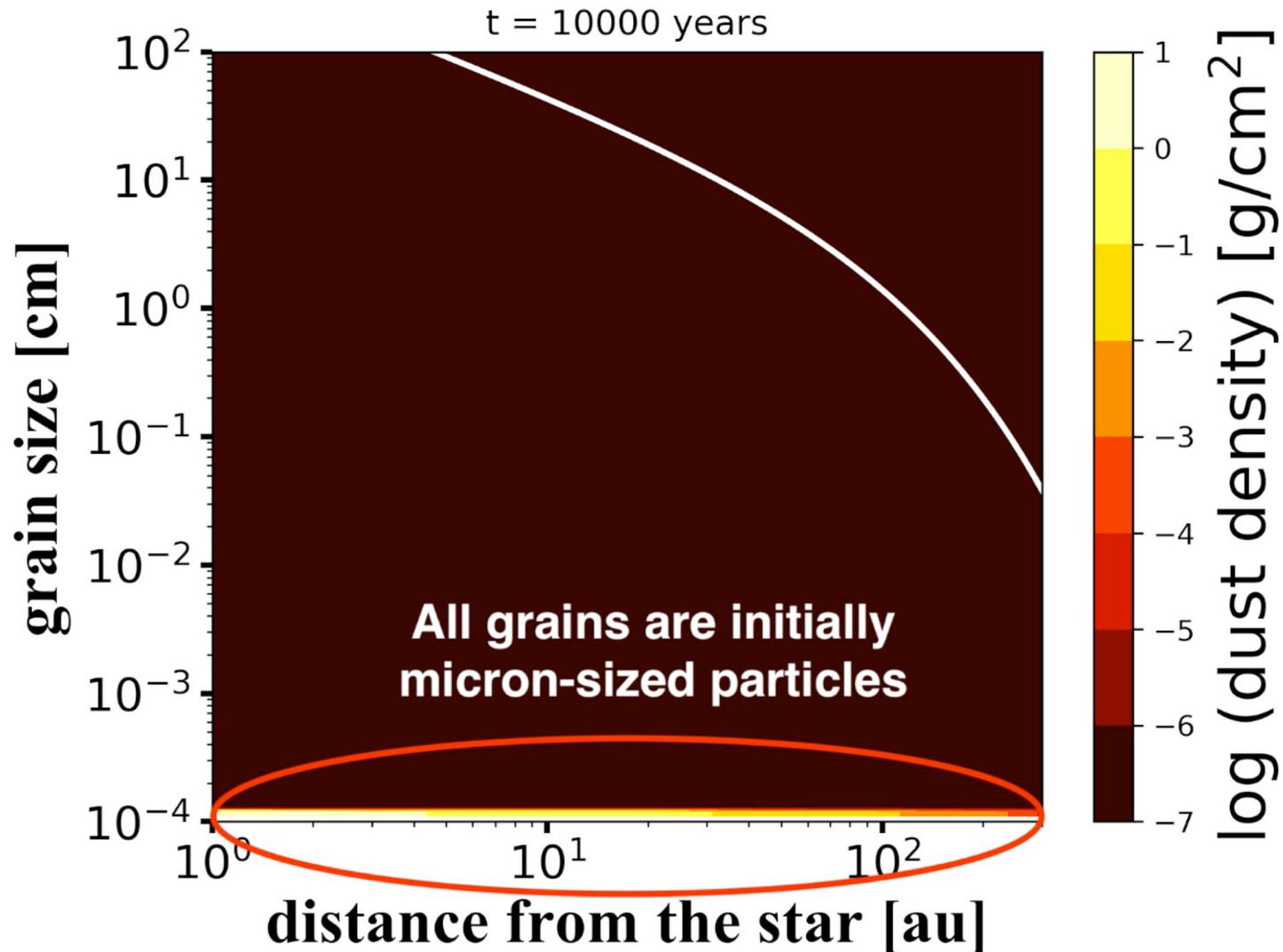
Dust particles acquire inward drift velocities of 4000 cm/s once they reach sufficient sizes.

Collisions at such velocities lead to destruction and the drift leads to the loss of all grains into the star over short timescales ( $\lesssim 1000$  yrs).

See e.g. Brauer et al. (2008), Pinilla & Youdin (2017)

# Dust Evolution Models & Radial Drift

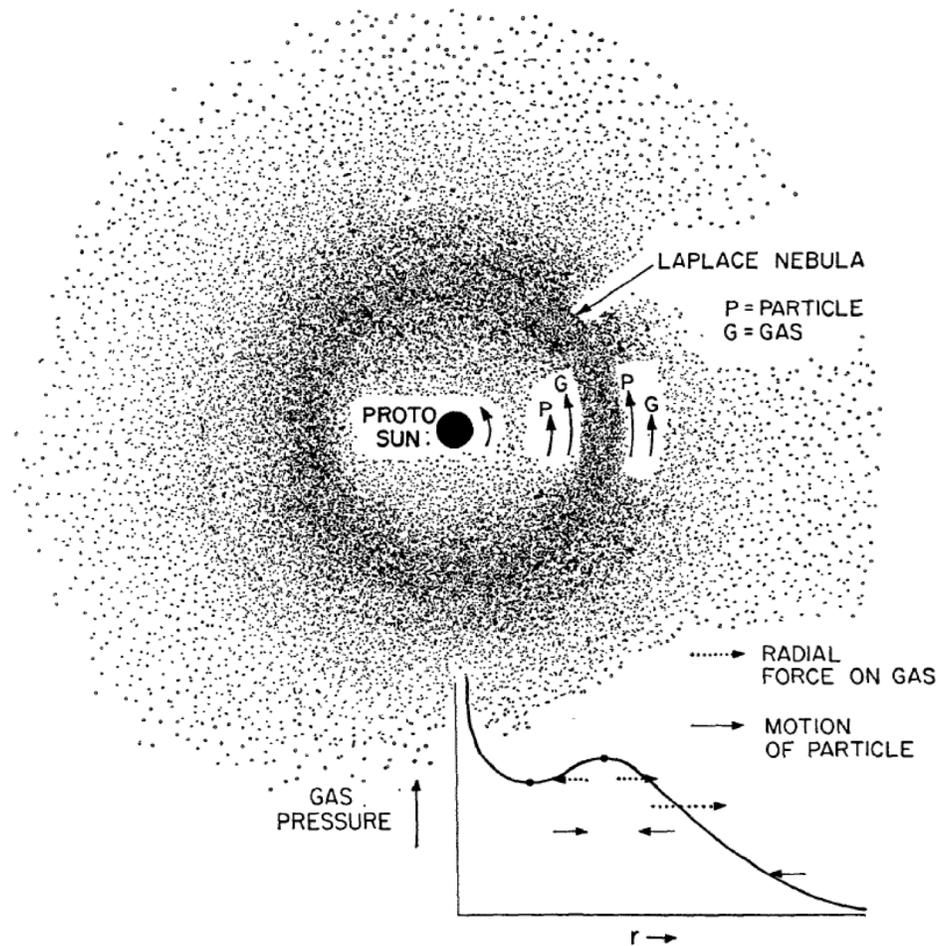
## Barrier



Pinilla et al. (2012a)

# Overcoming the Radial Drift Barrier

Figure 1 from Whipple (1972)

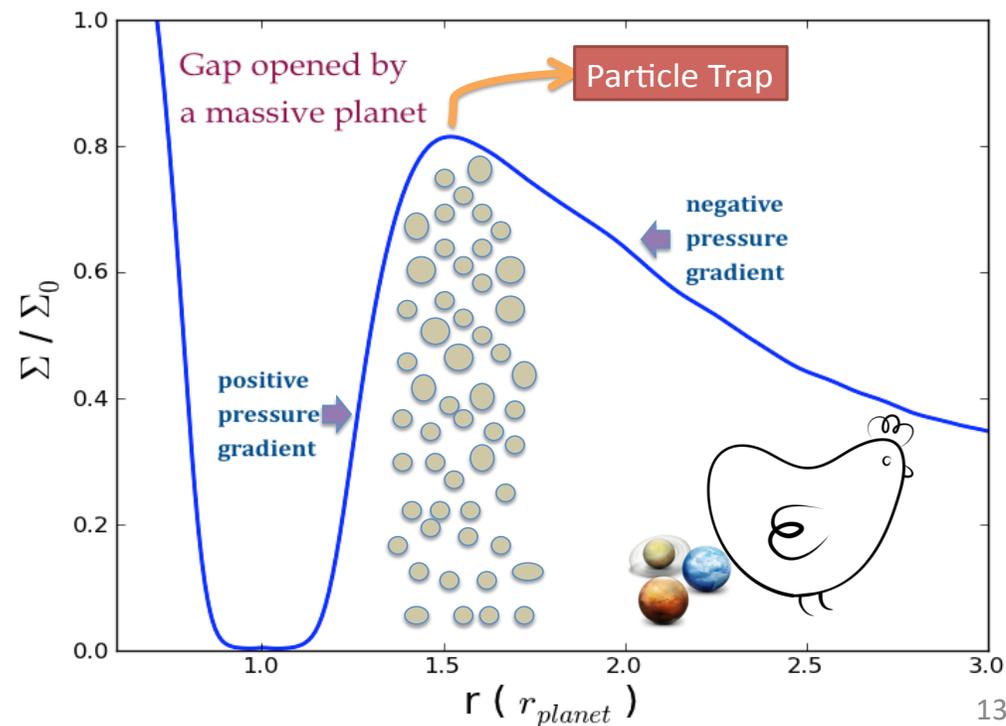


EFFECT OF GAS PRESSURE GRADIENT ON PARTICLE MOTION

$$v_{\phi}^2 = v_{Kepler}^2 + c_s^2 \frac{d \ln P}{d \ln r}$$

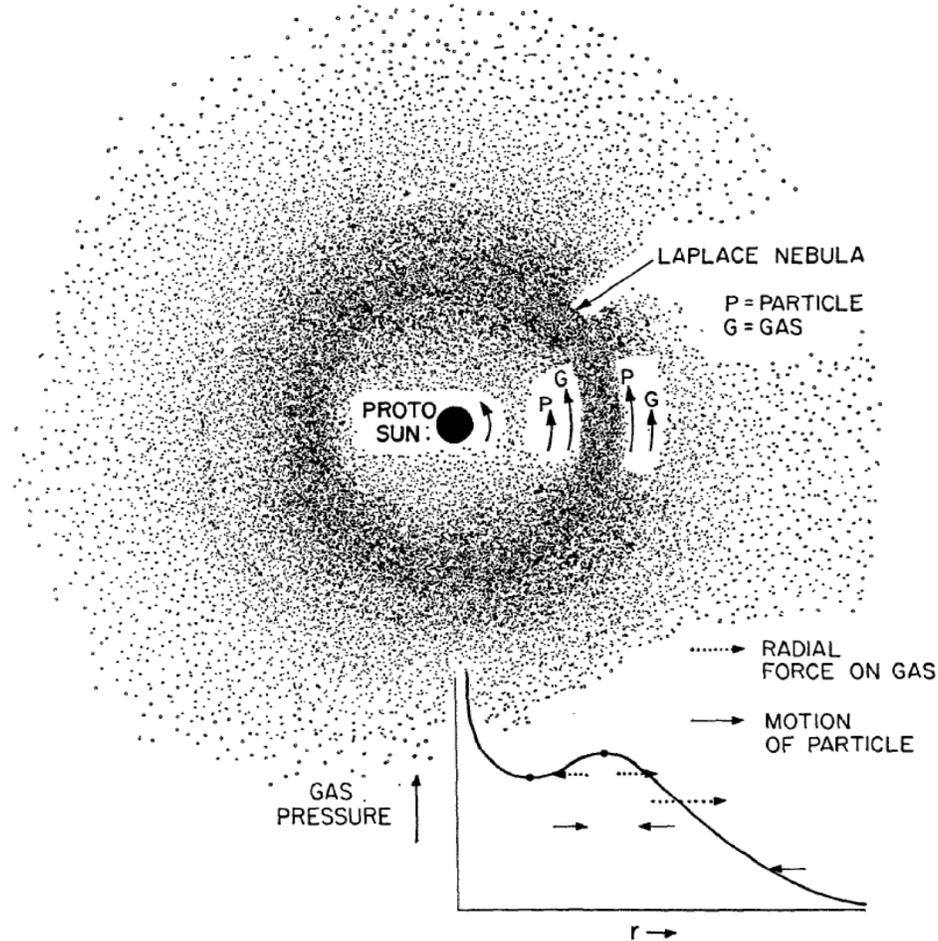
What is the origin of such structures?

- ✓ Outer edge of a planet-carved gap (e.g. Rice et al. 2006, Pinilla et al. 2012b, 2015a, b, 2016b, 2017)



# Overcoming the Radial Drift Barrier

Figure 1 from Whipple (1972)

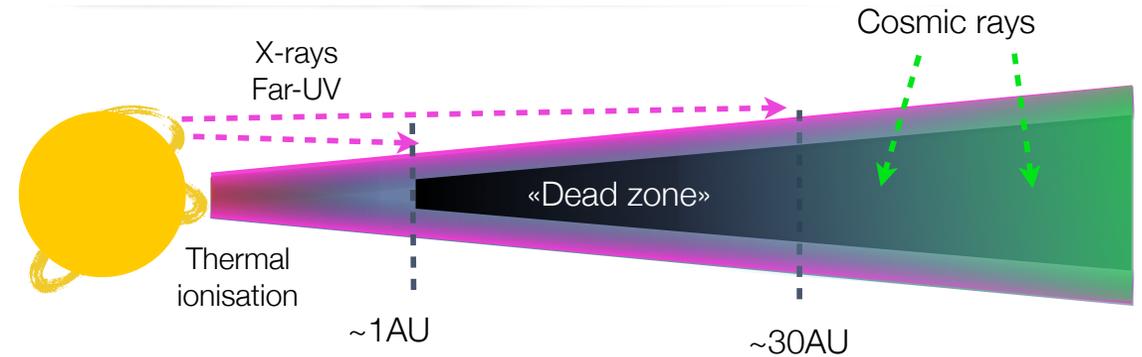


EFFECT OF GAS PRESSURE GRADIENT ON PARTICLE MOTION

$$v_{\phi}^2 = v_{Kepler}^2 + c_s^2 \frac{d \ln P}{d \ln r}$$

What is the origin of such structures?

- ✓ Edge of a dead zone (regions of low ionization rate, e.g. Varnière & Tagger 2006, Dzyurkevich et al. 2010, Pinilla et al., 2016)

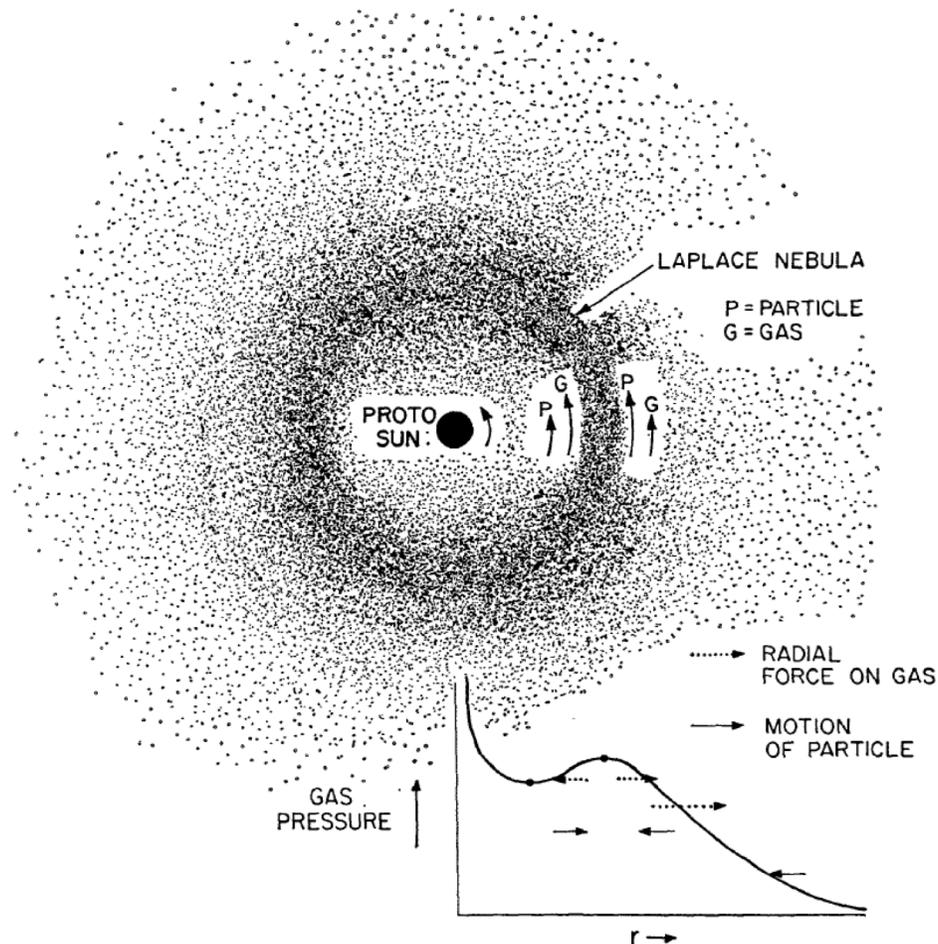


# Overcoming the Radial Drift Barrier

What is the origin of such structures?

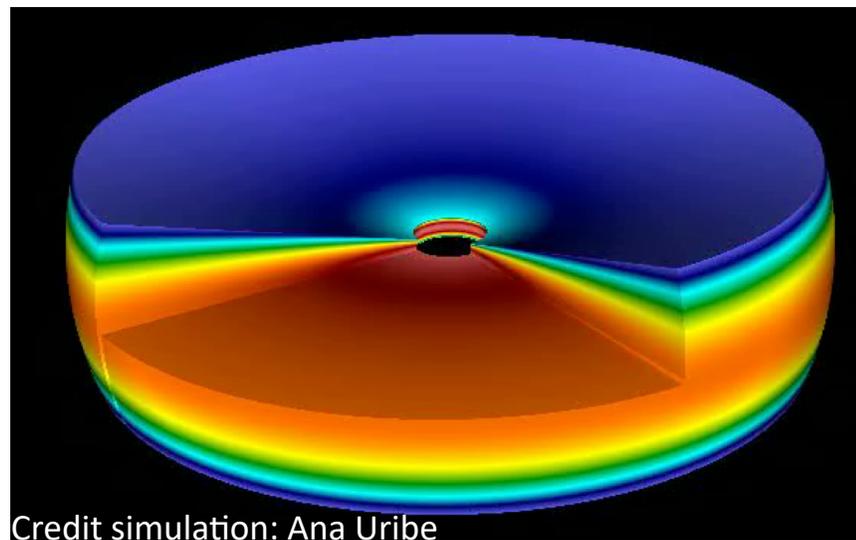
- ✓ Bumps from magnetic fields (e.g. global pressure bumps call zonal flows, Johansen et al. 2009, Uribe et al. 2011, Pinilla et al. 2012a, 2013, Simon et al. 2014)

Figure 1 from Whipple (1972)



EFFECT OF GAS PRESSURE GRADIENT ON PARTICLE MOTION

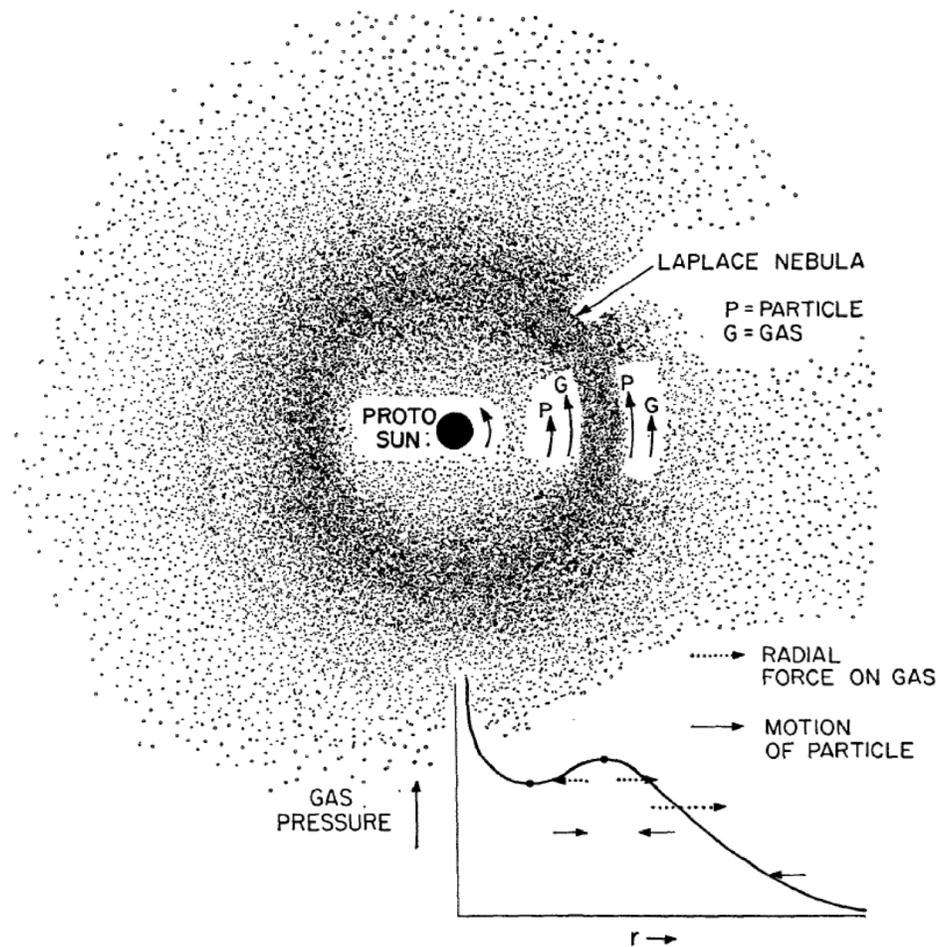
$$v_{\phi}^2 = v_{Kepler}^2 + c_s^2 \frac{d \ln P}{d \ln r}$$



Credit simulation: Ana Uribe

# Overcoming the Radial Drift Barrier

Figure 1 from Whipple (1972)

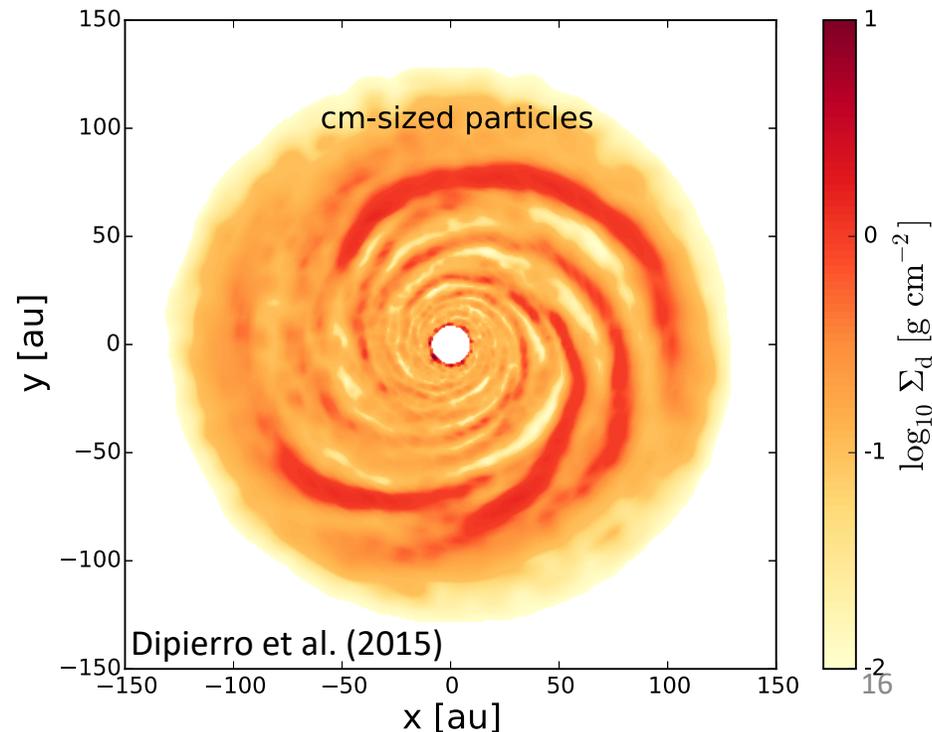


EFFECT OF GAS PRESSURE GRADIENT ON PARTICLE MOTION

$$v_{\phi}^2 = v_{Kepler}^2 + c_s^2 \frac{d \ln P}{d \ln r}$$

What is the origin of such structures?

- ✓ Spiral arms in self-gravitating disks (e.g. Lodato & Rice 2004; Dipierro, Pinilla et al. 2015)

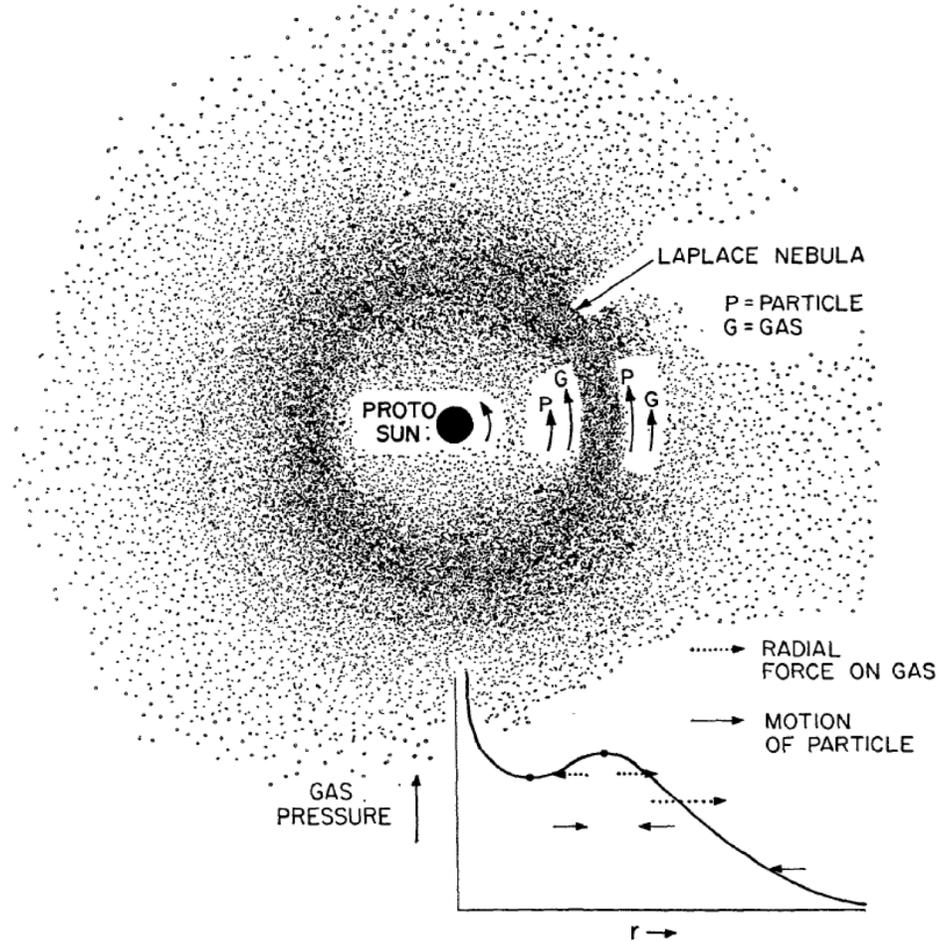


# Overcoming the Radial Drift Barrier

What is the origin of such structures?

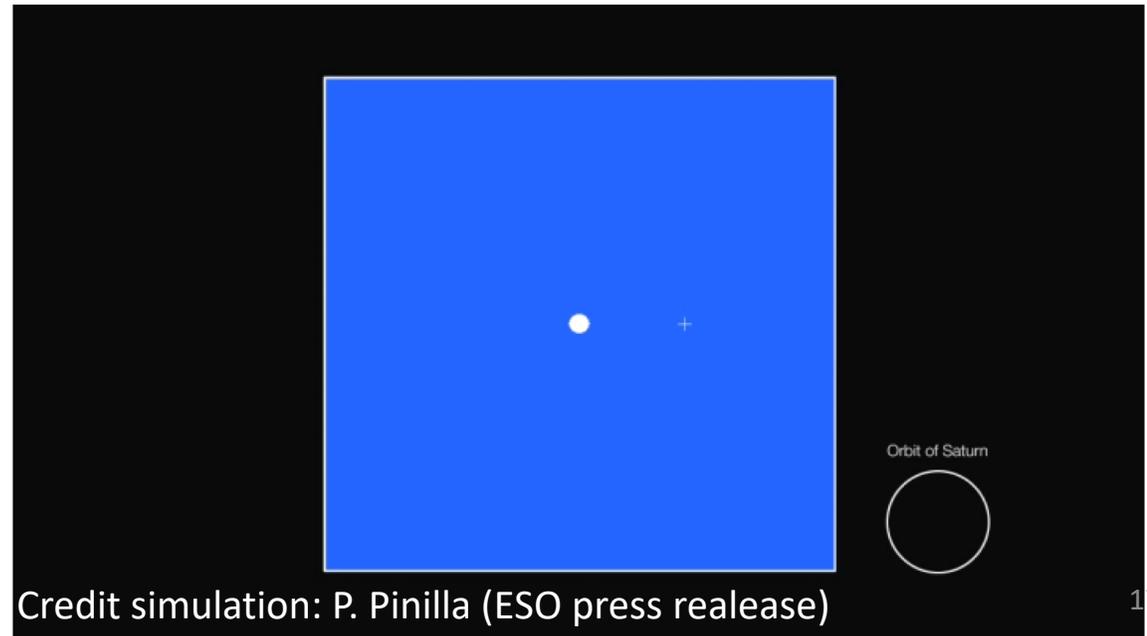
- ✓ Vortices (e.g. De Val-Borro et al. 2007; Lin & Papaloizou 2011, Ataiee, Pinilla, et al., 2013, Hammer, Pinilla, et al., 2019)

Figure 1 from Whipple (1972)



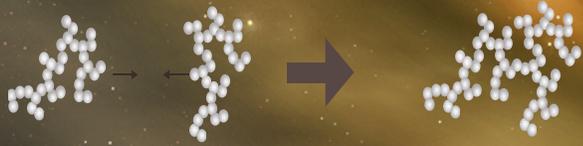
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$$v_{\phi}^2 = v_{Kepler}^2 + c_s^2 \frac{d \ln P}{d \ln r}$$

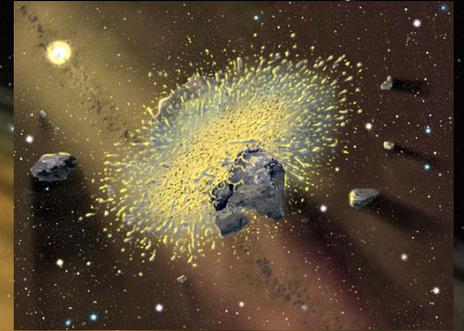


# First Steps of Planet Formation

Initially the dust is as the ISM  
(micron-sized particles)



Dust collides, clumps and grows



Destructive collisions replenish  
the disk with small grains,  
which move with the gas



Large grains decouple, and  
quickly drift inwards

**Particle Traps:**

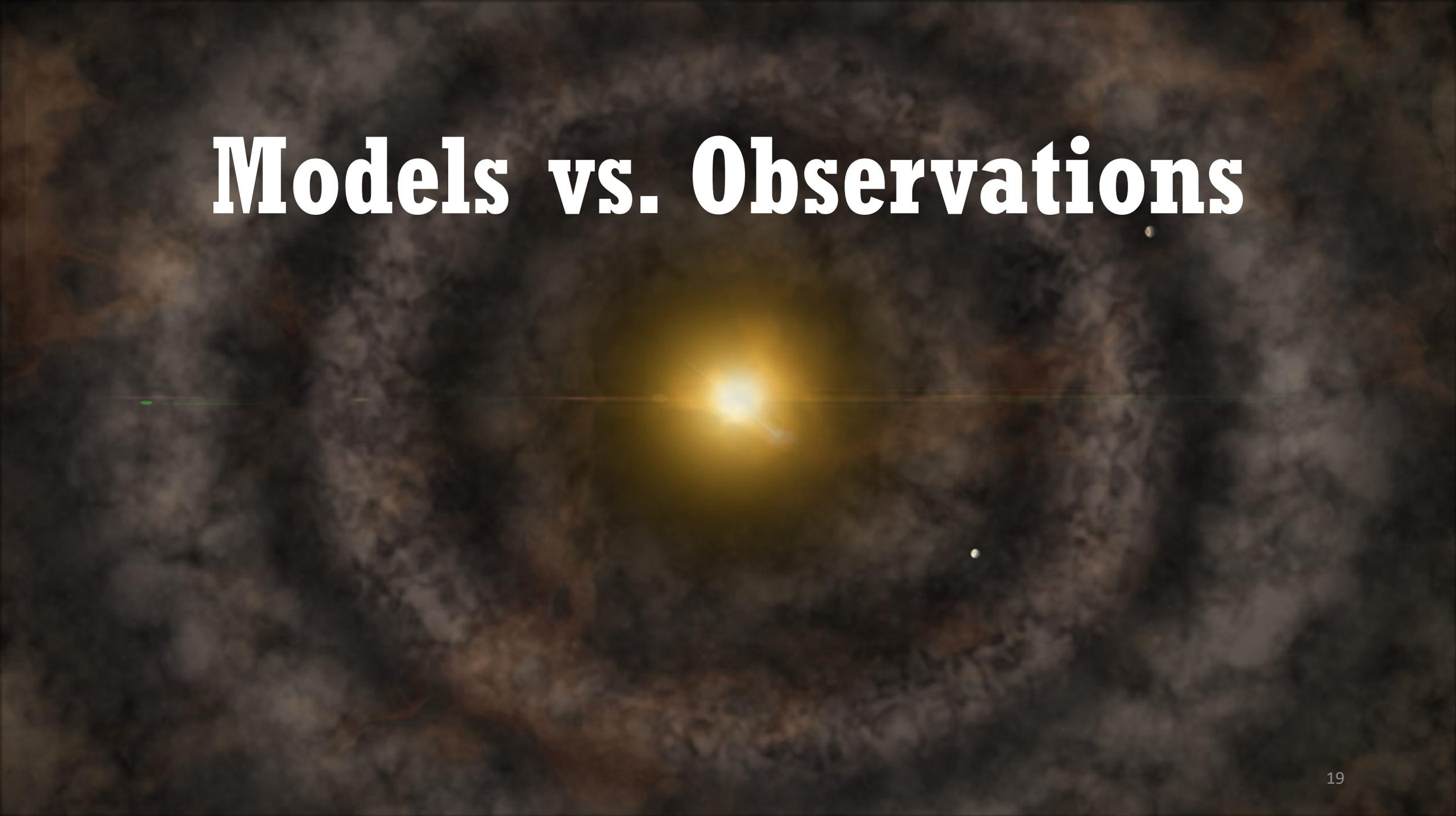


Preferential regions  
where the dust can  
stop drifting, accumulate, and  
grow to pebbles and planetesimals

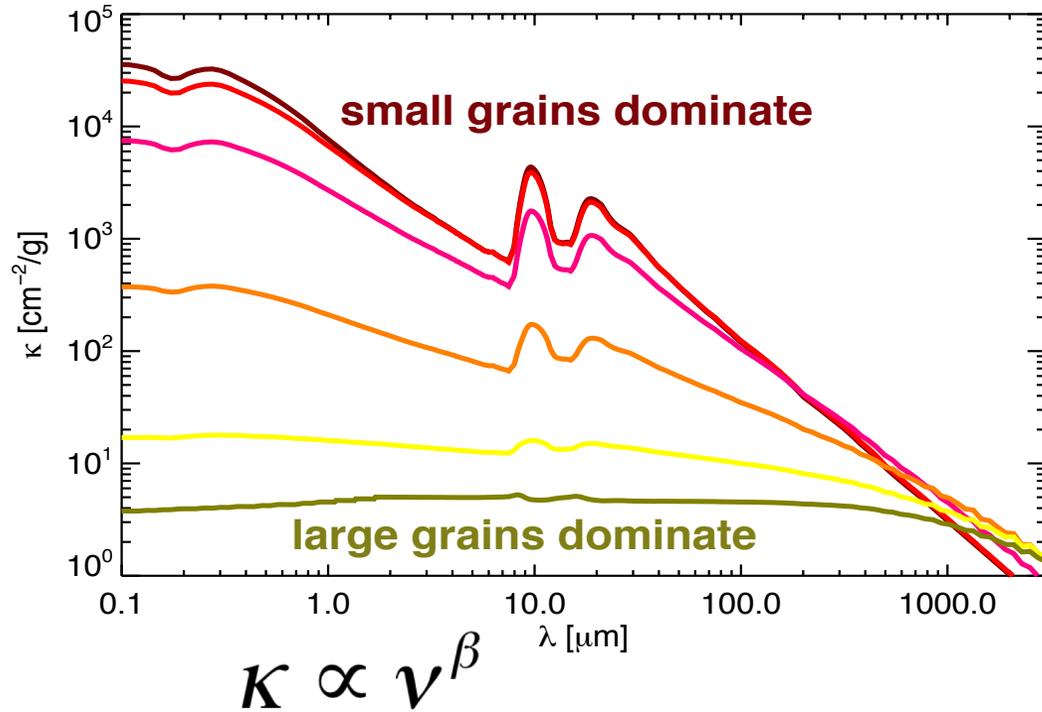
Terrestrial planets or the core of giant planets  
form through the accretion of pebbles and/or  
planetesimals



# Models vs. Observations

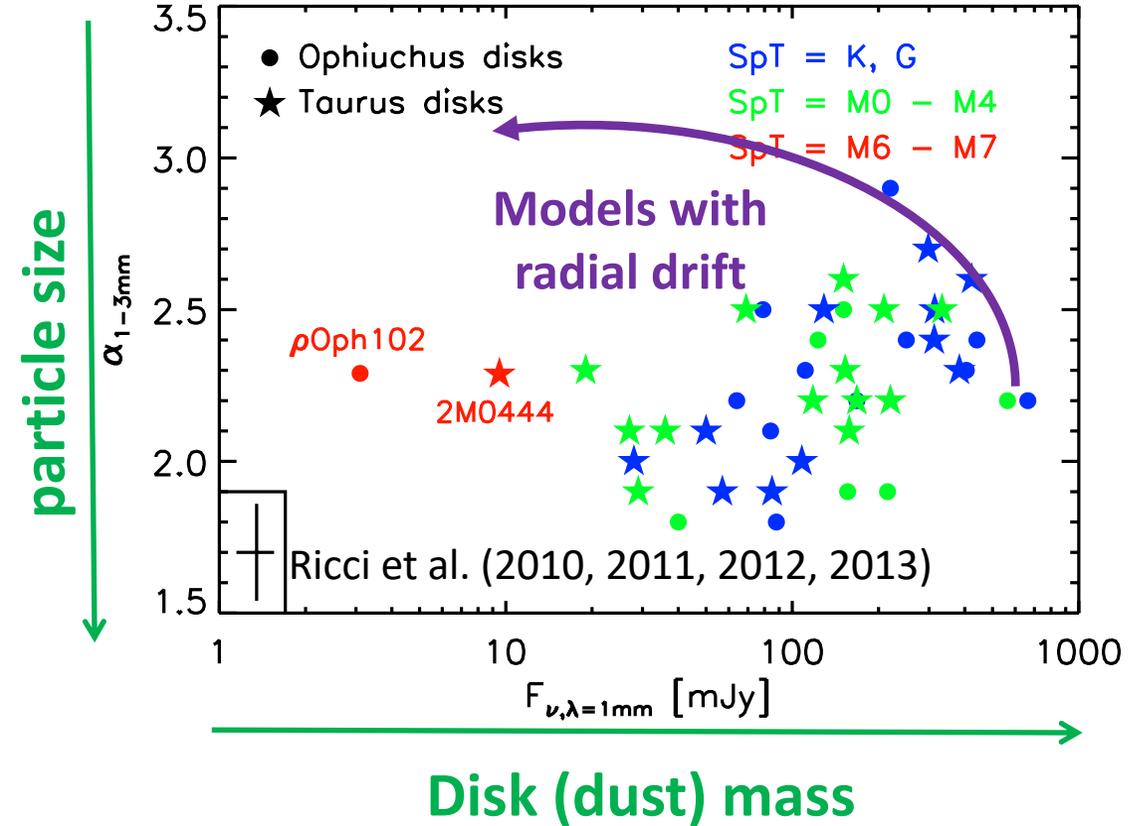


# Evidence of mm-grains in PPDs



$$F_\nu \propto \nu^{\beta+2} \propto \nu^{\alpha_{mm}}$$

If  $\beta \leq 1$  ( $\alpha_{mm} < 3$ ), dust grains have grown to millimeter sizes



mm-sized pebbles survive despite the fast inward drift and possible fragmentation

# Global Pressure Bumps

How strong must they be to explain mm-observations?

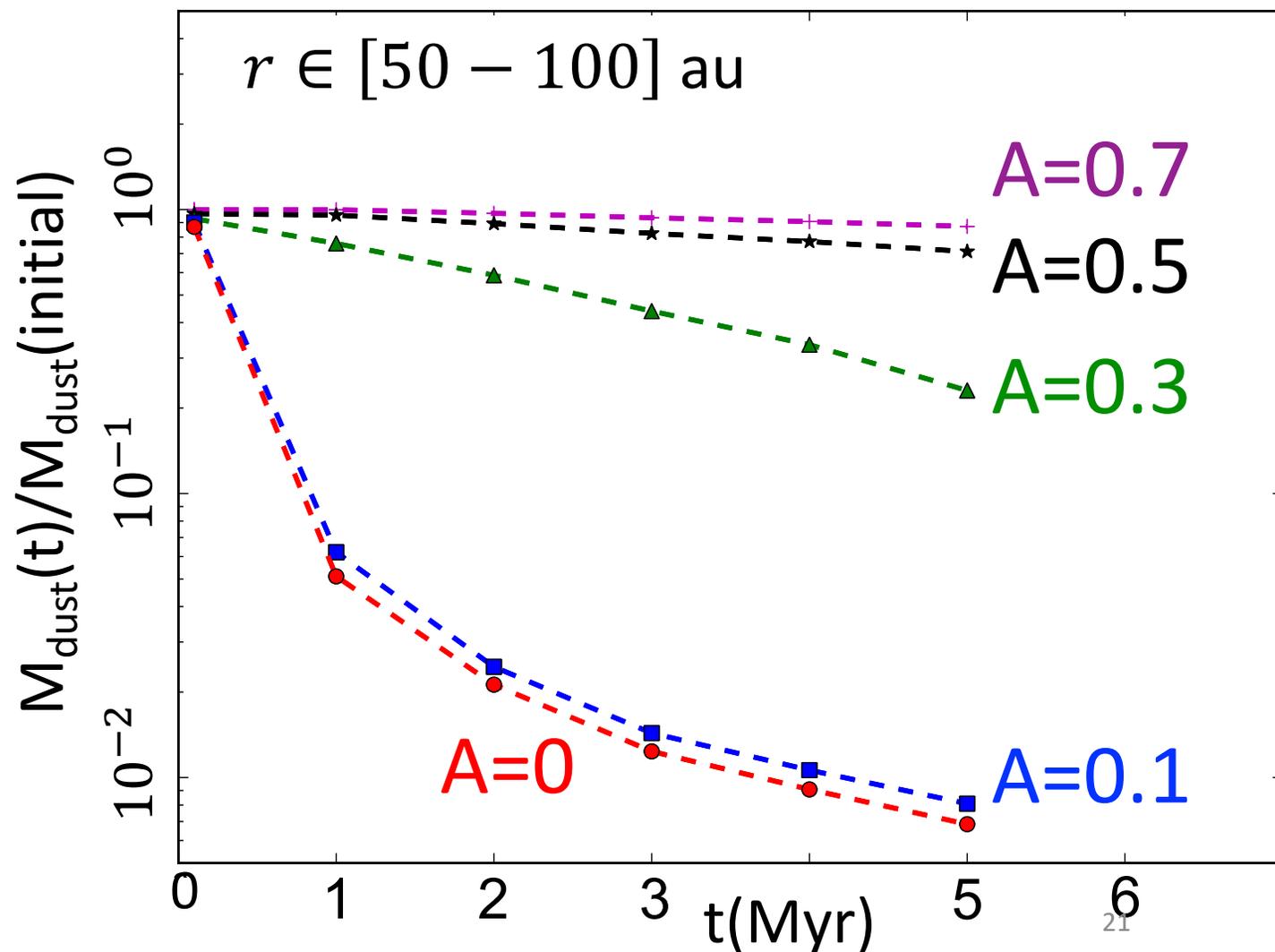
Assumption: a vertically isothermal disk, with gas surface density inhomogeneities

$$\Sigma'(r) = \Sigma(r) \left( 1 + A \cos \left[ 2\pi \frac{r}{L(r)} \right] \right)$$

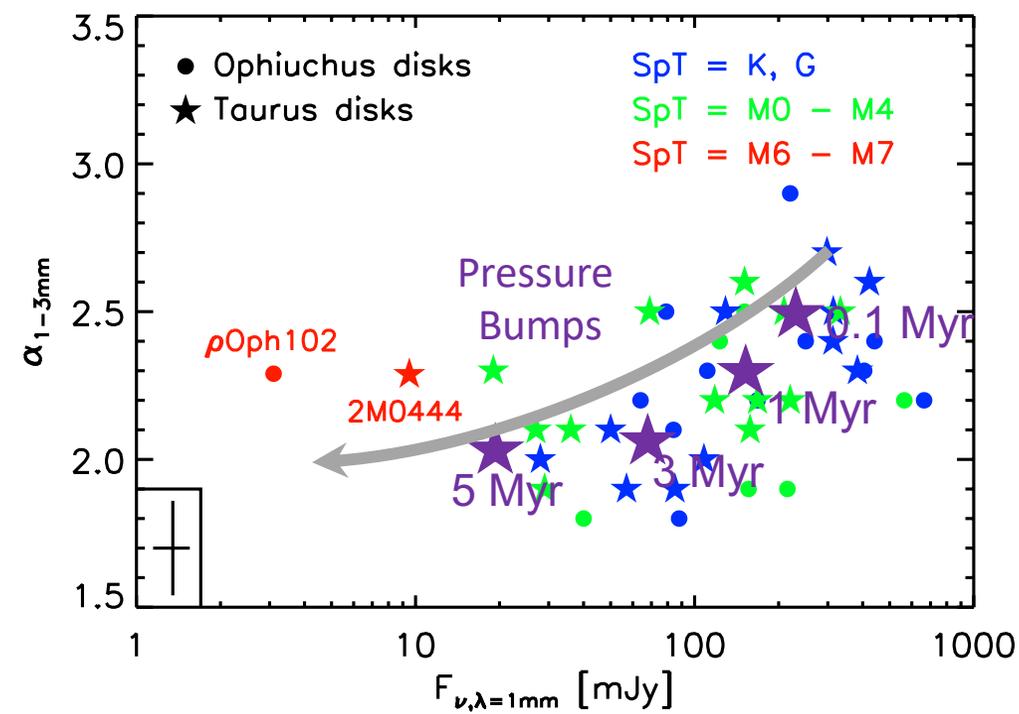
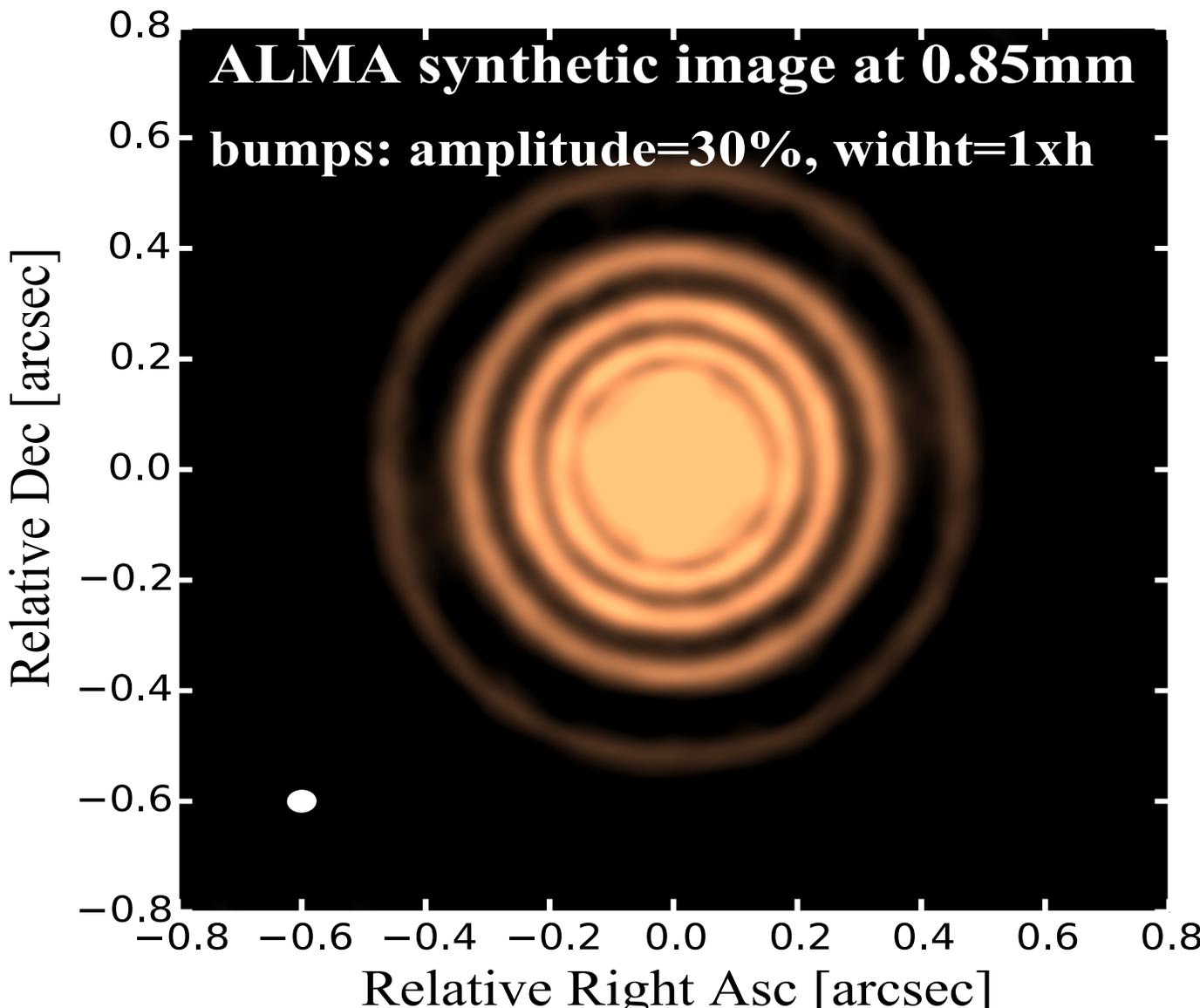
Condition to have  $\frac{dP}{dr} > 0$

$$A_{min} = 0.1$$

Pinilla et al. (2012a)

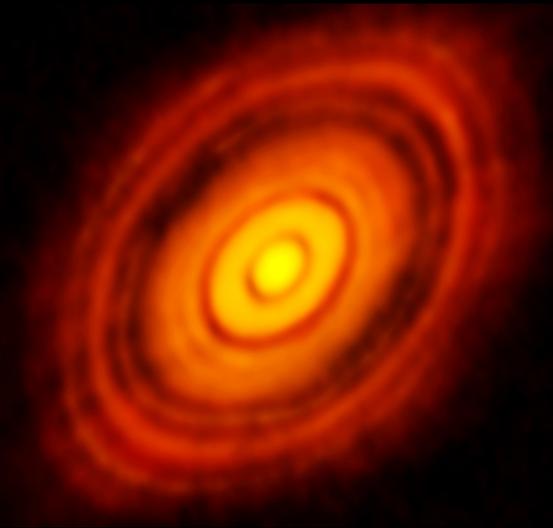


# Trapping by Global Pressure Bumps



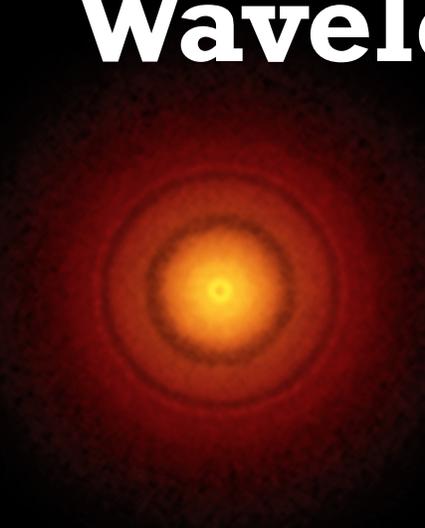
Pressure bumps of 25-30% of amplitude allow to reduce radial drift and keep millimeter particles in the outer regions of disks

# Multiple Rings Observed at Different Wavelengths



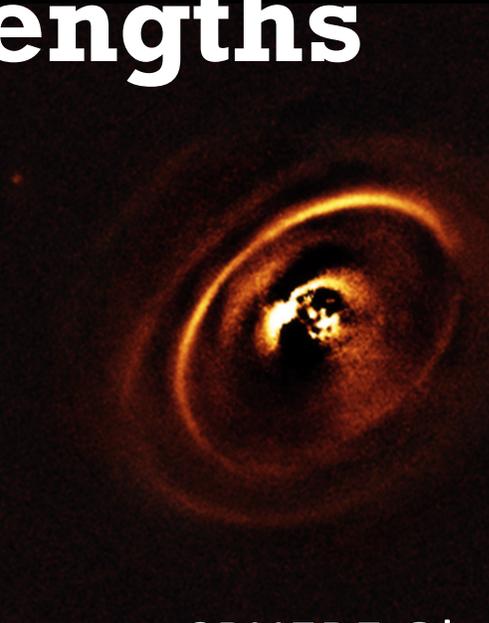
ALMA Obs  
**HL Tau**

ALMA Partnership et  
al. (2015)



ALMA Obs  
**TWHya**

Andrews et al.  
(2016)



SPHERE Obs  
**RX J1615**

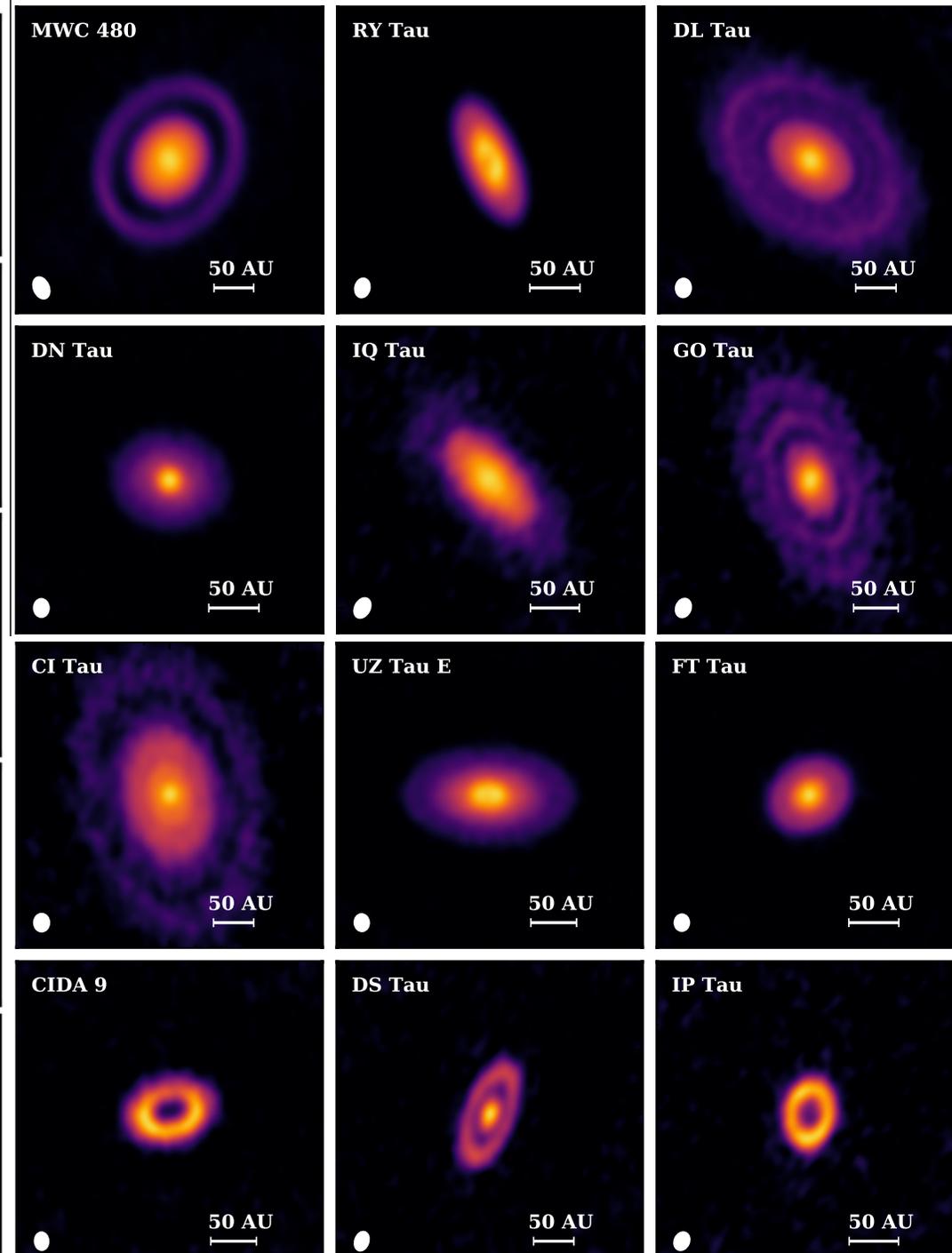
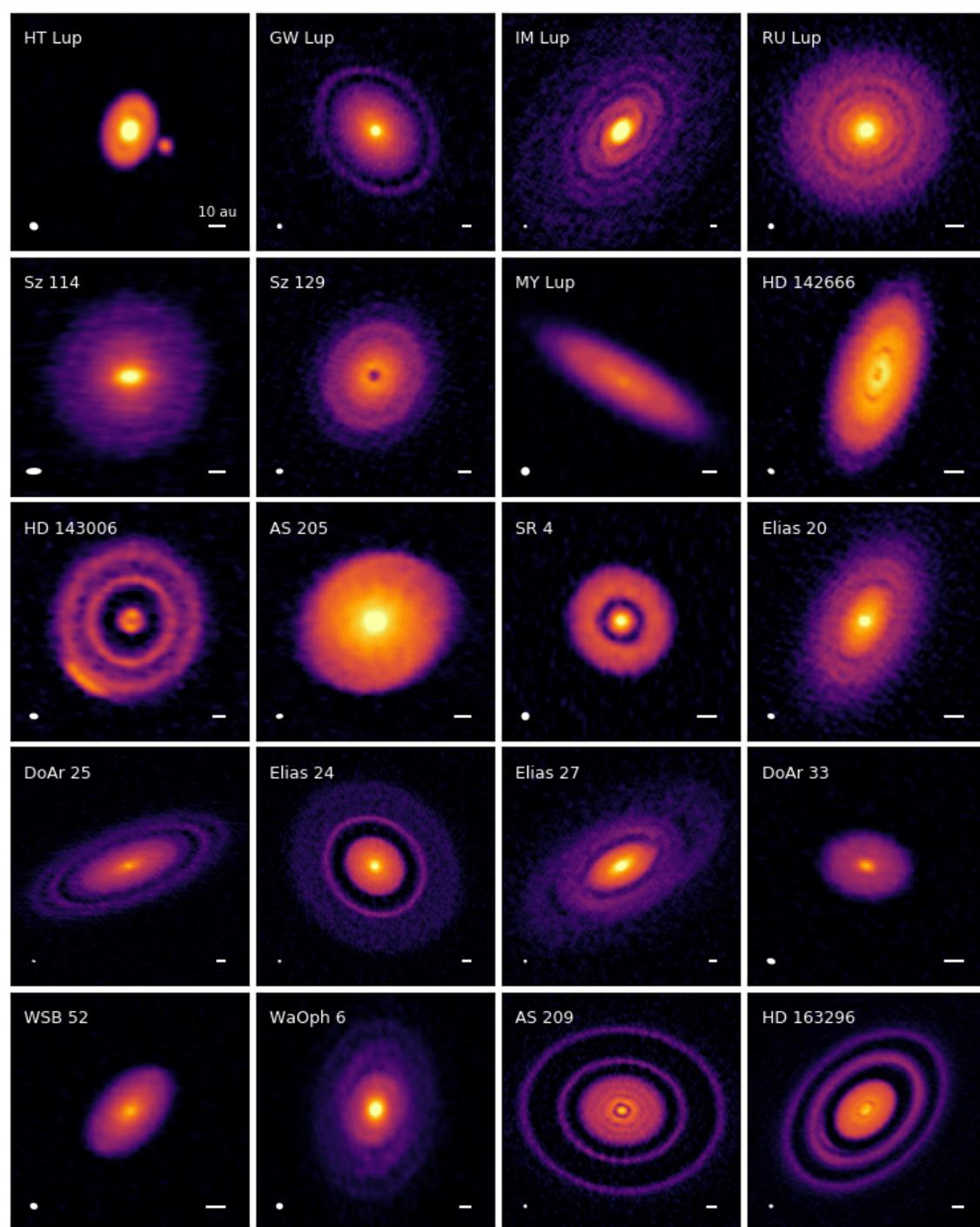
de Boer et al.  
(2016)



SPHERE Obs  
**HD 97048**

Ginski et al.  
(2016)

Planet-disk interaction is a popular explanation for the origin of these structures, but there are several theoretical alternatives and currently the real origin remains unknown.

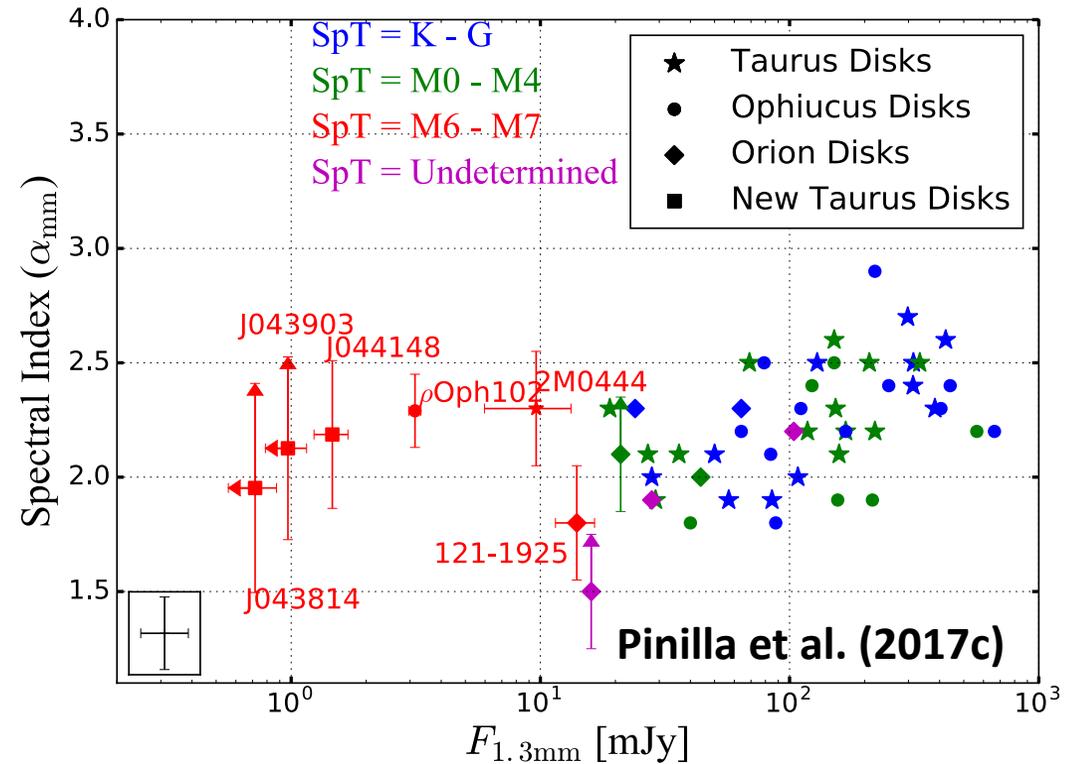
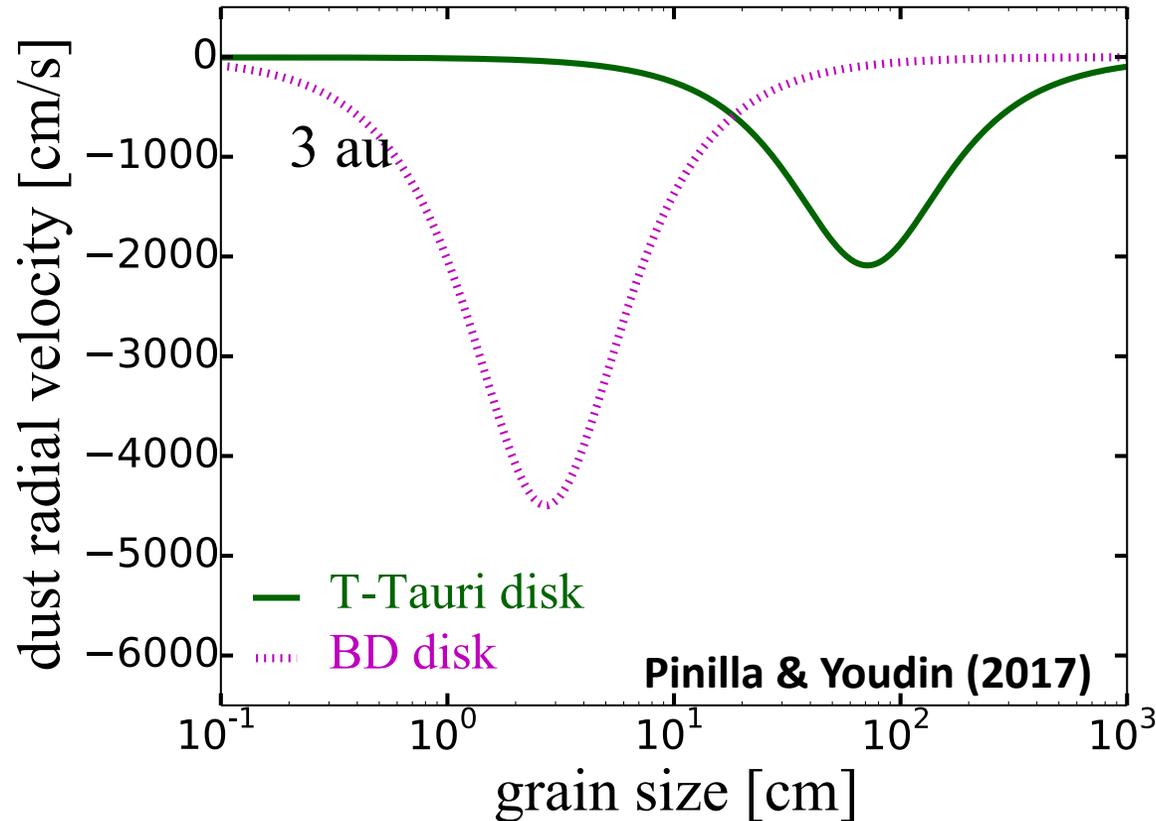


# New view with ALMA

DSHARP  
Andrews et al.  
(2018)

Taurus  
Long, Pinilla  
et al. (2018)

# Because Radial Drift is More Effective around Low Mass Stars, Rings and Gaps are Expected to be More Predominant

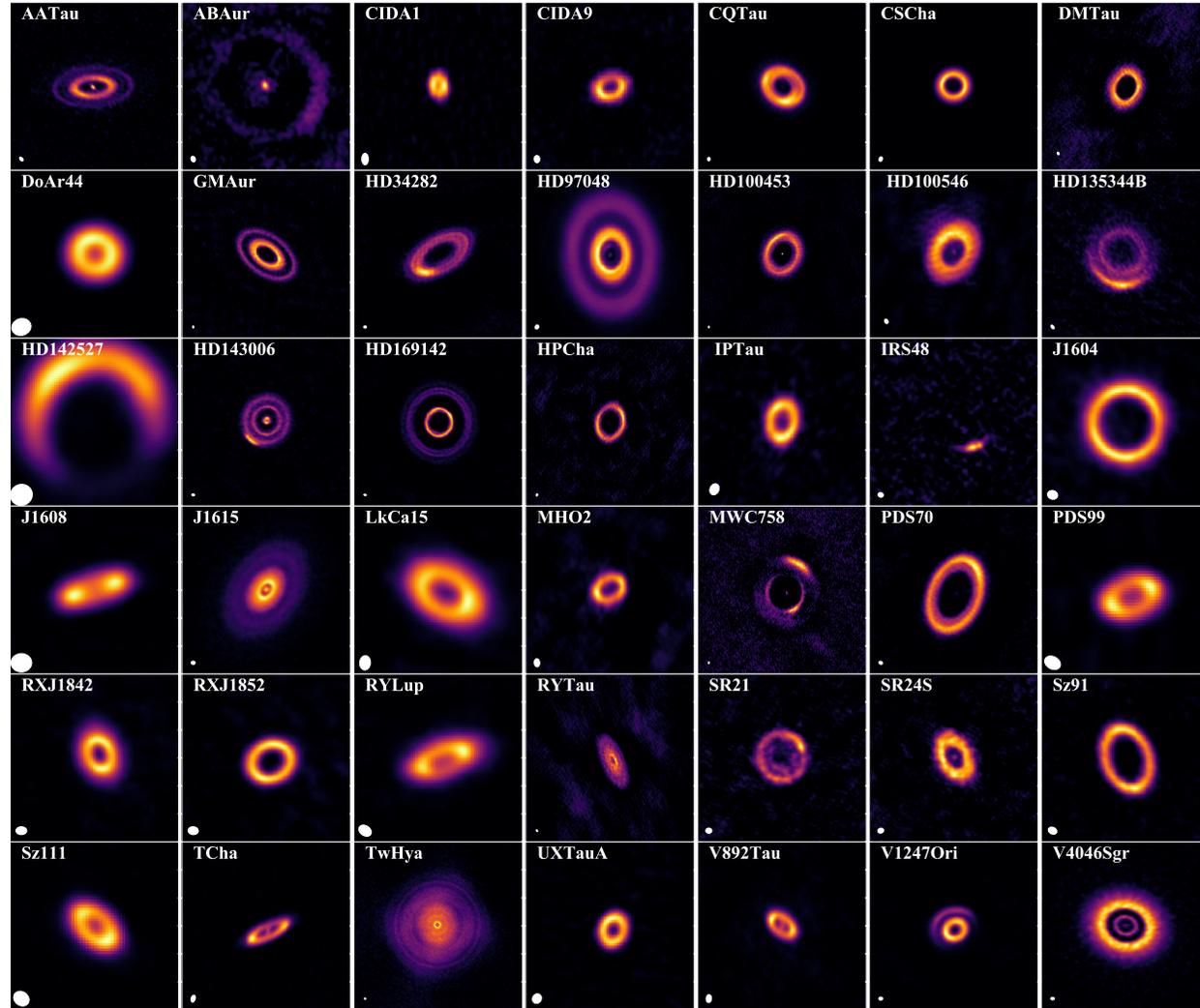


The radial-drift barrier is more difficult to overcome for the dust around Brown Dwarfs disks than around typical T-Tauri disks

# Current Research

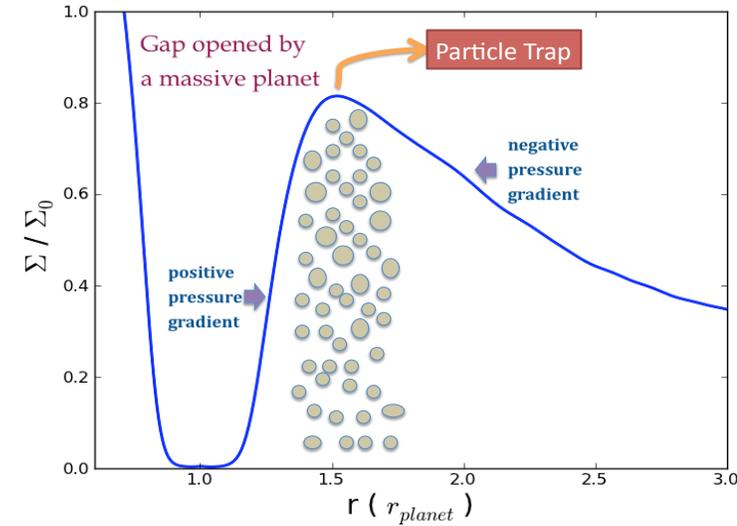
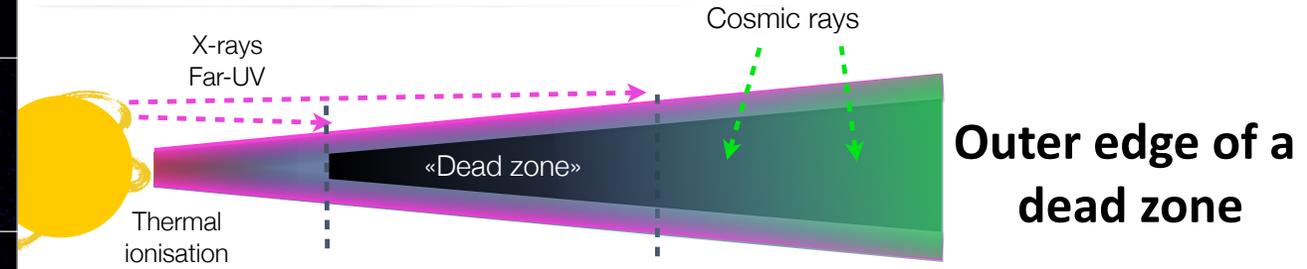


# Transition Disks: Dust Depleted Inner Cavities



Collection of TDs observed with ALMA

What is the origin of the structures?



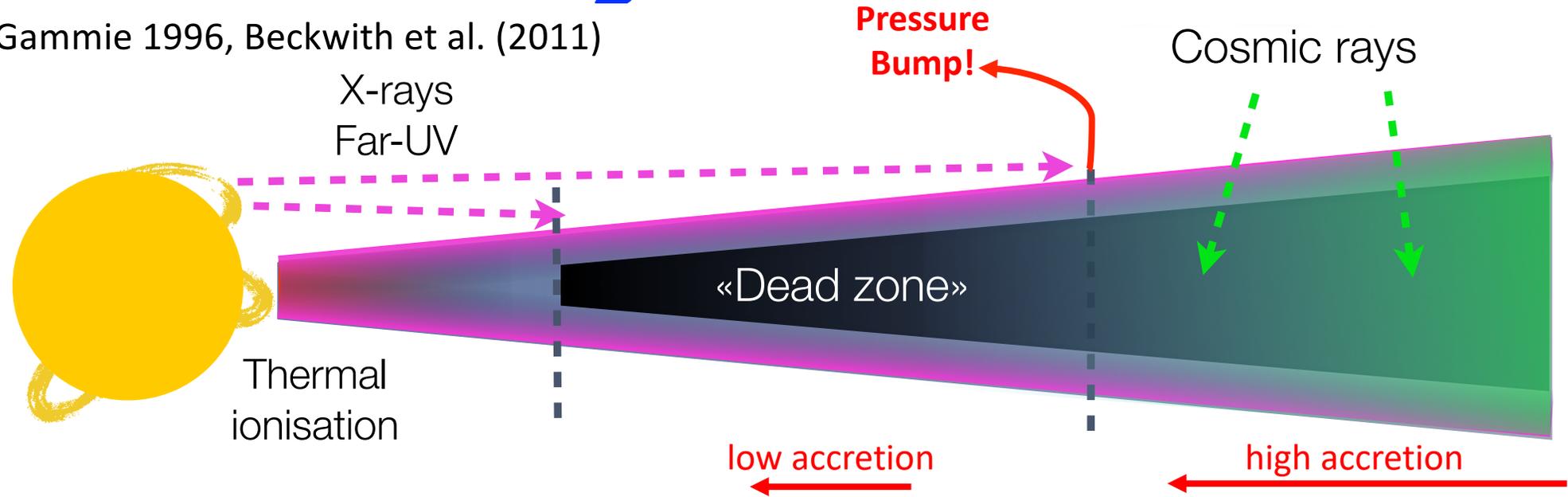
Planet-disk interaction



How can we distinguish from observations?

# Potential Origin of TDs: Dead Zones

See e.g.: Gammie 1996, Beckwith et al. (2011)



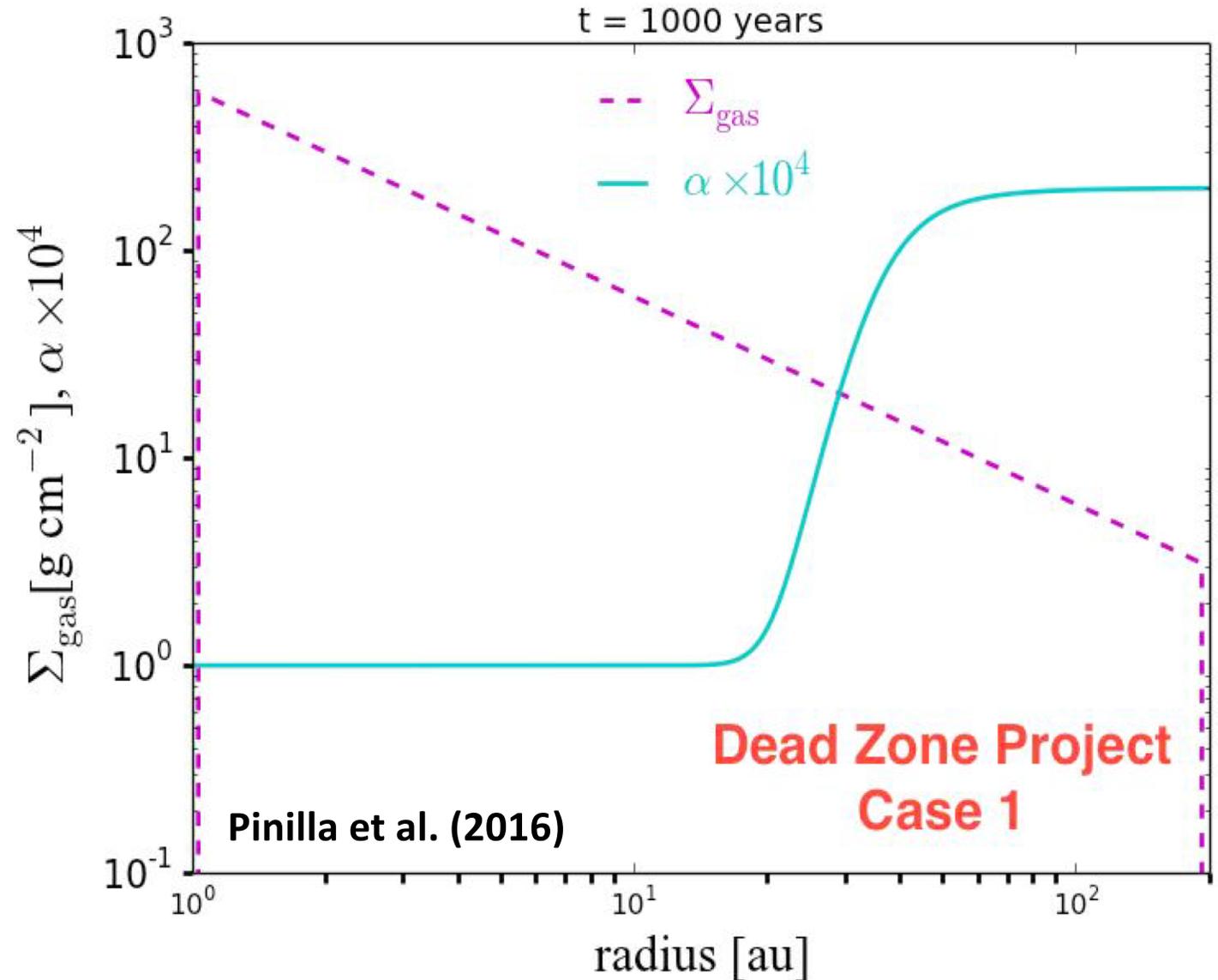
MRI leads to self-sustaining turbulence within sufficiently well-ionized accretion disks  
 If MRI is suppressed the effective turbulence is low

$$v_t = \alpha c_s H \begin{cases} \text{In an *active zone* } & 10^{-3} < \alpha < 10^{-2} \\ \text{In a *dead zone* } & \alpha \leq 10^{-4} \end{cases} \quad \boxed{\dot{M} \propto v_t}$$

# Analytical Approximation of a DZ

We implement a dependence on surface density, so that a change in surface density can switch the disk from active to dead and back.

See also: Varnière & Tagger 2006; Kretke & Lin 2007; Brauer et al. 2008; Dzyurkevich et al. 2010; Drazkowska et al. 2013; Ruge et al. 2016



# MHD + Gas/Dust Evolution

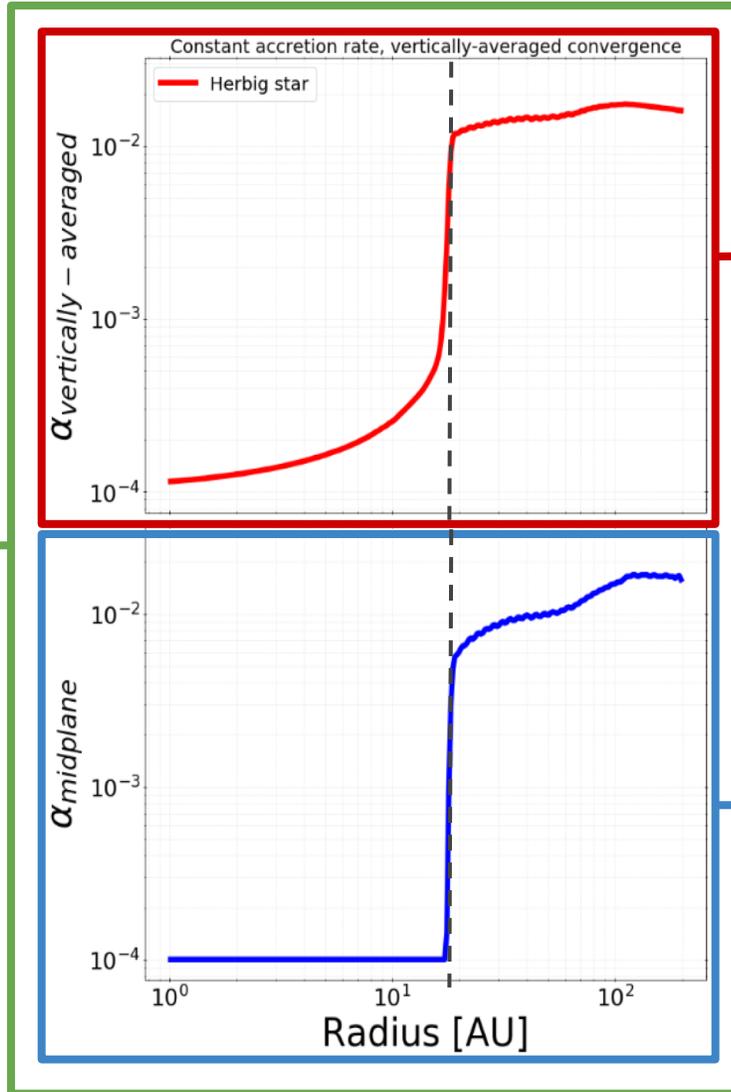


1. Obtain a radial profile for the  $\alpha$ -parameter, self-consistently derived from the disk properties and ionisation level through **non-ideal MHD calculations**
2. Understand how this **self-consistent radial profile for the  $\alpha$ -parameter** impacts on the dust transport mechanisms through a **dust/gas evolution model**

# MHD + Gas/Dust Evolution

Star  
(Herbig  
here)

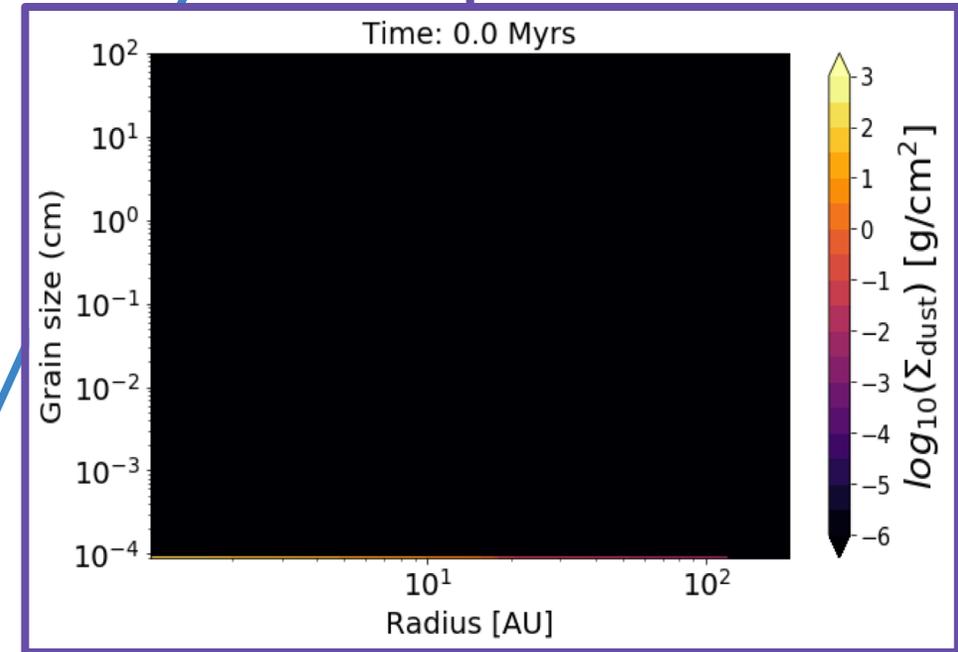
mhdpy



Gas viscosity  
&  
dust settling

Dust evolution (dustpy)

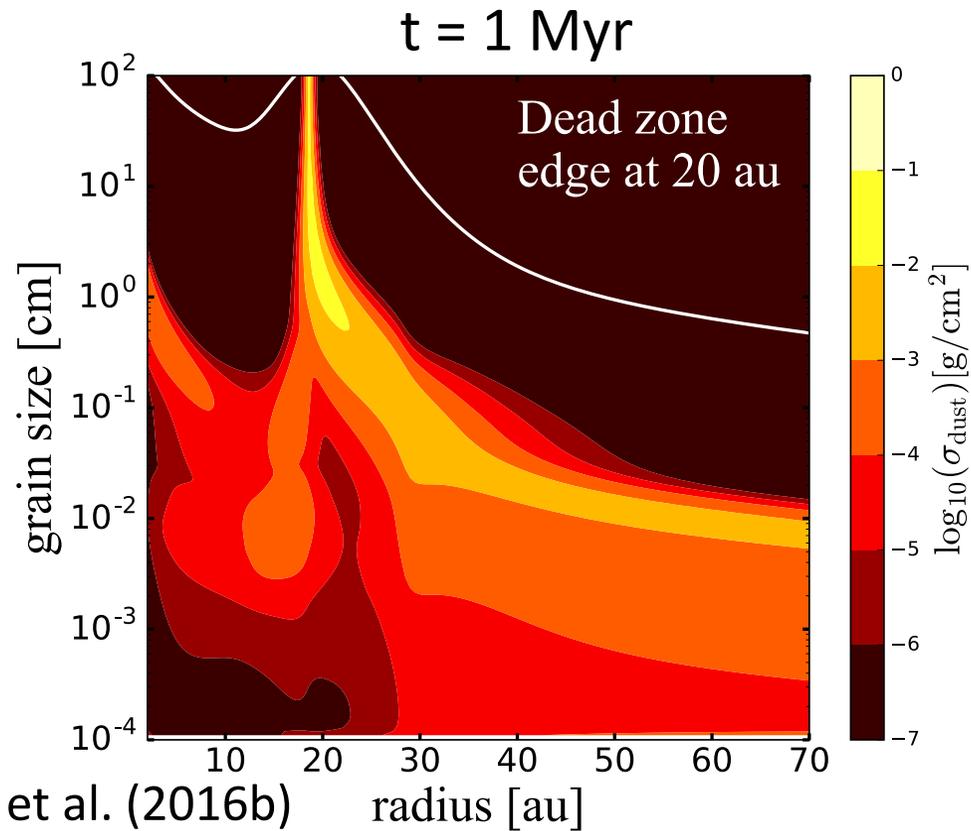
Dust  
turbulence  
&  
radial  
diffusion



Delage, Pinilla+ (in prep.)

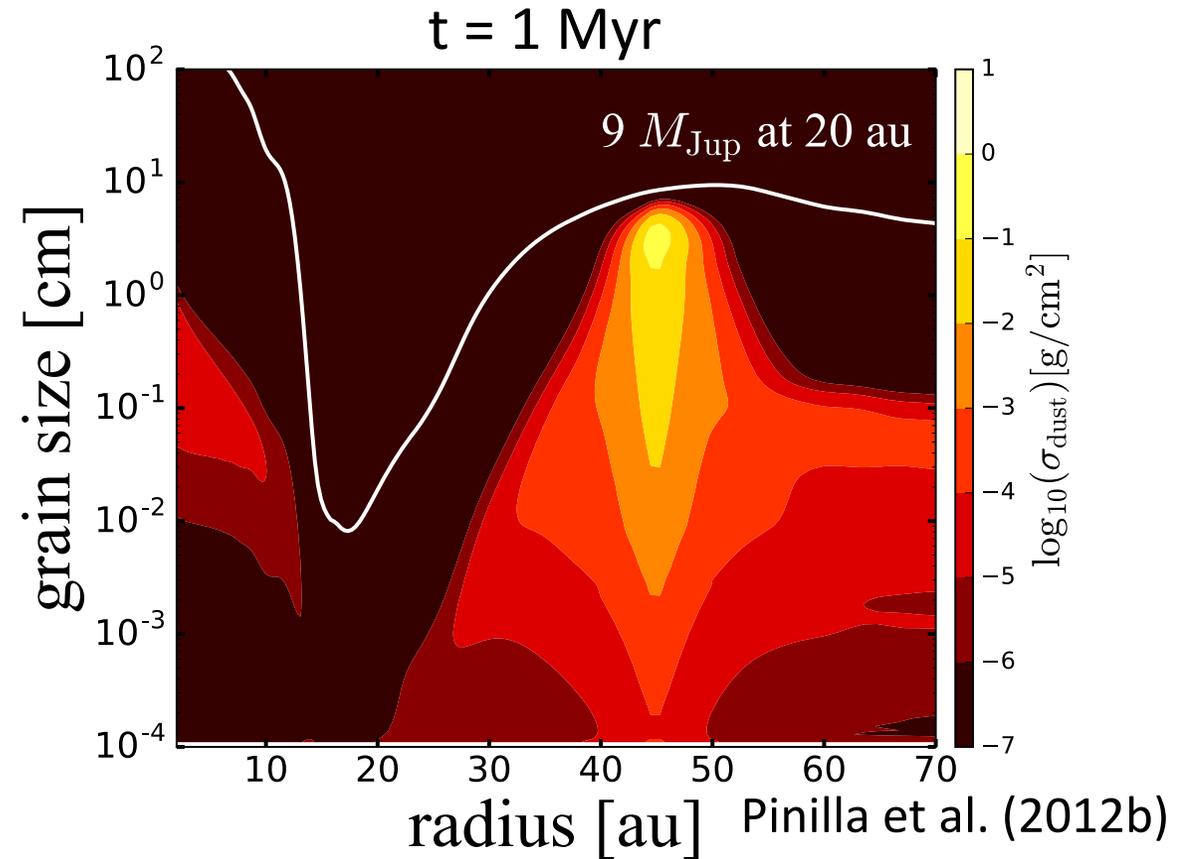
[delage@mpia.de](mailto:delage@mpia.de)

# Dead Zones vs. Planets: how do we distinguish?



## Dead Zones

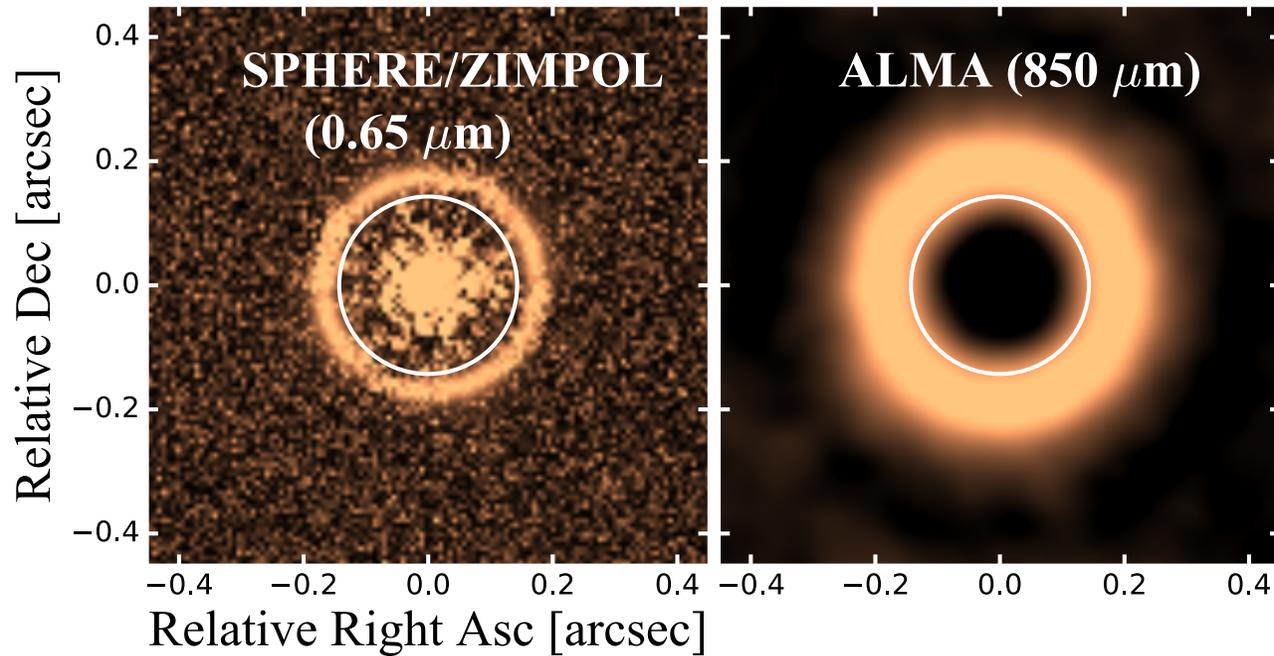
Size of the cavities is similar at short and long wavelengths.



## Planets

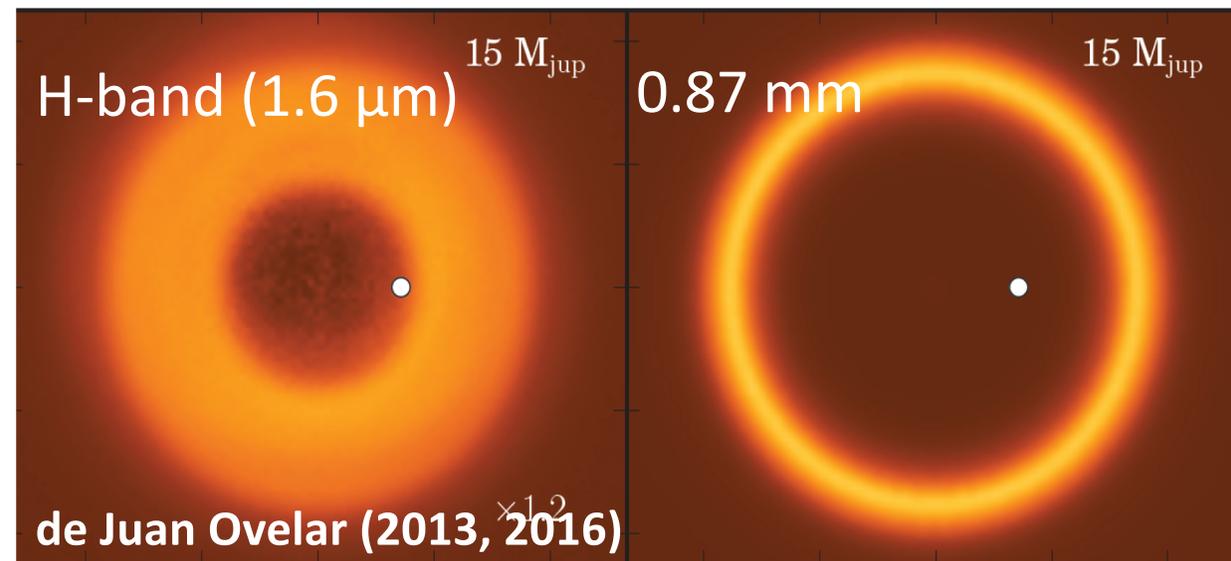
Size of the cavities is smaller at short than at long wavelengths.

# Dead Zones vs. Planets: how do we distinguish?



## Dead Zones

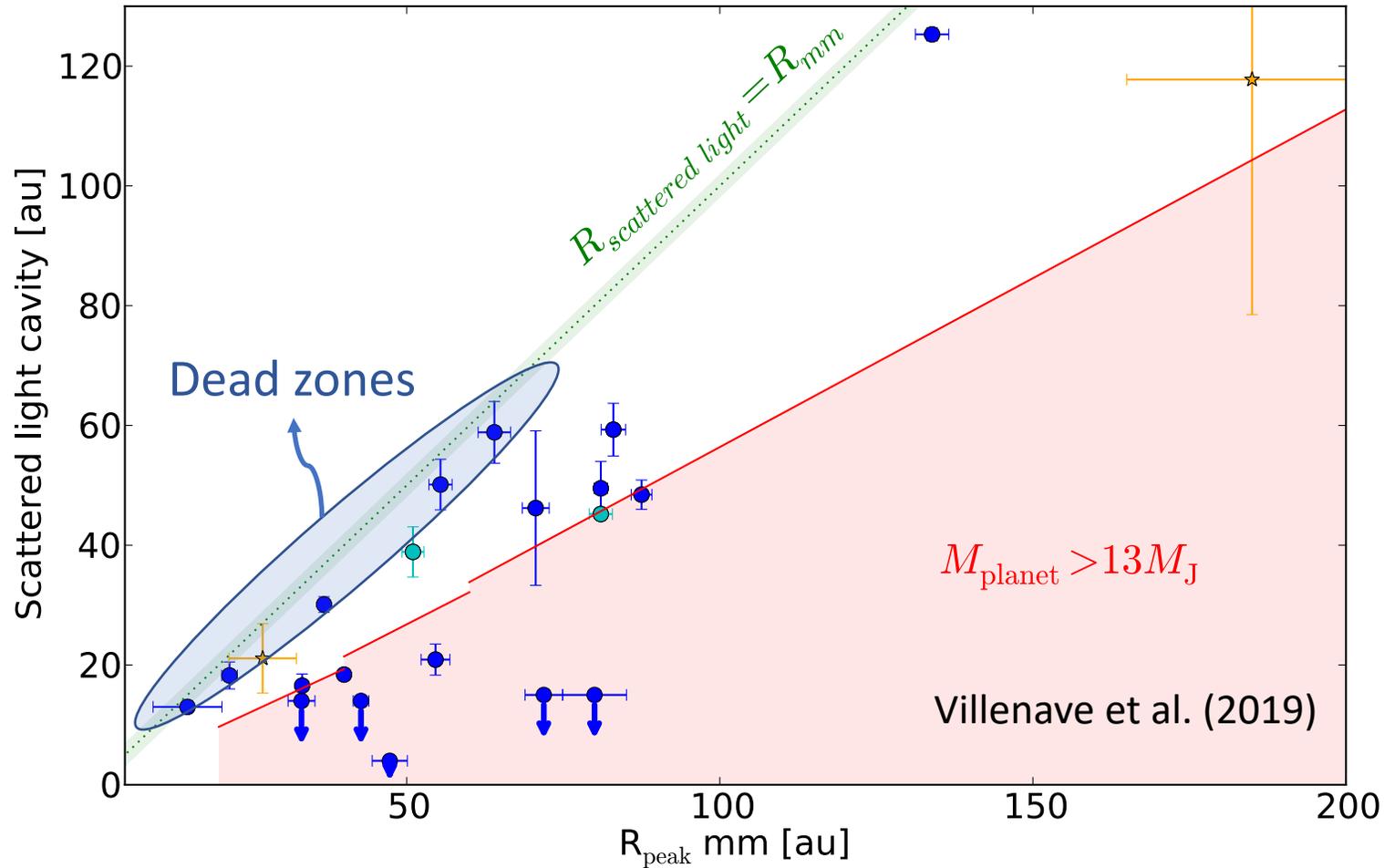
Size of the cavities is similar at short and long wavelengths.



## Planets

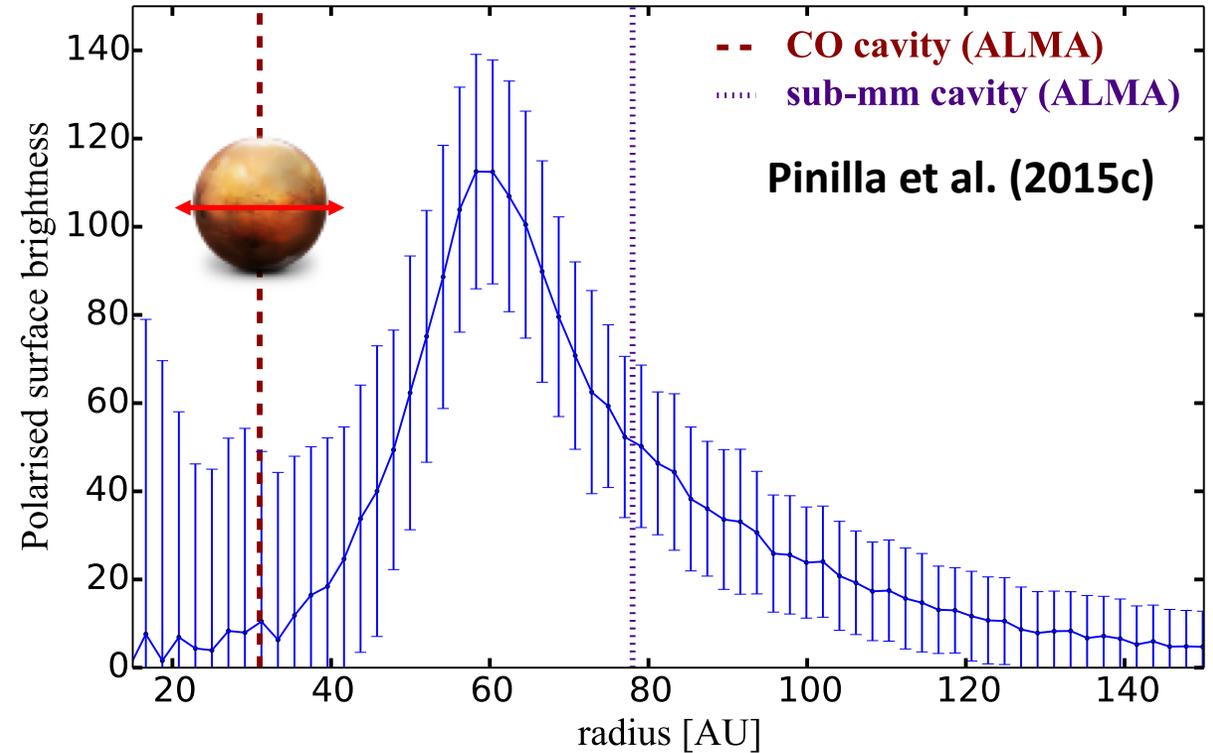
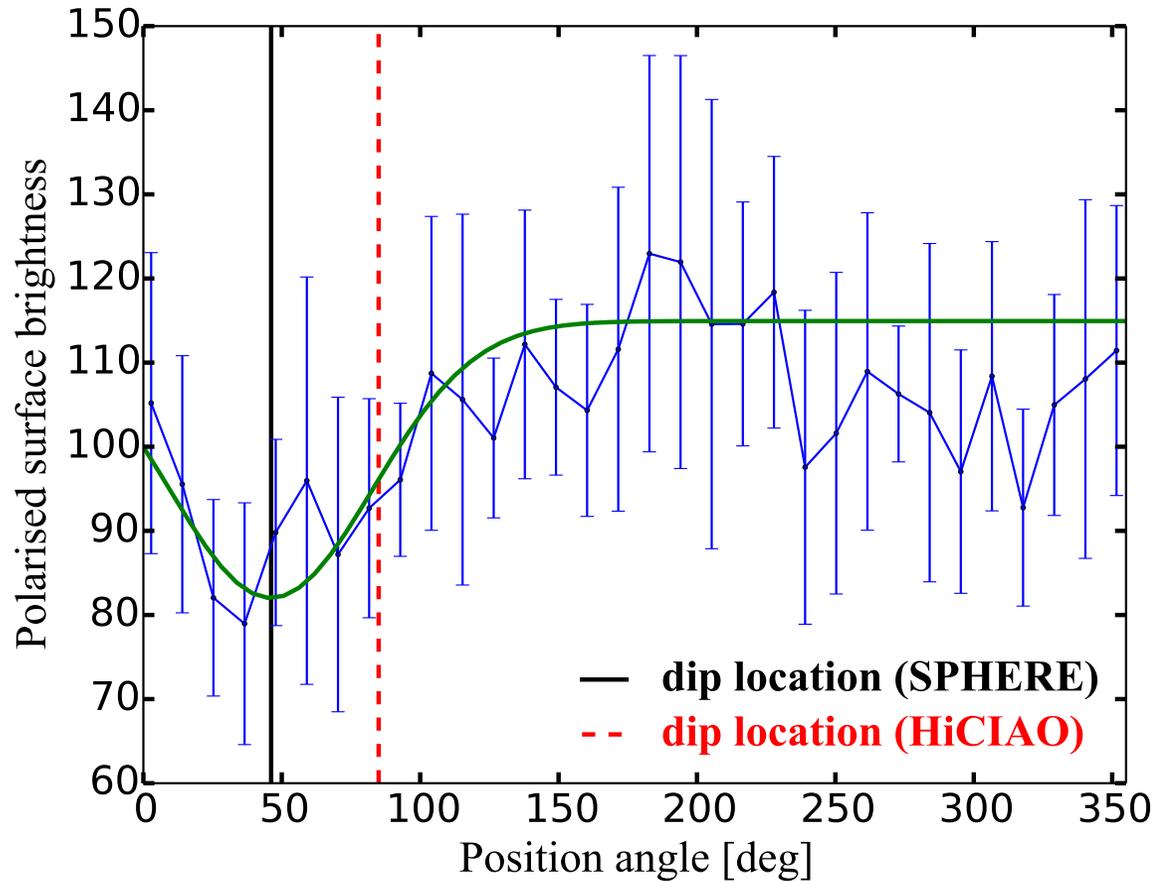
Size of the cavities is smaller at short than at long wavelengths.

# Dead Zones vs. Planets: how do we distinguish?



In a sample of 22 transition disks imaged with both ALMA and SPHERE

# Example of Dust Filtering: Disk Around J160421



Current observations suggest the presence of a massive companion ( $5-10M_{\text{Jup}}$ ) embedded at  $\sim 20-40$  au distance from the star

If the dip is the same, this suggests an average dip rotation of 12 deg/year

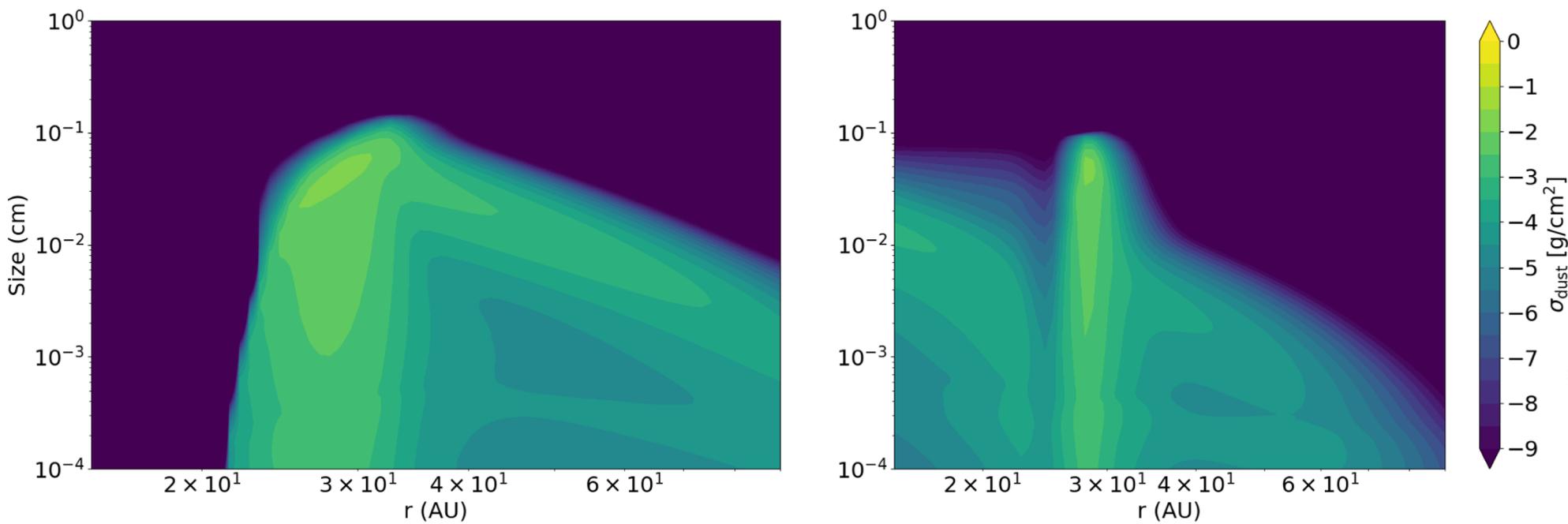
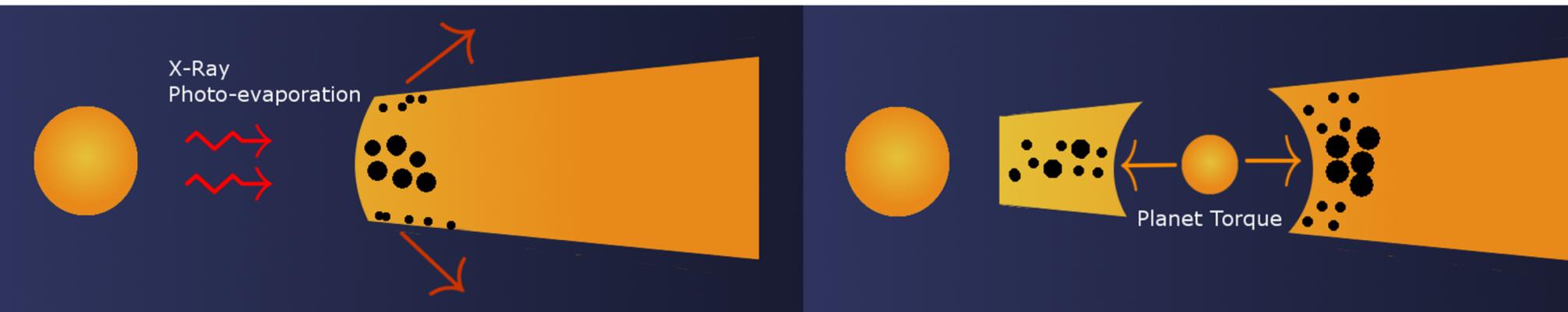
Accepted C6 ALMA observations to spatially  
resolve any kinematic signature of a warp

# Origin of Transition Disk Structures

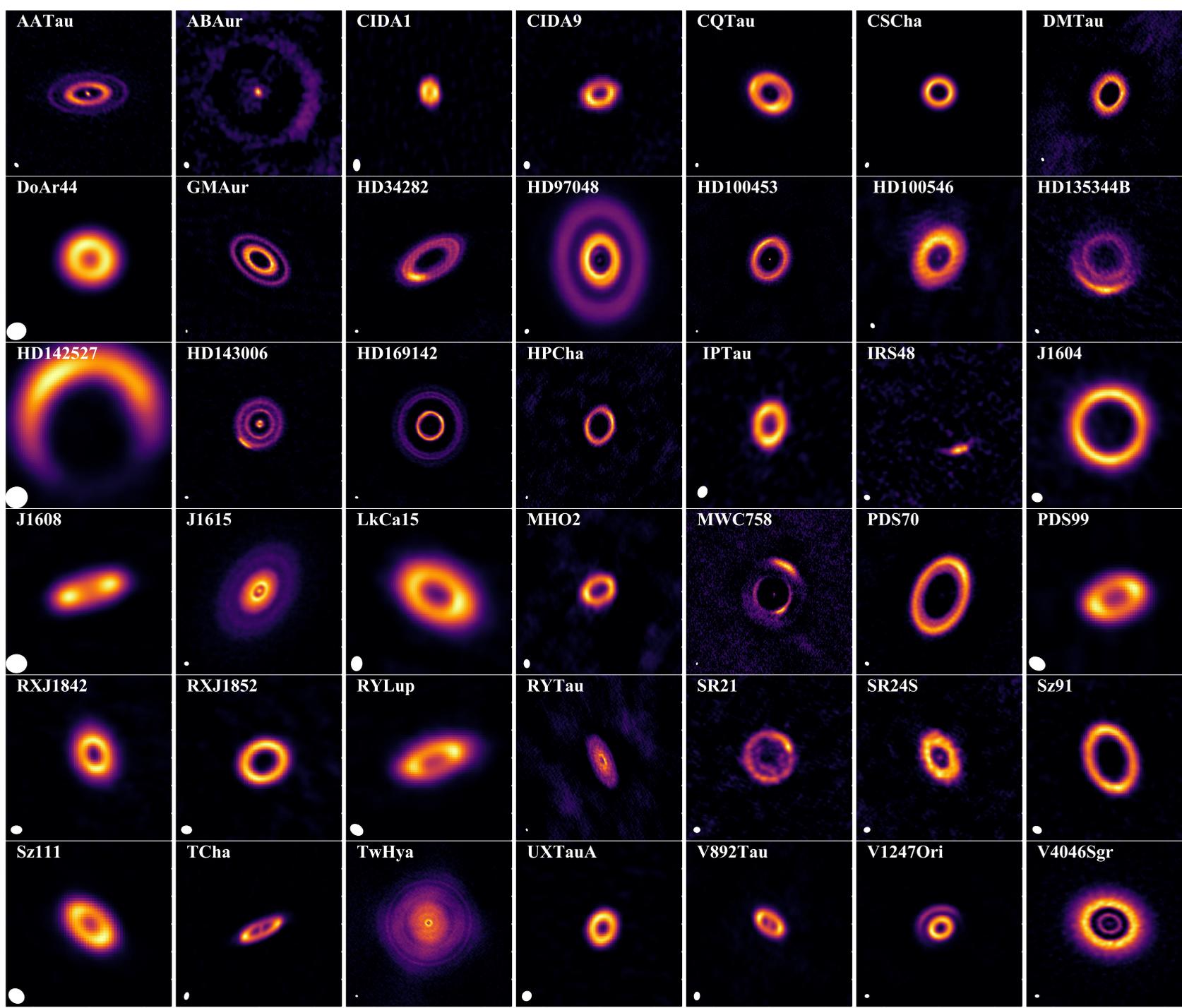
Model	mm-cavity	micron-sized cavity	≠ cavity size of the small and large grains
Embedded planet(s)	yes	for very massive planets ( $> 1 M_{\text{Jup}}$ )	yes
Dead zone	yes	yes	no

See also e.g.: Alexander et al. (2006, 2014), Pascucci et al. (2009), Owen et al. (2011, 2012) for internal photoevaporation as a possible origin

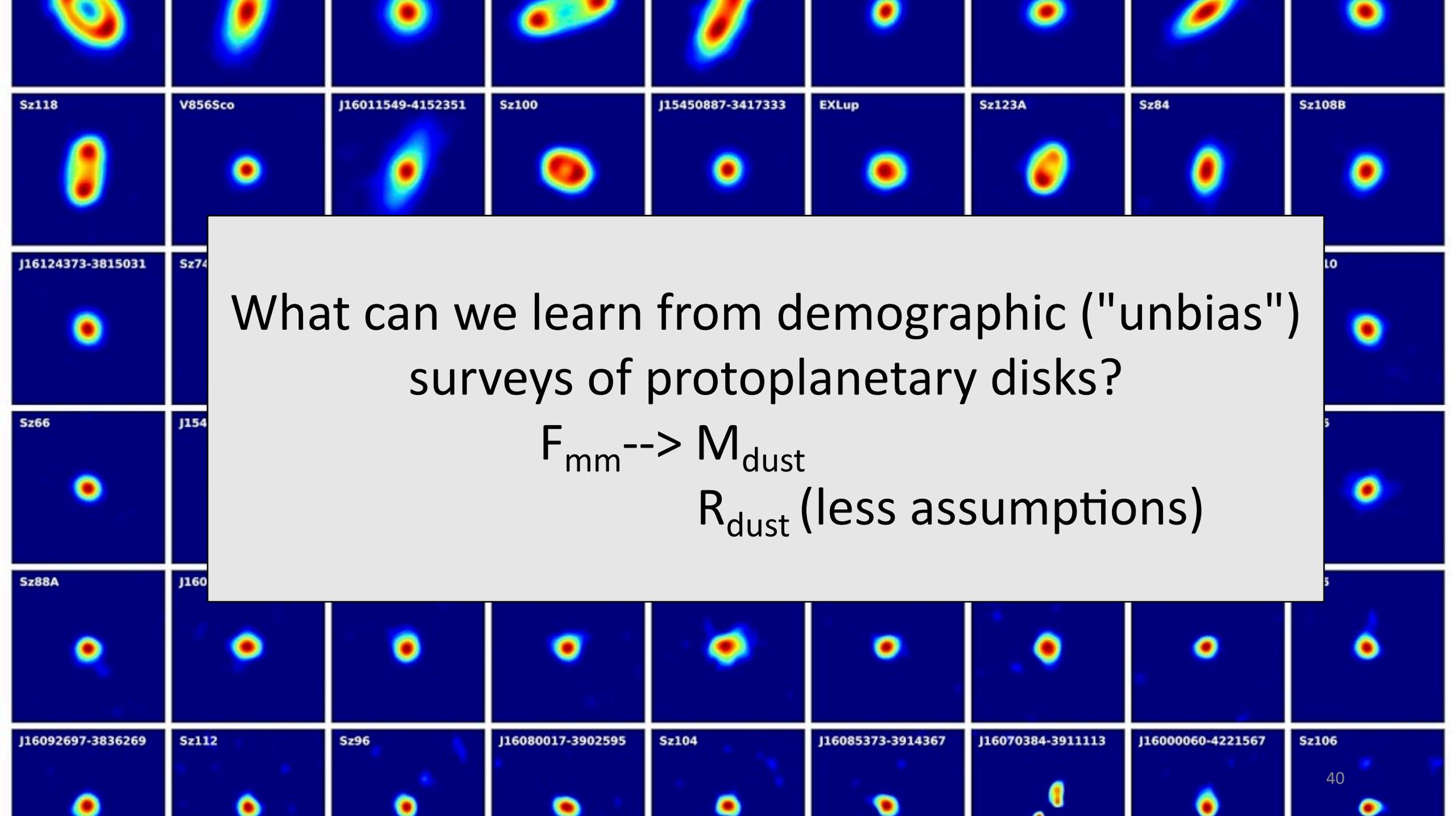
# Photoevaporation + Dust Evolution



Gárate et al, in prep  
garate@mpia.de



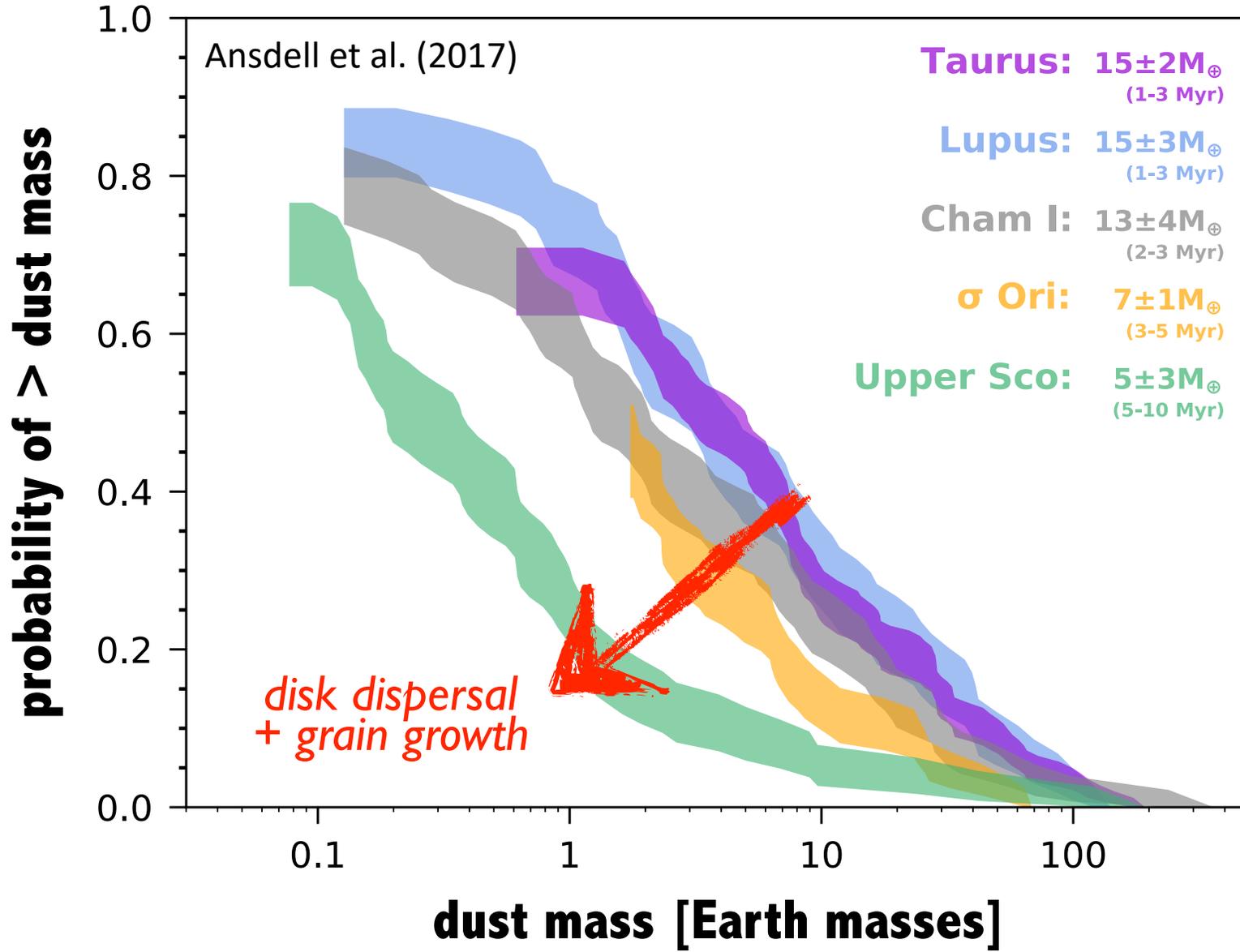
Most observations  
at high angular  
resolution have  
prominent bias:  
*targeting bright  
disks*



What can we learn from demographic ("unbias") surveys of protoplanetary disks?

$$F_{\text{mm}} \rightarrow M_{\text{dust}}$$
$$R_{\text{dust}} \text{ (less assumptions)}$$

# $M_{\text{dust}}$ from ALMA Surveys



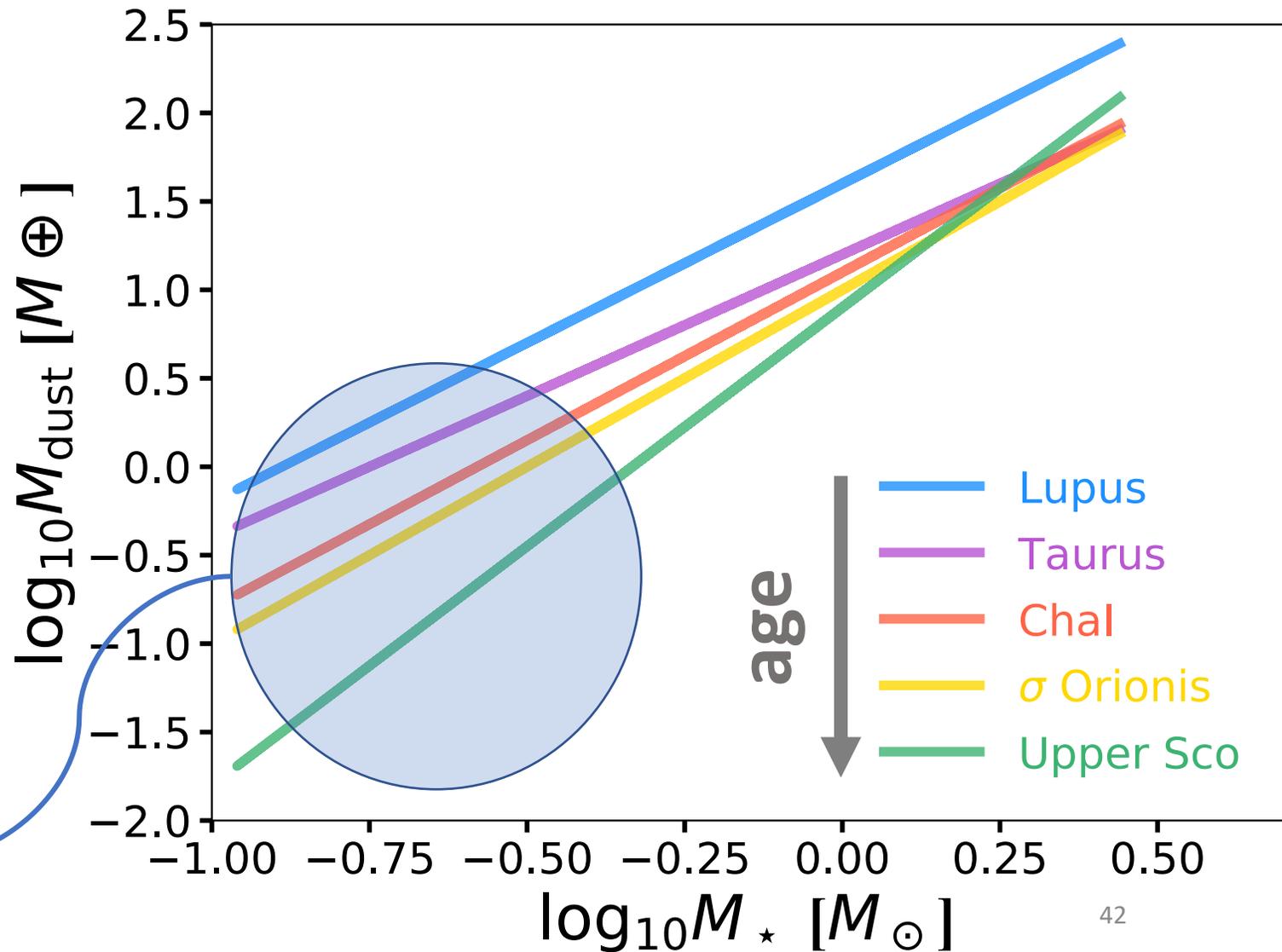
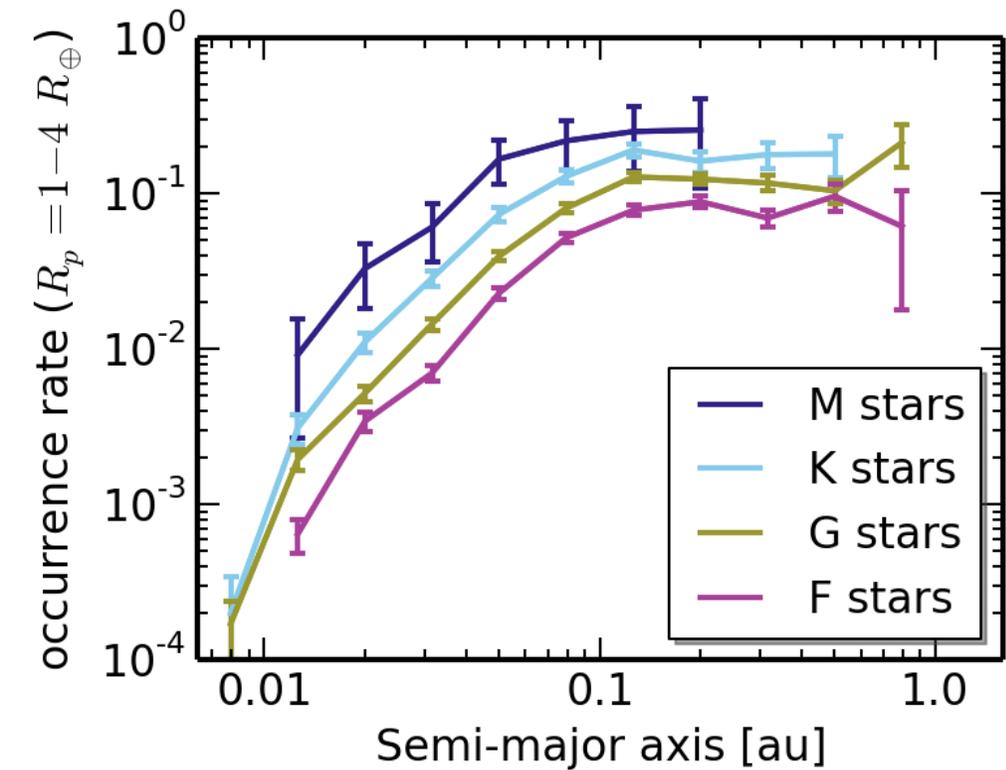
% of disks with  
 $\geq 10$  Earth masses

1-3 Myr  $\rightarrow$  25%

3-5 Myr  $\rightarrow$  13%

5-10 Myr  $\rightarrow$  5%

# $M_{\text{dust}}$ from ALMA Surveys

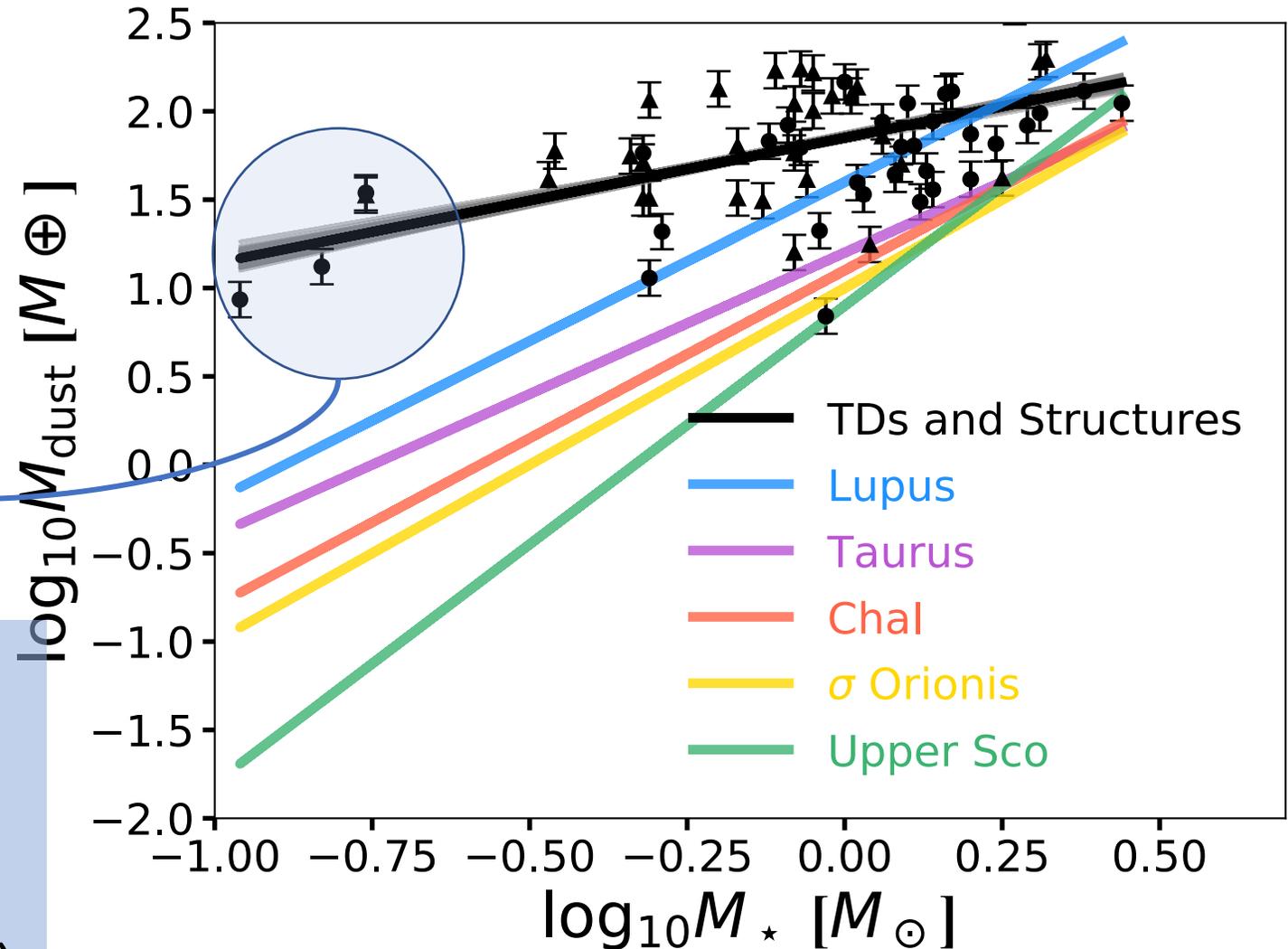


Stellar mass effect  
(drift is more efficient  
around low mass stars)

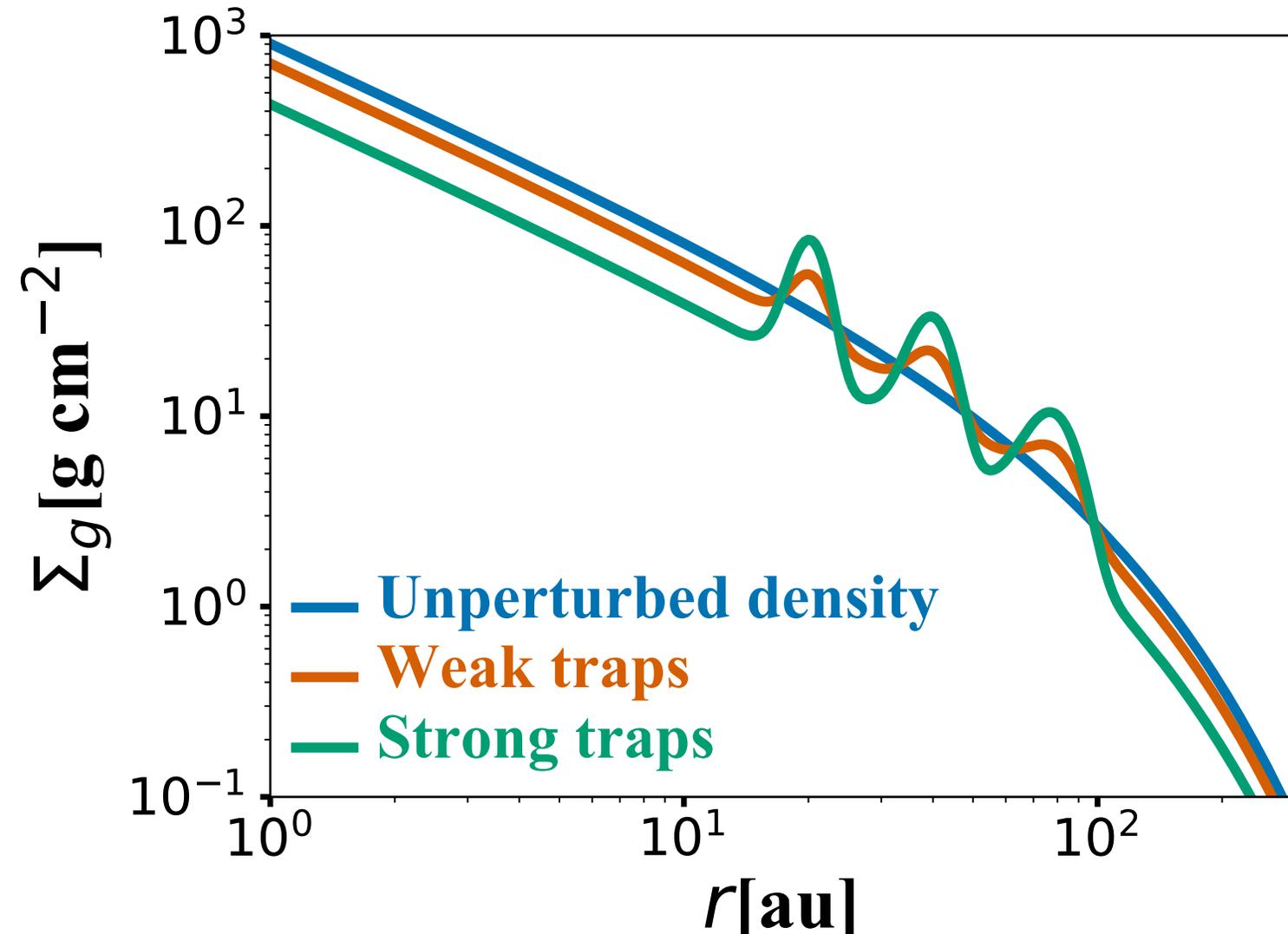
# $M_{\text{dust}}$ - $M_{\star}$ relation for disks with structures

TDs and disks with substructures remain massive independent of the stellar mass

**Accepted ALMA (C6 & C7) proposals to search for substructures around low mass stars  
(Kurtovic, Pinilla, submitted)**



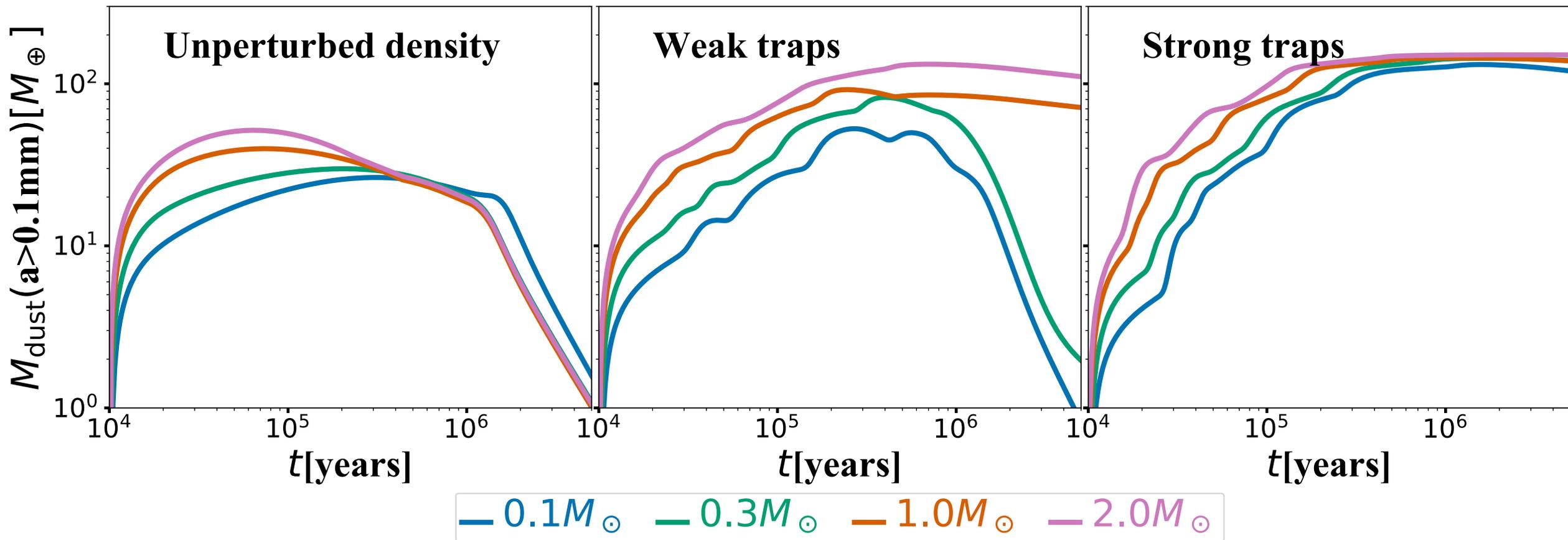
# Can we learn from the origins of traps from the $M_{\text{dust}} - M_{\star}$ relation?



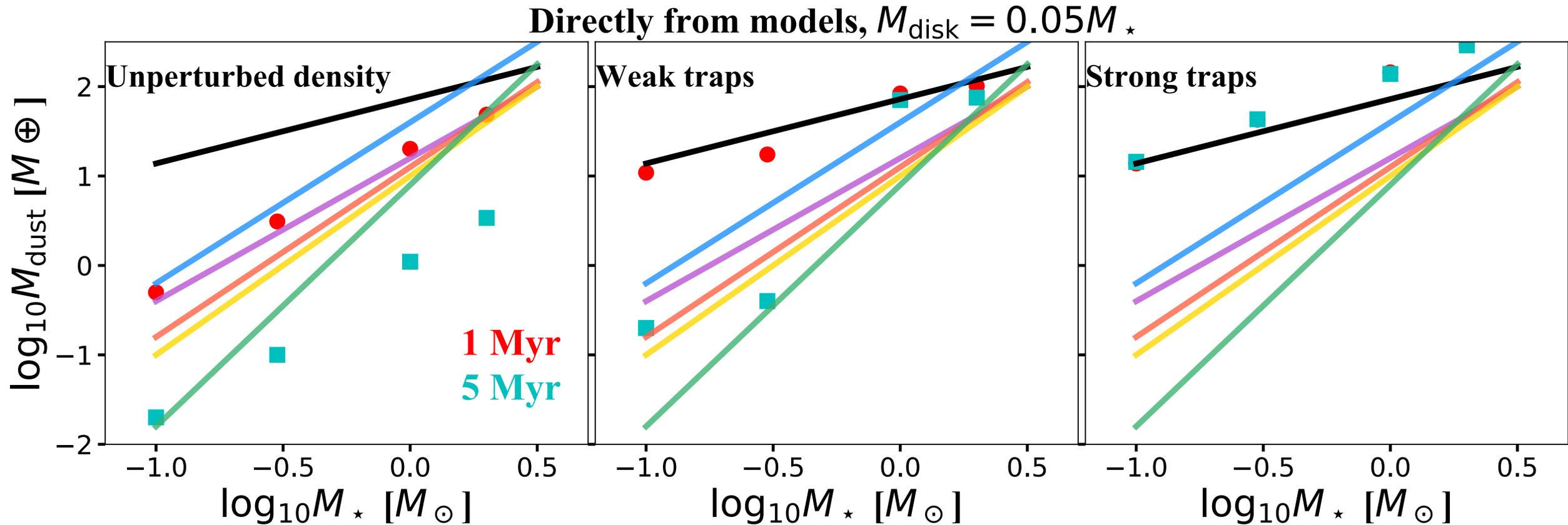
## We perform dust evolution models:

- Different types of pressure bumps (mini Neptune vs. Jupiter)
- Different stellar mass:  $[0.1, 0.3, 1, 2] M_{\text{sun}}$

# Evolution of the mass in large grains for different pressure bumps and stellar mass

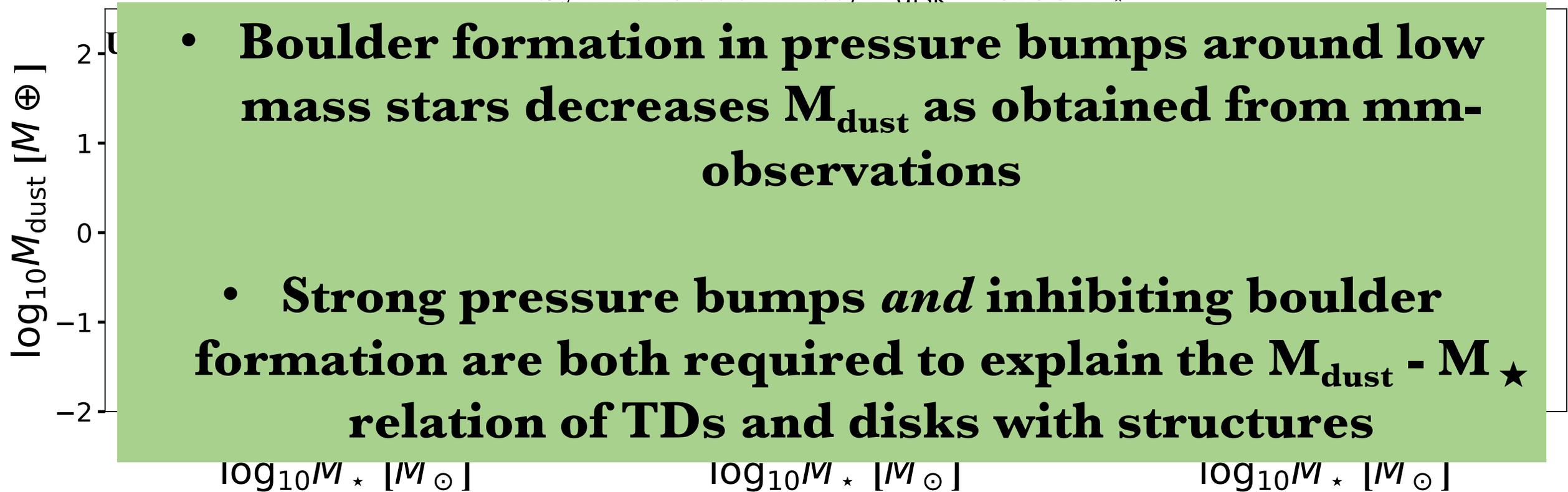


# Comparison between observations and the mass in large grains directly obtained from models

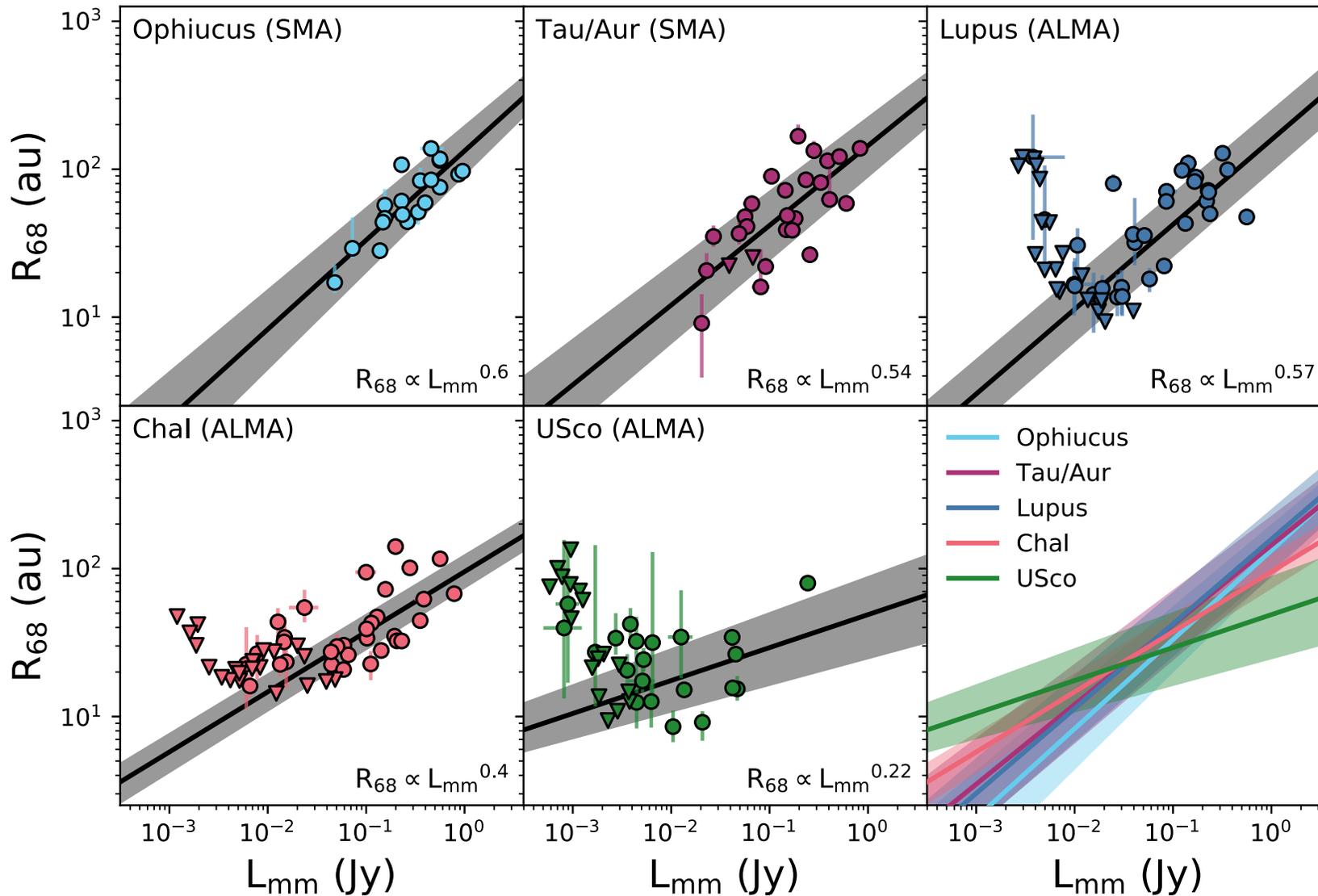


# Comparison between observations and the *synthetic mass* as observed with ALMA

Synthetic dust mass,  $M_{\text{disk}} = 0.05M_{\star}$



# $R_{\text{dust}}$ from ALMA Surveys

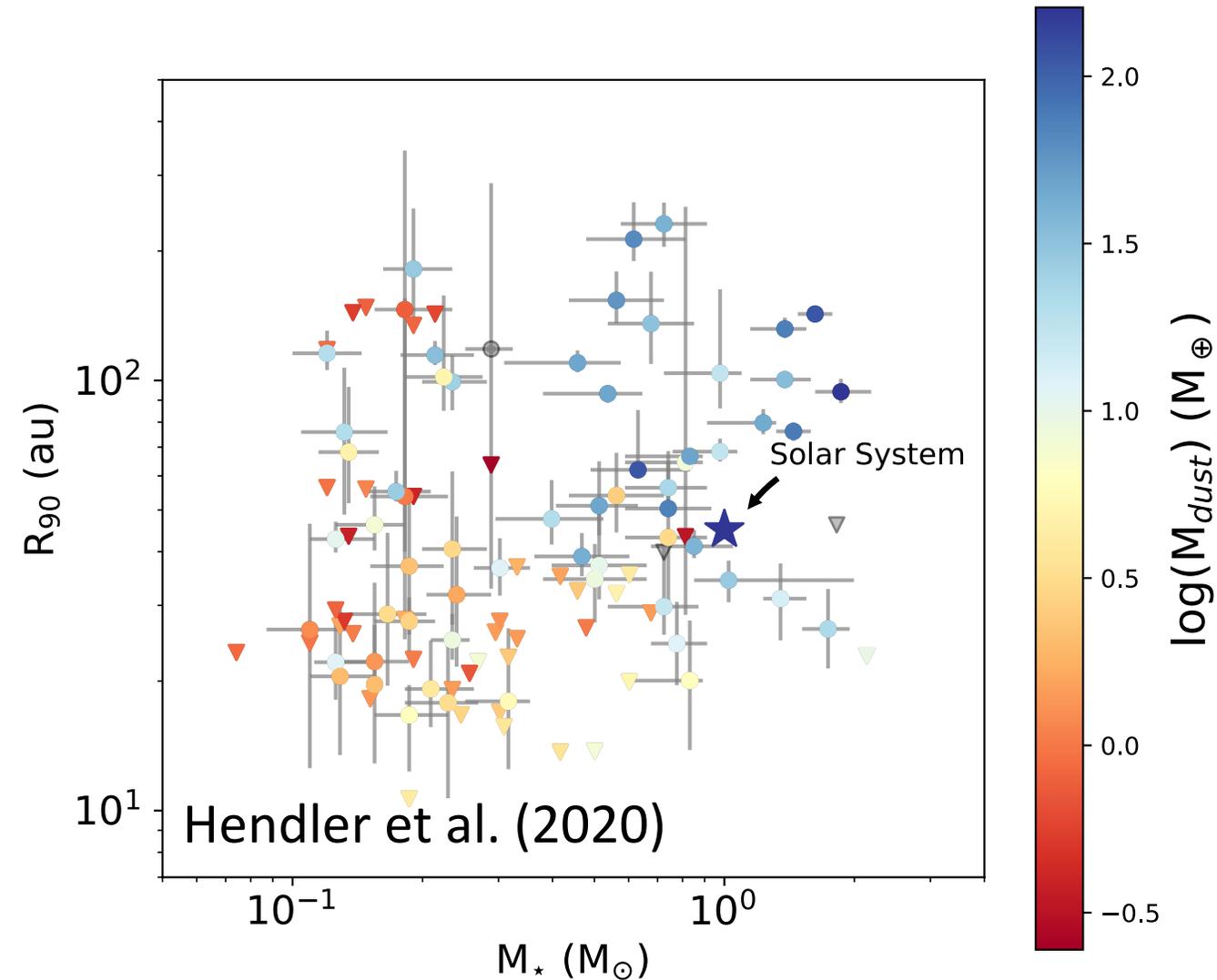


**$R_{\text{eff}} - L_{\text{mm}}$  relation is not the same in all regions, it becomes flatter for older regions:**

- Radial Drift
- Planetesimal formation
- Photoevaporation

Hendler et al. (2020)

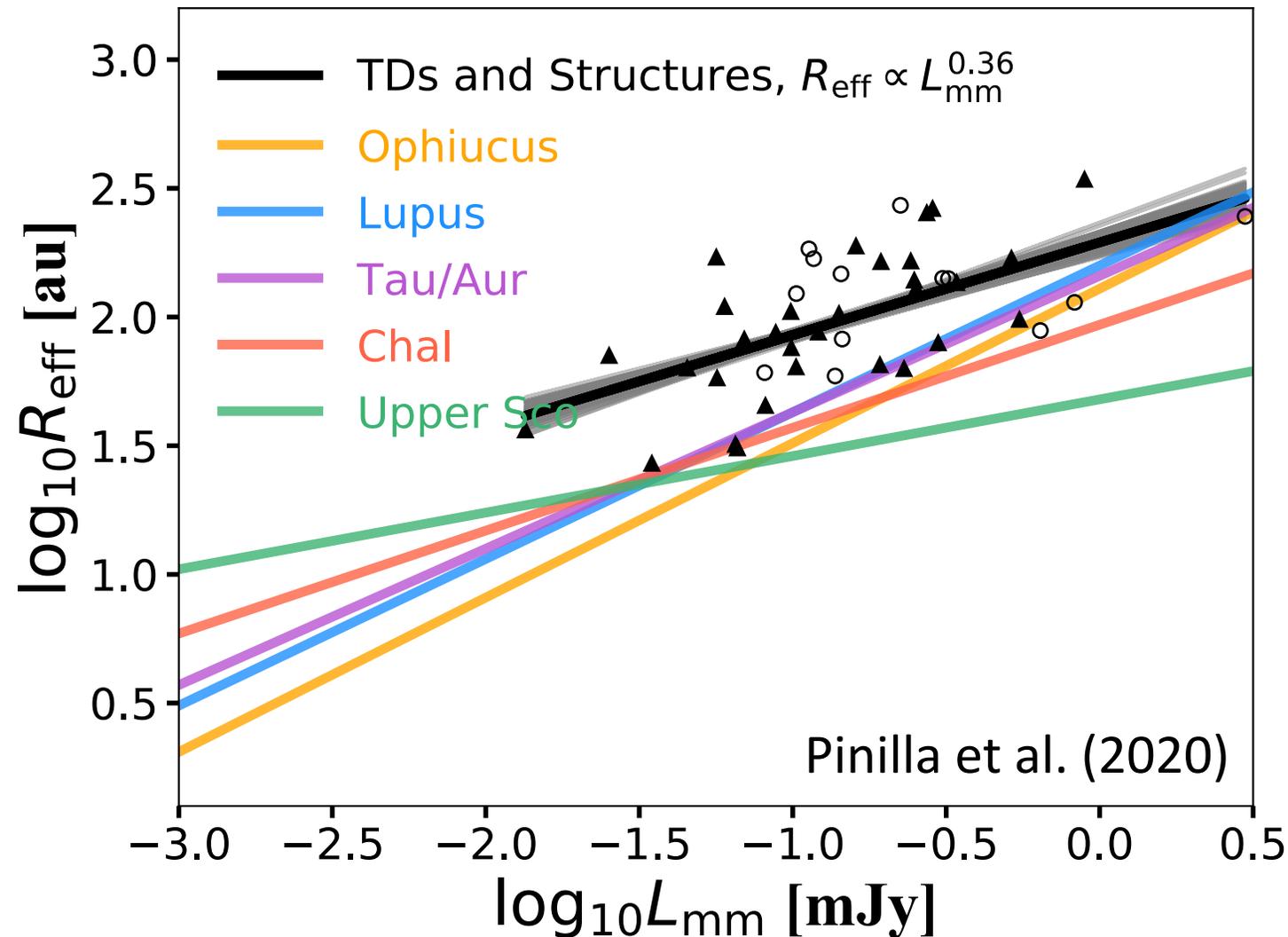
# Our Solar System is not an outlier when compared with typical 1-3Myr disks



- A truncating event (e.g. a stellar encounter or external photoevaporation) may not be required to explain the Solar System size.
- The mass of solids in the Solar System falls within the range of estimated dust-disk masses estimated for our sample.

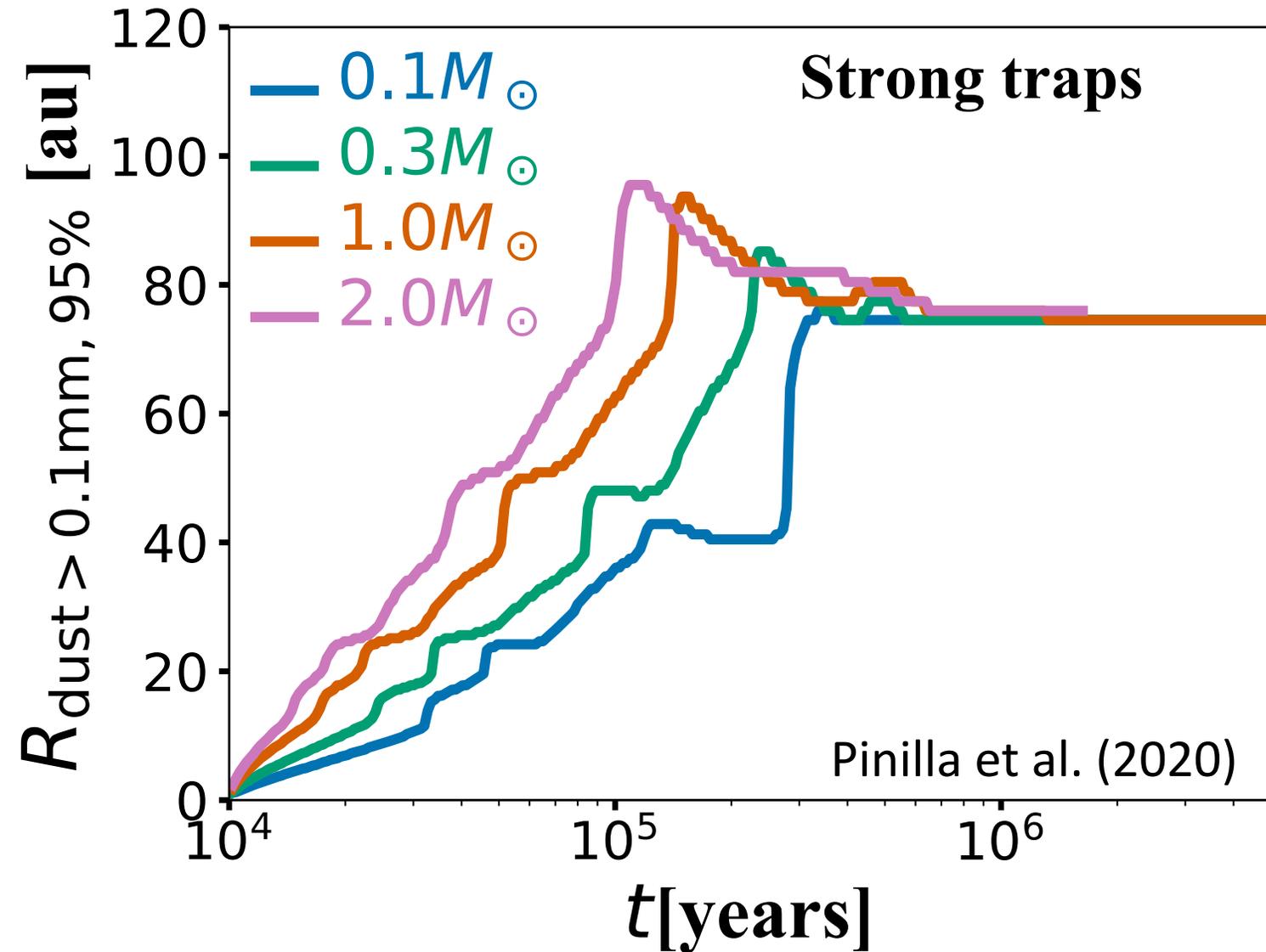
# $R_{\text{eff}}$ for TDs and disks with substructures

Pearson coeff: 0.57



- $R_{\text{eff}} - L_{\text{mm}}$  relation is flatter than observed in Oph, Lupus, and Taurus/Auriga star-forming regions
- The slope value of our sample lies in the range found for older regions, that is, Cha I and Upper-Sco

# $R_{\text{eff}}$ for TDs and disks with substructures

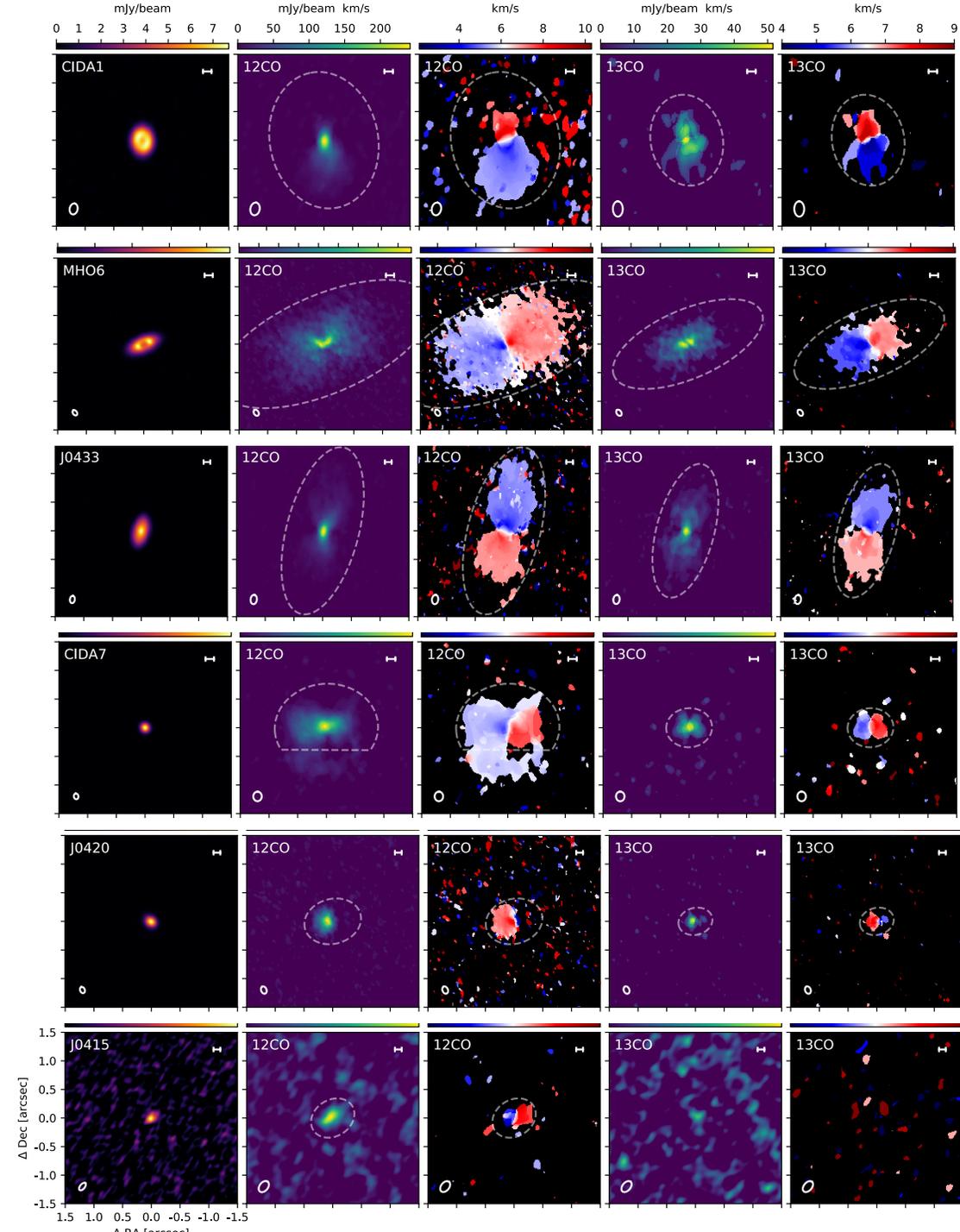
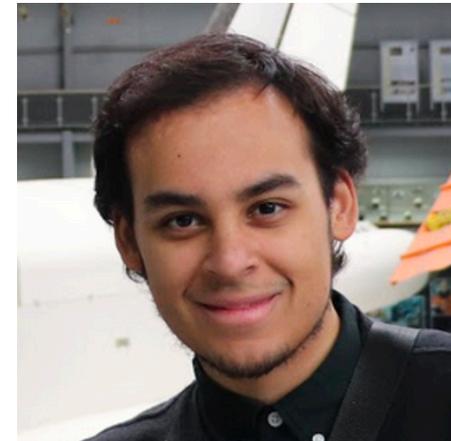


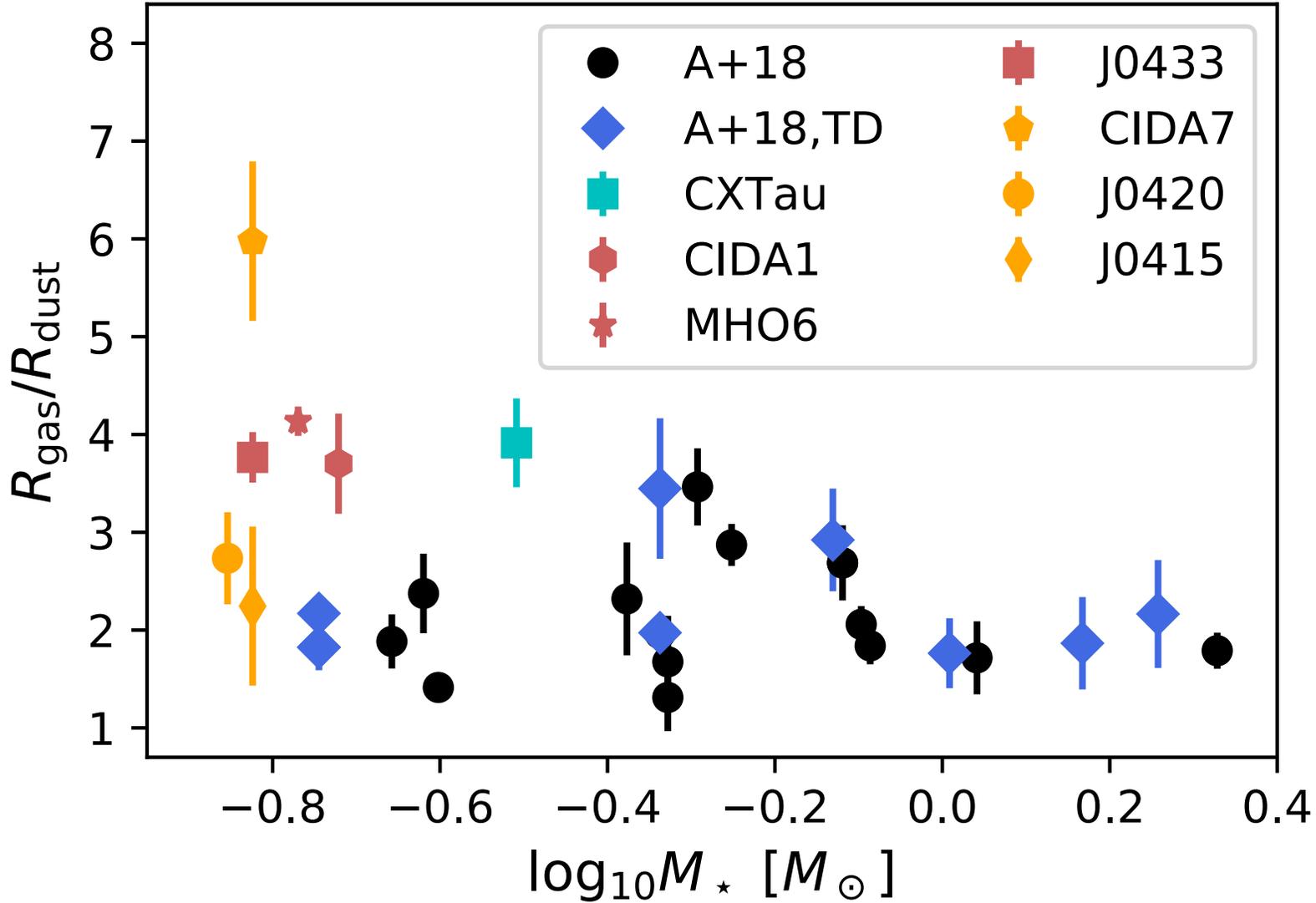
The  $R_{\text{eff}} - L_{\text{mm}}$  relation may flatten due to inefficient radial drift, in which case  $R_{\text{eff}}$  traces the location of the farther pressure bump at any time of evolution.

# Size and structures in disks around very low mass stars

6 disks in Taurus observed at high angular resolution (continuum, 12CO and 13CO)

Structures in 50% of the sample





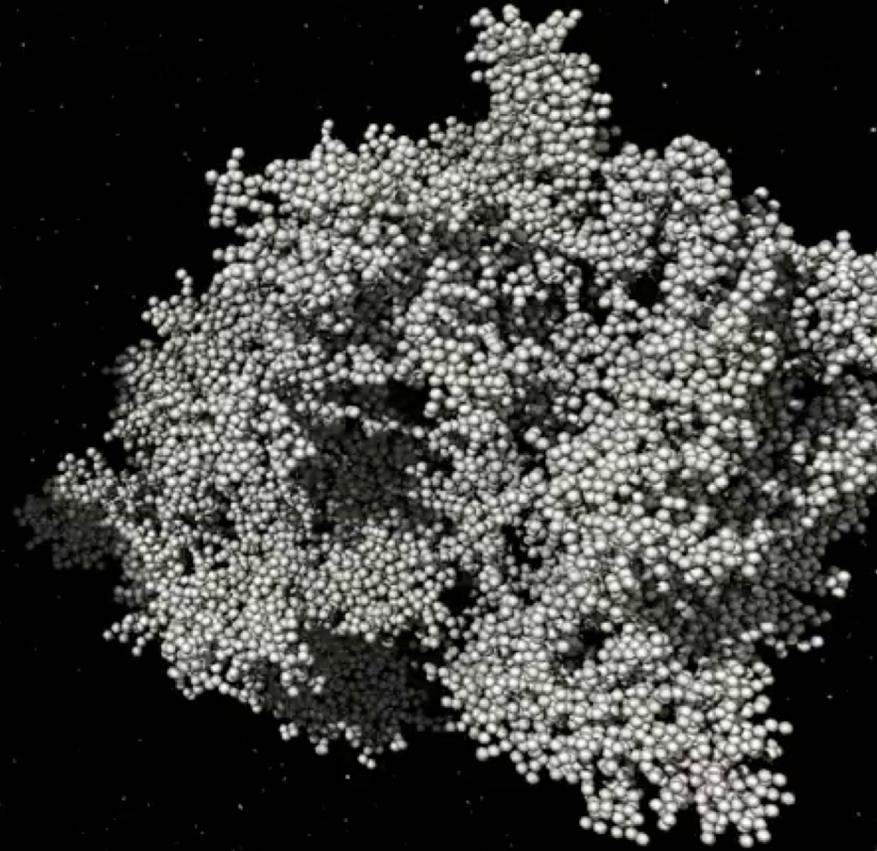
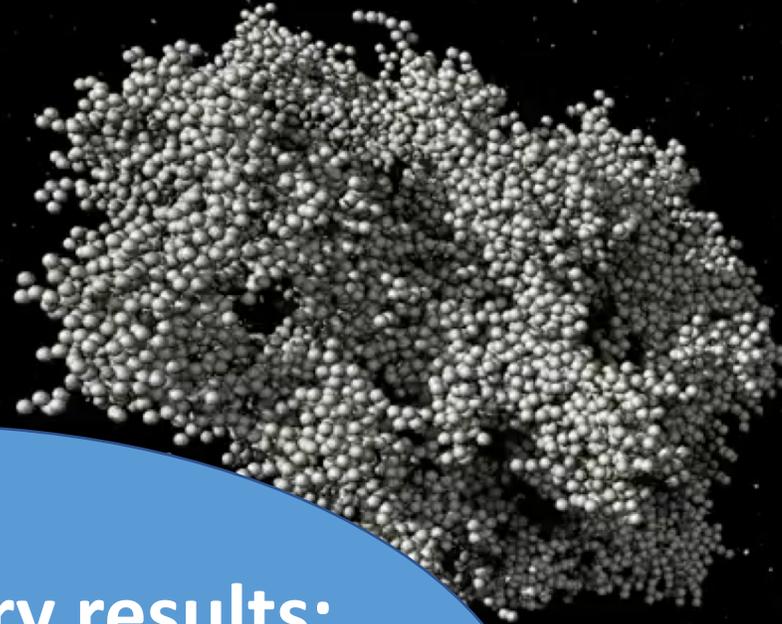
**High  $R_{\text{gas}}/R_{\text{dust}}$   
evidences very  
efficient radial  
drift**

Kurtovic, Pinilla et al. (submitted)  
kurtovic@mpia.de

Material: 1.2  $\mu\text{m}$  amorphous silicate grains

Impact Velocity: 5 m/s

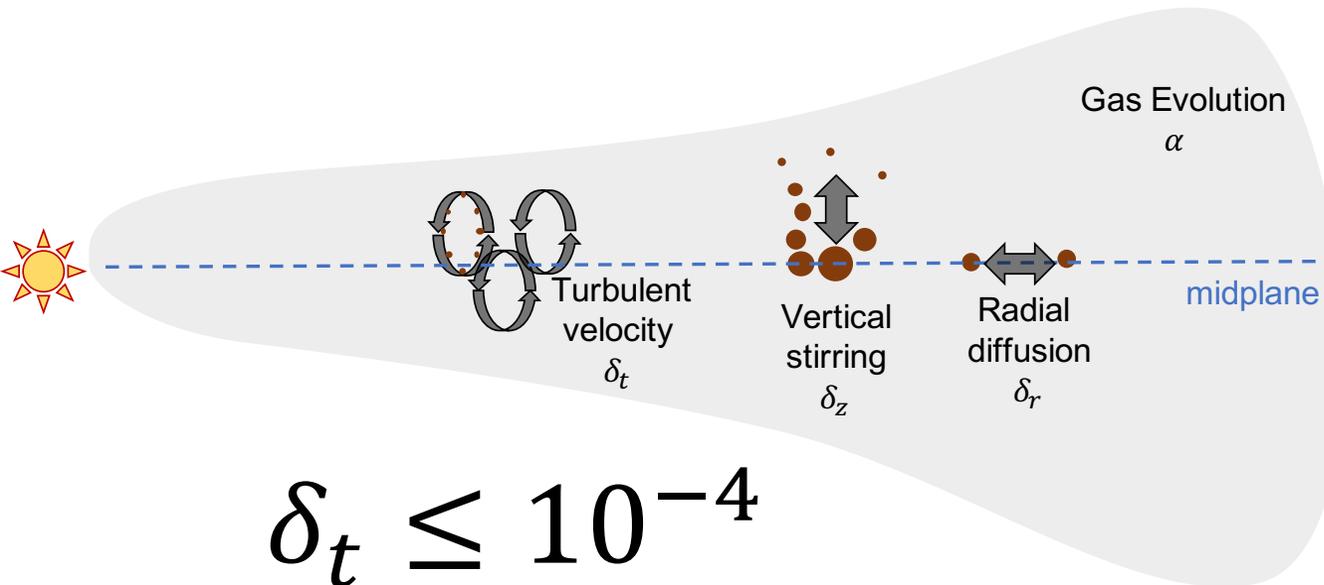
# Fragmentation Velocities



**New Laboratory results:  
Water ice particles are  
very fragile ( $v_f \leq 1\text{m/s}$ )**

(Gundlach et al. 2018; Musiolik & Wurm  
2019; Steinpilz et al. 2019).

# How we can grow to pebbles and trap them with low $v_f$

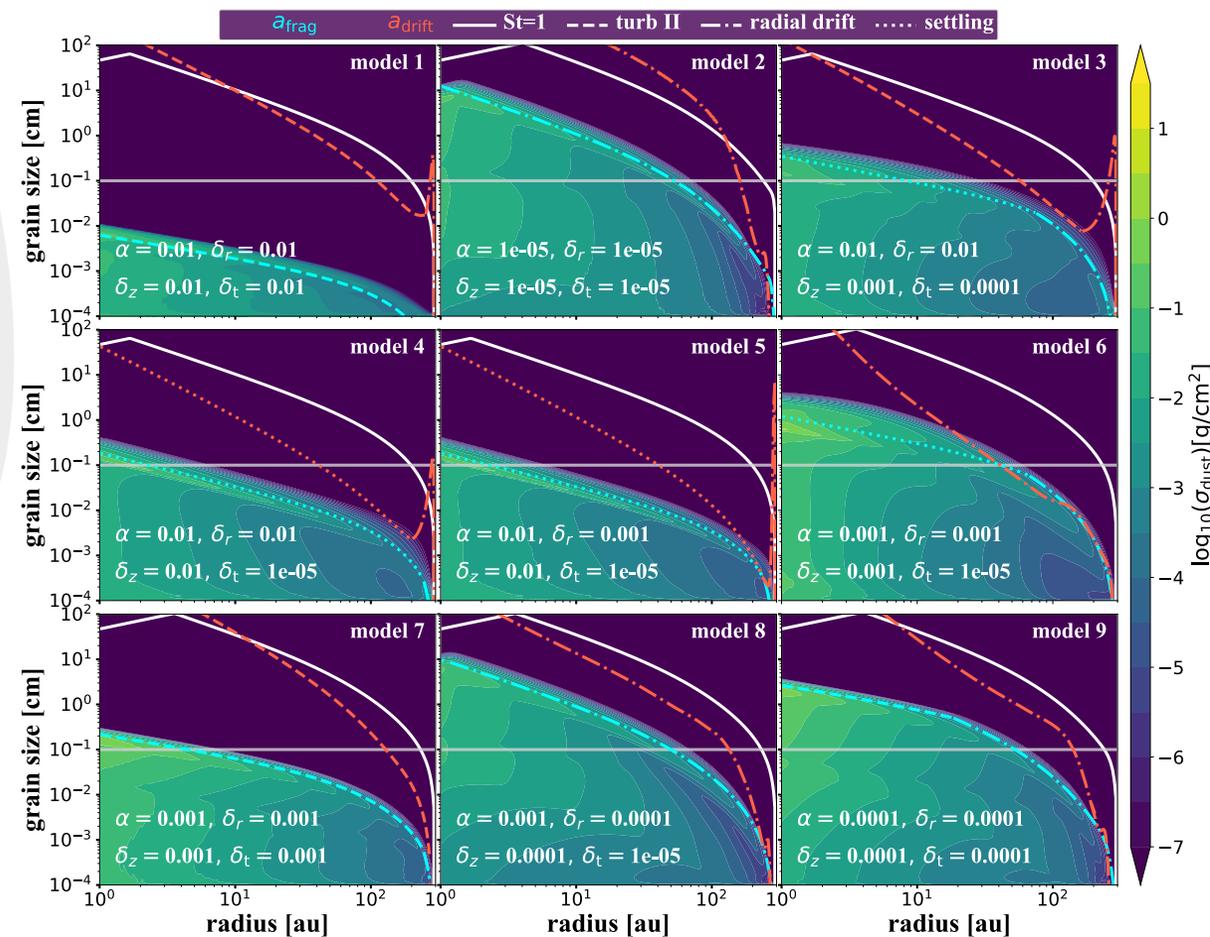


$$\delta_t \lesssim 10^{-4}$$

$$\delta_z \lesssim 10^{-3}$$

$$\delta_r \lesssim 10^{-3}$$

$$\alpha \sim 10^{-3} - 10^{-2}$$



# **Future Perspectives**

Discs form with no clear gaps or only shallow gaps.

Planets start to grow, resulting in clear rings and/or deep gaps.

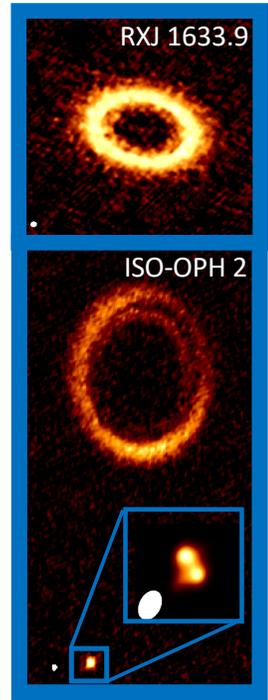
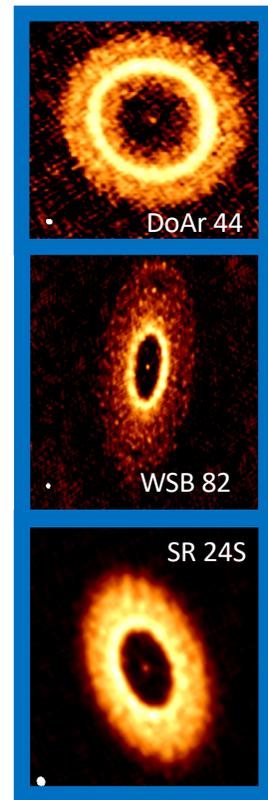
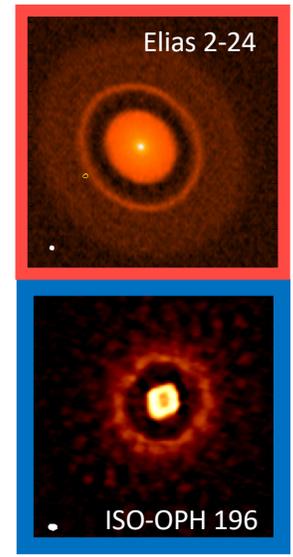
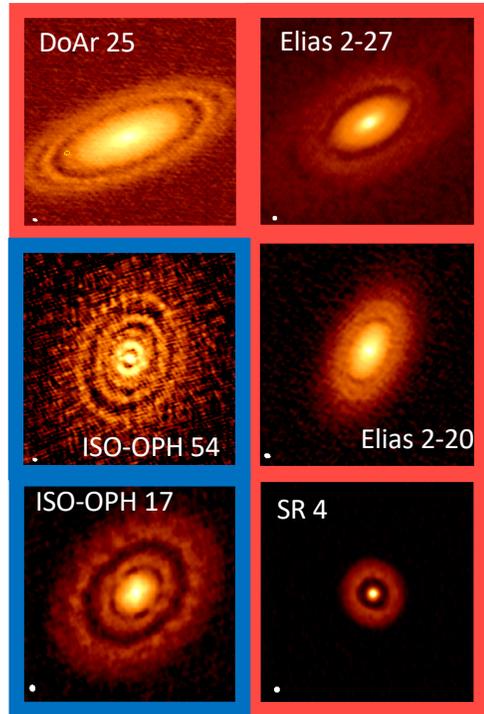
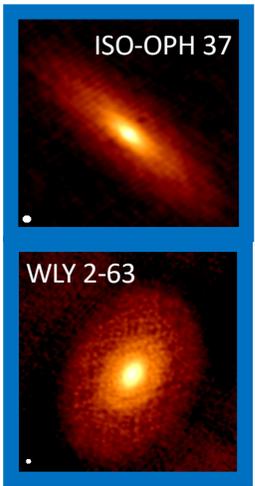
Some planets become gas giants through runaway gas accretion and gaps widen. Dust starts to accumulate at the inner edges of the rings.

Dust filtration at cavity edges deplete dusty inner discs. Dust from outer discs continue to accumulate in rings.

Inner dust discs dissipate. Most of the dust from the outer discs accumulates in the rings.

**The brightest disks in ODISEA: only program with ALMA observing disks in one single star-forming region at 0.02-0.05''**

**Cieza+ (submitted)**

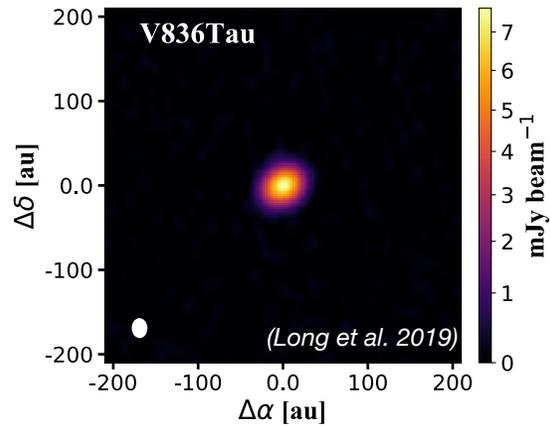


ODISEA

DSHARP

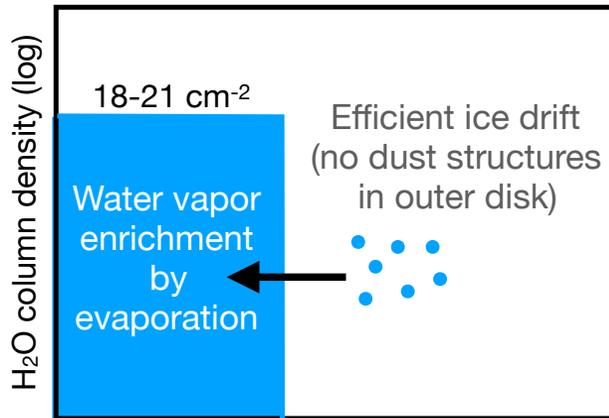
# Effect of Structures on the Inner Disk Composition

Banzatti, et al. (2020)

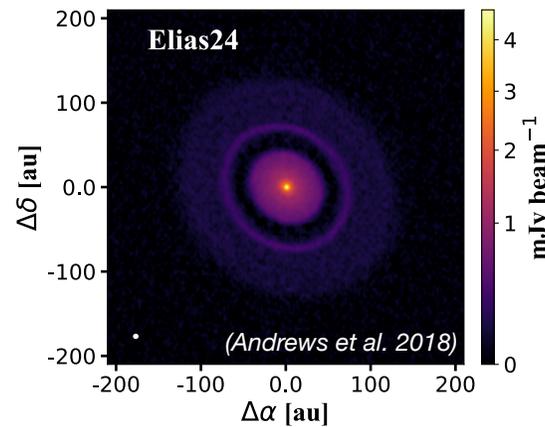


Small disks

$R_{\text{disk}} < 50$  au

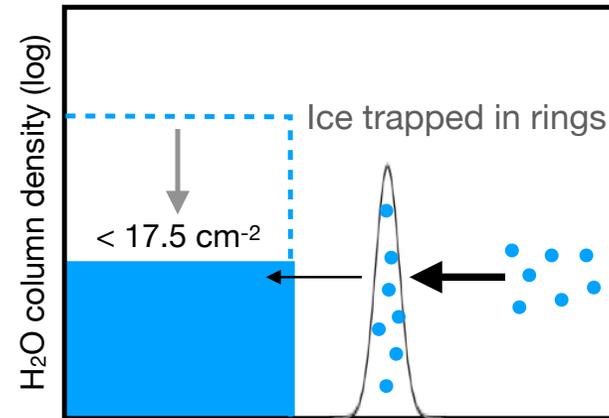


Disk radius

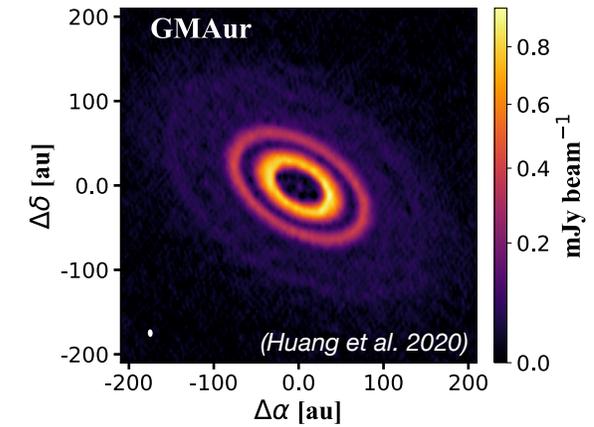


Large disks

$50 \text{ au} < R_{\text{disk}} < 200$  au

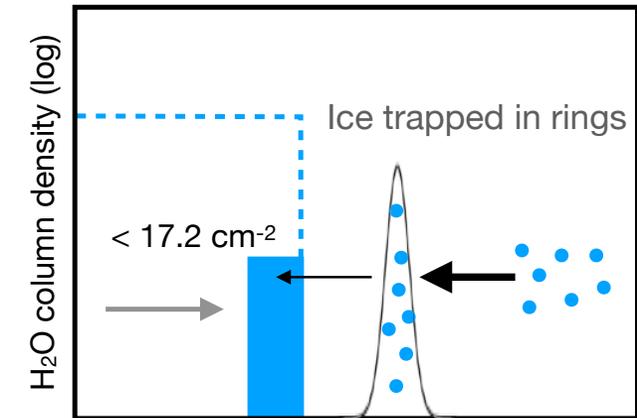


Disk radius



Large disks + inner cavity

$50 \text{ au} < R_{\text{disk}} < 200$  au



Disk radius

Dust delivers water to the inner disk (<1au). It has an important effect on planet composition



Thank you for your attention